

## Supporting Statement for Vessel Documentation

### A. Justification

#### 1. Circumstances making collection of information necessary.

Federal documentation is a type of national registration which serves to establish a vessel's: (a) nationality; (b) eligibility to engage in a particular employment; and (c) eligibility to become the object of a preferred ship's mortgage. In the field of international commerce, documentation establishes the nationality of a vessel and confers the privileges, protections, and immunities contemplated by longstanding international law and custom. The availability of capital for maritime financing hinges upon the existence of the preferred mortgage as security for loans against vessels. The collection and recordkeeping of information concerning the documentation of vessels is promulgated in the Shipping Act of 1916, as amended, section 27 of the Merchant Marine Act of 1920, as amended, (Jones Act), 46 U.S.C. Chapters 121, 123, 125, and 313.

This information collection ensures compliance with vessel documentation regulations (46 CFR parts 67 & 68) by including the lease finance options as reflected in the amendments provided by Congress under the Coast Guard and Maritime Transportation Act of 2004 (Pub. L. 108-293). These regulations address statutory amendments eliminating certain barriers to seeking foreign financing by lease for U.S.-flag vessels. They clarify the information needed to determine the eligibility of a vessel financed in this manner for a coastwise endorsement.

This information collection supports the following strategic goals:

#### Coast Guard

- Mobility

#### Prevention Policy Directorate (CG-5P)

- Economic Growth and Trade/Mobility: Reduce interruptions and impediments that restrict the economical movement of goods and people, while maximizing safe, effective, and efficient waterways for all users.

#### 2. By whom, how, and for what purpose is the information to be used.

The information collected will establish the eligibility of a vessel to: (a) be documented as a "vessel of the United States;" (b) engage in a particular trade; and/or (c) become the object of a preferred ship's mortgage. These determinations will be made by a documentation officer of the United States Coast Guard or other authorized Coast Guard officials by comparing the information collected with the various statutory requirements imposed by the Shipping Act of 1916, as amended, section 27 of the Merchant Marine Act of 1920, as amended, (Jones Act), 46 U.S.C. Chapters 121, 123, 125, and 313. The information requested is needed to make the above-mentioned determinations, without which a vessel would be denied eligibility for benefits as listed.

Also, non-maritime agencies such as the Internal Revenue Service rely on this information in determining eligibility for investment tax credits and the like.

This information collection determines if the entities that desire to avail themselves of the lease finance provisions are eligible for a coastwise endorsement in accordance with the Coast Guard and Maritime Transportation Act of 2004 (Pub. L. 108-293) statutory amendments.

3. Consideration of the use of improved information collection technology.

Information may be submitted by mail, fax or electronically submitted via e-mail to the National Vessel Documentation Center (NVDC), depending on the type of documentation action needed. Details on e-mail & fax submissions are at—  
<http://www.uscg.mil/hq/cg5/nvdc/nvdcpdf.asp>. Forms are available at—  
<http://www.uscg.mil/hq/cg5/nvdc/nvdcforms.asp>. We estimate that at this time 24% of the reporting and recordkeeping requirements are done electronically.

4. Efforts to identify duplication. Why similar information cannot be used.

There are no other Federal agencies with similar programs. Other agencies involved in maritime affairs (i.e., Maritime Administration (MARAD)) collect no similar information and in many cases must rely upon the information collected in connection with the documentation of vessels. There is no similar information available that could be used or modified for these purposes.

5. Methods to minimize the burden to small entities if involved.

The information collection will involve some small organizations, including small businesses, individuals, non-profit organizations, municipal governments, small shipbuilders, boat dealers, and lending institutions. Due to various statutory requirements imposed by the Shipping Act of 1916, as amended, section 27 of the Merchant Marine Act of 1920, as amended, (Jones Act), 46 U.S.C. Chapters 121, 123, 125, and 313, the minimum number of requirements and the collection of information is consonant with the current statutes, the possibility of differing compliance or reporting requirements or timetables for small entities is precluded.

6. Consequences to the Federal program if collection were conducted less frequently.

The frequency of submission of information is the minimum consistent with the maintenance of internal records and enforcement of substantive laws in effect. Presently, the regulations clearly mandate the frequency of use, and changing the requirements would make the regulations less effective.

7. Explain any special circumstances that would cause the information collection to be conducted in a manner inconsistent with guidelines.

This information collection is conducted in manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

8. Consultation.

A 60-day Notice will be published in the *Federal Register* to obtain public comment on this collection.

9. Explain any decision to provide any payment or gift to respondents.

There is no offer of monetary or material value for this information collection.

10. Describe any assurance of confidentiality of information.

The information collected is generally not of a type considered confidential by those supplying it. However, those forms requiring confidential information have a "Privacy Act" statement on them. The respondent furnishes any confidential information on a voluntary basis for benefits.

11. Additional justification for any questions of a sensitive nature.

There are no questions of sensitive language.

12. Estimate of reporting and recordkeeping hour and cost burdens of the collection of information.

- The estimated number of respondents is **255,471**
- The estimated number of responses is **409,284**
- The estimated annual hour burden on the public is **67,882**
- The estimated cost burden for the first year is **\$3,394,079**

Burden as a result of vessel documentation provisions in the Shipping Act of 1916, as amended, section 27 of the Merchant Marine Act of 1920, as amended, (Jones Act), 46 U.S.C. Chapters 121, 123, 125, and 313.

The **estimated number of respondents is 255,471**. This figure is based upon the total number of documented vessel owners in the United States Coast Guard's Marine Information Safety and Law Enforcement (MISLE) database.

The **estimated number of responses is 409,284**. This figure is based upon the total number of vessel documentation forms submitted to the National Vessel Documentation Center.

The **annual hour burden on the public is 67,882**. This burden represents the sum of the burden hours calculated for each form, and the administrative work required by the application for a coastwise endorsement for vessel owners under a demise charter.

The frequency of responses is estimated to be approximately **1.60 per annum per respondent**. This estimate is based upon number of vessel documentation forms divided by the number of vessel owners in the MISLE database, and it will vary considerably depending on the respondent and the number of vessels owned by the respondent.

The average burden is expressed as follows:

**Burden as a result of vessel documentation provisions in the Shipping Act of 1916, as amended, section 27 of the Merchant Marine Act of 1920, as amended, (Jones Act), 46 U.S.C. Chapters 121, 123, 125, and 313.**

	Submissions per year (#)	Time to complete (minutes)	Burden hours	Cost @ \$50/hour
CG-1258	45,802	30	22,901	\$1,145,050
CG-1261	7,249	30	3,625	\$181,225
CG-1280	227,278	5	18,940	\$946,992
CG-1340	42,323	20	14,108	\$705,383
CG-1356	12	20	4	\$200
CG-4593	2,362	10	394	\$19,683
CG-5542	10,673	10	1,779	\$88,942
CG-7042	33,612	5	2801	140,050
CG-7043	39,973	5	3331	166,554
<b>TOTAL</b>	<b>409,284</b>		<b>67,882</b>	<b>\$3,394,079</b>

In calculating the cost of the information collection to the public a value of \$50.00 per hour, the GS-9 wage (out-of-govt) as determined by COMDTINST 7310.1N, was placed on the public's time. The GS-9 wage was determined to best represent the average wage of the public employee completing the vessel documentation forms. Business overhead and the necessity for professional assistance in completing the forms associated with more complex transactions have been factored into the dollar estimate.

13. Estimate of annualized capital and start-up costs.

There are no capital, start-up or maintenance costs associated with this information collection.

14. Estimate of annualized Federal Government costs.

The Coast Guard vessel documentation program will be unable to function according to the statute without the additional information collected from these forms. We are including the entire cost of the program as annualized cost to the Government.

We determined that there were 79 Full-Time Equivalents (FTE) and 1 Part-Time Equivalents (PTE) consumed annually by the vessel documentation program in the field. By applying hourly standard rates provided in the Coast Guard Standard Rate Instruction (Commandant Instruction (COMDTINST) 7310.1 series) and the Coast Guard Staffing Standards Manual (COMDTINST M5312.11) and including Fiscal Year 2009 operating budget of \$557,340. The National Vessel Documentation Center (NVDC) program costs approximately \$11,300,000.

15. Explain the reasons for change in burden.



The change in the burden is an ADJUSTMENT. There is no proposed change to the reporting or recordkeeping requirements of this collection. The reporting and recordkeeping requirements, and the methodology for calculating burden, remain unchanged.

The following items listed below are changes to the collection:

- Increase in burden (i.e., burden hours) is due to a change in the amount of vessel documentation activities (i.e., submissions).
- Removed form CG-5397 as it is fully accounted for under ICR 1625-0022.
- Added new forms CG-7042 and CG-7043 to improve customer service.
- Updates are provided for cost burden associated with this collection of information.
- The Coast Guard has updated printable instructions for this collection of information.

16. For collections of information whose results are planned to be published for statistical use, outline plans for tabulation, statistical analysis, and publication.

This information collection will not be published for statistical purposes.

17. Explain the reasons for seeking not to display the expiration date for OMB approval of the information of collection.

The Coast Guard will display the expiration date for OMB approval of this information collection.

18. Explain each exception to the certification statement.

The Coast Guard does not request an exception to the certification of this information collection.

## **B. Collection of Information Employing Statistical Methods**

This information collection does not employ statistical methods.

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard

OMB Number: 1625-0027  
Expiration Date: 04/30/2013

**APPLICATION FOR INITIAL, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF  
DOCUMENTATION; REDOCUMENTATION**

NOTE: FILING THIS APPLICATION DOES NOT ENTITLE A VESSEL TO DOCUMENTATION OR TO ANY CHANGES SOUGHT ON A CERTIFICATE OF DOCUMENTATION. OFFICIAL NUMBERS DESIGNATED ON THE BASIS OF THIS APPLICATION ARE NOT TRANSFERABLE. ONLY A CURRENT CERTIFICATE OF DOCUMENTATION IS VALID FOR VESSEL OPERATION.

**COMPLETE FOR ALL APPLICATIONS**

<b>A. VESSEL NAME (REQUIRED)</b>  CLICK ICON TO ATTACH LIST OF ADDITIONAL VESSEL(S)		<b>B. OFFICIAL # (IF AWARDED)</b>																									
<b>C. HULL ID # (IF ANY)</b>	<b>D. IMO # (IF ANY)</b>	<b>E. HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL)</b>																									
<b>F. NAME OF MANAGING OWNER</b>  E-MAIL ADDRESS: TELEPHONE NUMBER:		<b>SOCIAL SECURITY OR TAX ID NUMBER (REQUIRED – 46 USC 12104):</b> SSN: TIN:																									
<b>G. PHYSICAL ADDRESS OF MANAGING OWNER (REQUIRED – 46 CFR 67.113)</b>		<b>MAILING ADDRESS OF MANAGING OWNER (IF DIFFERENT THAN PHYSICAL ADDRESS – E.G., P.O. BOX)</b>																									
<b>H. NAME(S) OF OTHER OWNER(S)</b>  CLICK ICON TO ATTACH LIST OF ADDITIONAL OWNER(S)		<b>SOCIAL SECURITY OR TAX ID NUMBER(S) OF OTHER OWNER(S)</b> SSN: TIN:																									
<b>I. PURPOSE OF APPLICATION</b> <input type="checkbox"/> 1. EXCHANGE OF CERTIFICATE OF DOCUMENTATION. <input type="checkbox"/> 2. REPLACEMENT OF LOST, WRONGFULLY WITHHELD OR MUTILATED CERTIFICATE OF DOCUMENTATION. <input type="checkbox"/> 3. RE-INSTATEMENT OF CERTIFICATE OF DOCUMENTATION FOLLOWING FAILURE TO RENEW. <input type="checkbox"/> 4. RETURN TO DOCUMENTATION FOLLOWING DELETION. VESSEL NAME WHEN LAST DOCUMENTED: _____ <input type="checkbox"/> 5. APPLICATION FOR OFFICIAL NUMBER AND FIRST CERTIFICATE OF DOCUMENTATION. VESSEL <div style="margin-left: 40px;"><input type="checkbox"/> WAS BUILT AT _____ IN _____ OR CITY, STATE, COUNTRY MONTH/YEAR <input type="checkbox"/> IS UNDER CONSTRUCTION AT _____ AND IS SCHEDULED FOR COMPLETION IN _____ HULL MATERIAL: <input type="checkbox"/> WOOD <input type="checkbox"/> STEEL <input type="checkbox"/> FIBROUS REINFORCED PLASTIC <input type="checkbox"/> ALUMINUM <input type="checkbox"/> CONCRETE <input type="checkbox"/> OTHER (DESCRIBE) _____ APPROXIMATE LENGTH OF VESSEL _____ PREVIOUS NAMES, NUMBERS, OR FOREIGN REGISTRATIONS OF VESSEL _____</div>																											
<b>J. ENDORSEMENTS FOR WHICH APPLICATION IS MADE</b> <table style="width: 100%;"><tr><td><input type="checkbox"/> RECREATIONAL</td><td><input type="checkbox"/> COASTWISE – BOWATERS ONLY (CERTIFICATE ON FILE)</td></tr><tr><td><input type="checkbox"/> FISHERY</td><td><input type="checkbox"/> COASTWISE – OIL SPILL RESPONSE ONLY (LETTER OF QUALIFICATION ON FILE)</td></tr><tr><td><input type="checkbox"/> COASTWISE</td><td><input type="checkbox"/> COASTWISE UNDER CHARTER TO AN ENTITY QUALIFIED TO ENGAGE IN COASTWISE TRADE</td></tr><tr><td><input type="checkbox"/> REGISTRY</td><td>(COPY OF CHARTER ON FILE)</td></tr></table>				<input type="checkbox"/> RECREATIONAL	<input type="checkbox"/> COASTWISE – BOWATERS ONLY (CERTIFICATE ON FILE)	<input type="checkbox"/> FISHERY	<input type="checkbox"/> COASTWISE – OIL SPILL RESPONSE ONLY (LETTER OF QUALIFICATION ON FILE)	<input type="checkbox"/> COASTWISE	<input type="checkbox"/> COASTWISE UNDER CHARTER TO AN ENTITY QUALIFIED TO ENGAGE IN COASTWISE TRADE	<input type="checkbox"/> REGISTRY	(COPY OF CHARTER ON FILE)																
<input type="checkbox"/> RECREATIONAL	<input type="checkbox"/> COASTWISE – BOWATERS ONLY (CERTIFICATE ON FILE)																										
<input type="checkbox"/> FISHERY	<input type="checkbox"/> COASTWISE – OIL SPILL RESPONSE ONLY (LETTER OF QUALIFICATION ON FILE)																										
<input type="checkbox"/> COASTWISE	<input type="checkbox"/> COASTWISE UNDER CHARTER TO AN ENTITY QUALIFIED TO ENGAGE IN COASTWISE TRADE																										
<input type="checkbox"/> REGISTRY	(COPY OF CHARTER ON FILE)																										
<b>K. PRIMARY SERVICE &amp; HORSEPOWER</b> HORSEPOWER: _____ <table style="width: 100%;"><tr><td><input type="checkbox"/> COMMERCIAL FISHING BOAT</td><td><input type="checkbox"/> OFFSHORE SUPPLY VESSEL</td><td><input type="checkbox"/> RECREATIONAL</td></tr><tr><td><input type="checkbox"/> FISH PROCESSING VESSEL</td><td><input type="checkbox"/> PASSENGER (6 OR FEWER)</td><td><input type="checkbox"/> RESEARCH VESSEL</td></tr><tr><td><input type="checkbox"/> FREIGHT SHIP</td><td><input type="checkbox"/> PASSENGER (MORE THAN 6 )</td><td><input type="checkbox"/> SCHOOL SHIP</td></tr><tr><td><input type="checkbox"/> FREIGHT BARGE</td><td><input type="checkbox"/> PASSENGER BARGE (6 OR FEWER)</td><td><input type="checkbox"/> TANK BARGE</td></tr><tr><td><input type="checkbox"/> INDUSTRIAL VESSEL</td><td><input type="checkbox"/> PASSENGER BARGE (MORE THAN 6)</td><td><input type="checkbox"/> TANK SHIP</td></tr><tr><td><input type="checkbox"/> MOBILE OFFSHORE DRILLING UNIT</td><td><input type="checkbox"/> PUBLIC FREIGHT</td><td><input type="checkbox"/> TOWING VESSEL</td></tr><tr><td><input type="checkbox"/> OIL RECOVERY</td><td><input type="checkbox"/> PUBLIC TANKSHIP/BARGE</td><td><input type="checkbox"/> UNCLASSIFIED VESSEL</td></tr><tr><td></td><td><input type="checkbox"/> PUBLIC VESSEL, UNC</td><td></td></tr></table>				<input type="checkbox"/> COMMERCIAL FISHING BOAT	<input type="checkbox"/> OFFSHORE SUPPLY VESSEL	<input type="checkbox"/> RECREATIONAL	<input type="checkbox"/> FISH PROCESSING VESSEL	<input type="checkbox"/> PASSENGER (6 OR FEWER)	<input type="checkbox"/> RESEARCH VESSEL	<input type="checkbox"/> FREIGHT SHIP	<input type="checkbox"/> PASSENGER (MORE THAN 6 )	<input type="checkbox"/> SCHOOL SHIP	<input type="checkbox"/> FREIGHT BARGE	<input type="checkbox"/> PASSENGER BARGE (6 OR FEWER)	<input type="checkbox"/> TANK BARGE	<input type="checkbox"/> INDUSTRIAL VESSEL	<input type="checkbox"/> PASSENGER BARGE (MORE THAN 6)	<input type="checkbox"/> TANK SHIP	<input type="checkbox"/> MOBILE OFFSHORE DRILLING UNIT	<input type="checkbox"/> PUBLIC FREIGHT	<input type="checkbox"/> TOWING VESSEL	<input type="checkbox"/> OIL RECOVERY	<input type="checkbox"/> PUBLIC TANKSHIP/BARGE	<input type="checkbox"/> UNCLASSIFIED VESSEL		<input type="checkbox"/> PUBLIC VESSEL, UNC	
<input type="checkbox"/> COMMERCIAL FISHING BOAT	<input type="checkbox"/> OFFSHORE SUPPLY VESSEL	<input type="checkbox"/> RECREATIONAL																									
<input type="checkbox"/> FISH PROCESSING VESSEL	<input type="checkbox"/> PASSENGER (6 OR FEWER)	<input type="checkbox"/> RESEARCH VESSEL																									
<input type="checkbox"/> FREIGHT SHIP	<input type="checkbox"/> PASSENGER (MORE THAN 6 )	<input type="checkbox"/> SCHOOL SHIP																									
<input type="checkbox"/> FREIGHT BARGE	<input type="checkbox"/> PASSENGER BARGE (6 OR FEWER)	<input type="checkbox"/> TANK BARGE																									
<input type="checkbox"/> INDUSTRIAL VESSEL	<input type="checkbox"/> PASSENGER BARGE (MORE THAN 6)	<input type="checkbox"/> TANK SHIP																									
<input type="checkbox"/> MOBILE OFFSHORE DRILLING UNIT	<input type="checkbox"/> PUBLIC FREIGHT	<input type="checkbox"/> TOWING VESSEL																									
<input type="checkbox"/> OIL RECOVERY	<input type="checkbox"/> PUBLIC TANKSHIP/BARGE	<input type="checkbox"/> UNCLASSIFIED VESSEL																									
	<input type="checkbox"/> PUBLIC VESSEL, UNC																										

<b>L. CITIZENSHIP OF OWNER (CHECK THE BOX(ES) TO SHOW THE TYPE(S) OF ENTITY(IES) THAT OWNS THE VESSEL)</b>	
<input type="checkbox"/>	<b>ONE OR MORE INDIVIDUALS</b> I (WE) CERTIFY THAT ALL OWNERS OF THIS VESSEL ARE CITIZENS OF THE UNITED STATES
<input type="checkbox"/>	<b>GOVERNMENT ENTITY (FEDERAL, STATE, TERRITORY, POSSESSION OR SUBDIVISION)</b>
<input type="checkbox"/>	<b>CORPORATION (SEE SECTION M, ITEM 4 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT).</b>  1. STATE OF INCORPORATION _____ 2. CITIZENSHIP OF CHIEF EXECUTIVE OFFICER BY WHATEVER TITLE _____ 3. CITIZENSHIP OF CHAIRMAN OF THE BOARD _____ 4. NUMBER OF DIRECTORS NECESSARY TO CONSTITUTE A QUORUM _____ 5. NUMBER OF ALIEN DIRECTORS _____ 6. PERCENTAGE OF STOCK OWNED BY U.S. CITIZENS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT, WITH THE ENDORSEMENT(S) SOUGHT ON THIS APPLICATION:  <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> LESS THAN 50%  <input type="checkbox"/> 50% OR MORE         </div> <div> <input type="checkbox"/> 75% OR MORE AT EACH TIER  <input type="checkbox"/> 75% OR MORE AT EACH TIER AND IN THE AGGREGATE (IF FISHERY)         </div> </div>
<b>LIMITED LIABILITY COMPANY (LLC): (COMPLETE EQUITY SECTION AND CHOOSE TYPE) (SEE SECTION M, ITEM 4 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT).</b>  EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT SOUGHT:  <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> LESS THAN 50%  <input type="checkbox"/> 50% OR MORE         </div> <div> <input type="checkbox"/> 75% OR MORE AT EACH TIER  <input type="checkbox"/> 75% OR MORE AT EACH TIER AND IN THE AGGREGATE (IF FISHERY)         </div> </div> <input type="checkbox"/> 1. MEMBER MANAGED LIMITED LIABILITY COMPANY I (WE) CERTIFY THAT ALL MEMBERS OF THIS LLC ARE CITIZENS OF THE UNITED STATES ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.  (CLICK ICON TO ATTACH LIST OF ALL MEMBERS)(REQUIRED)  <b>OR</b>  2. LIMITED LIABILITY COMPANY NOT MANAGED BY MEMBERS AND NOT SIMILAR TO GENERAL PARTNERSHIP: PLEASE NOTE (A) & (B) MUST BE CHECKED FOR FISHERY AND COASTWISE ENDORSEMENTS; NOT REQUIRED FOR COASTWISE OIL SPILL OR COASTWISE UNDER CHARTER ENDORSEMENTS.  <input type="checkbox"/> A. I (WE) CERTIFY THAT ANY PERSON ELECTED TO MANAGE THE LLC OR WHO IS AUTHORIZED TO BIND THE LLC, AND ANY PERSON WHO HOLDS A POSITION EQUIVALENT TO THE CHIEF EXECUTIVE OFFICER, BY WHATEVER TITLE, AND THE CHAIRMAN OF THE BOARD OF DIRECTORS IN A CORPORATION, AND ANY PERSONS AUTHORIZED TO ACT IN THEIR ABSENCE ARE CITIZENS OF THE UNITED STATES. <input type="checkbox"/> B. NON-CITIZENS DO NOT HAVE AUTHORITY WITHIN A MANAGEMENT GROUP, WHETHER THROUGH VETO POWER, COMBINED VOTING, OR OTHERWISE, TO EXERCISE CONTROL OVER THE LLC.  STATE WHERE ORGANIZED _____	
<b>PARTNERSHIP (COMPLETE EQUITY SECTION AND CHOOSE TYPE) (SEE SECTION M, ITEM 4 WHEN APPLYING FOR FISHERY OR COASTWISE ENDORSEMENT)</b>  EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT SOUGHT:  <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> LESS THAN 50%  <input type="checkbox"/> 50% OR MORE         </div> <div> <input type="checkbox"/> 75% OR MORE AT EACH TIER  <input type="checkbox"/> 75% OR MORE AT EACH TIER AND IN THE AGGREGATE (IF FISHERY)         </div> </div> <input type="checkbox"/> 1. GENERAL PARTNERSHIP I (WE) CERTIFY THAT ALL PARTNERS IN THIS PARTNERSHIP ARE CITIZENS OF THE UNITED STATES ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT. <input type="checkbox"/> 2. LIMITED PARTNERSHIP I (WE) CERTIFY THAT ALL GENERAL PARTNERS IN THIS PARTNERSHIP ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.  (CLICK ICON TO ATTACH LIST OF ALL GENERAL PARTNERS)(REQUIRED)	
<input type="checkbox"/> CORPORATION QUALIFIED AND APPLYING UNDER 46 CFR 68.01 (BOWATERS) I (WE) CERTIFY THAT THE CORPORATE STRUCTURE HAS NOT CHANGED SINCE ISSUANCE OF THE CERTIFICATE OF COMPLIANCE.	
<input type="checkbox"/> VESSEL OWNED OR OPERATED BY NOT-FOR-PROFIT OIL RECOVERY COOPERATIVE I (WE) CERTIFY THAT THE INFORMATION ON FILE WITH REGARD TO COOPERATIVE AND ISSUANCE OF THE LETTER OF QUALIFICATION REMAINS UNCHANGED.	
<input type="checkbox"/> JOINT VENTURE OR ASSOCIATION I (WE) CERTIFY THAT ALL MEMBERS OF THIS (JOINT VENTURE) (ASSOCIATION) ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT(S) SOUGHT.  (CLICK ICON TO ATTACH LIST OF ALL JOINT VENTURERS/MEMBERS)(REQUIRED)	

TRUST ARRANGEMENT (COMPLETE EQUITY/STOCK SECTION) (SEE SECTION M, ITEM 4 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT)

- ☐ I (WE) CERTIFY THAT ALL TRUSTEES AND ALL BENEFICIARIES WITH AN ENFORCEABLE INTEREST IN THIS TRUST ARRANGEMENT ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.

EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT(S) SOUGHT:

- ☐ LESS THAN 50% ☐ 75% OR MORE AT EACH TIER  
☐ 50% OR MORE ☐ 75% OR MORE AT EACH TIER AND IN THE AGGREGATE (IF FISHERY)

OR

- ☐ VESSEL OWNED IN A TRUST ARRANGEMENT PURSUANT TO 46 USC 12111(c) (CROSS BORDER FINANCING)

I (WE) CERTIFY THAT THE VESSEL IS OWNED IN A TRUST ARRANGEMENT THAT QUALIFIES FOR A REGISTRY ENDORSEMENT ONLY PURSUANT TO PUBLIC LAW 104-324, SECT. 1136. ATTACHED IS AN AFFIDAVIT OF EACH TRUSTEE AND CITIZENSHIP EVIDENCE FOR THE CHARTERER AS REQUIRED UNDER THE STATUTE.

(CLICK ICON TO ATTACH LIST OF ALL TRUSTEES AND ALL BENEFICIARIES WITH AN ENFORCEABLE INTEREST)(REQUIRED)

M. I (WE) CERTIFY THAT: (MARK ALL THAT ARE APPLICABLE. ITEM 4, NOT REQUIRED FOR COASTWISE BOWATER ONLY, OIL SPILL, OR UNDER CHARTER ENDORSEMENTS.)

- ☐ 1. I AM (WE ARE) A CITIZEN(S) OF THE UNITED STATES AND LEGALLY AUTHORIZED TO EXECUTE THIS APPLICATION IN THE CAPACITY SHOWN;
- ☐ 2. IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART I) THE VESSEL(S) TO WHICH THIS APPLICATION APPLIES;  
(a) ☐ HAS (HAVE) BEEN MARKED OR ☐ WILL BE MARKED;  
(b) ☐ HAS (HAVE) NOT OR ☐ HAS (HAVE) BEEN REBUILT OR SUBSTANTIALLY ALTERED SINCE LAST DOCUMENTATION;  
(c) WILL AT ALL TIMES REMAIN UNDER THE COMMAND OF A U.S. CITIZEN, UNLESS DOCUMENTED SOLELY WITH A RECREATIONAL ENDORSEMENT; WILL NOT BE OPERATED IN A TRADE NOT AUTHORIZED BY THE ENDORSEMENT(S) ON THE CERTIFICATE(S) OF DOCUMENTATION. THE NAME(S) OF THE VESSEL(S) WILL NOT BE CHANGED WITHOUT APPROVAL FROM THE NATIONAL VESSEL DOCUMENTATION CENTER; AND I(WE) WILL PROMPTLY NOTIFY THE NATIONAL VESSEL DOCUMENTATION CENTER UPON A CHANGE IN ANY OF THE INFORMATION OR REPRESENTATIONS IN THIS APPLICATION.
- ☐ 3. THE VESSEL IS  
☐ NOT TITLED UNDER A STATE OR  
☐ TITLED UNDER THE LAWS OF \_\_\_\_\_

THE FOLLOWING ITEM IS REQUIRED FOR COASTWISE AND/OR FISHERY ENDORSEMENTS; HOWEVER, IT IS NOT REQUIRED FOR COASTWISE BOWATER ONLY, OIL SPILL, OR UNDER CHARTER ENDORSEMENTS.

- ☐ 4. U.S. CONTROL CERTIFICATION: THERE IS NO CONTRACT OR UNDERSTANDING THAT PROVIDES MORE THAN 25% OF THE VOTING POWER IN THE PARTNERSHIP, LIMITED LIABILITY COMPANY, CORPORATION, OR OTHER OWNING ENTITY EITHER DIRECTLY OR INDIRECTLY ON BEHALF OF ANY PERSON NOT A CITIZEN OF THE UNITED STATES. BY NO MEANS WHATSOEVER IS CONTROL IN EXCESS OF 25% CONFERRED UPON OR PERMITTED TO BE EXERCISED BY A PERSON WHO IS NOT A CITIZEN OF THE UNITED STATES.

POTENTIAL PENALTIES FOR FALSE STATEMENTS OR REPRESENTATIONS BY OWNER OR REPRESENTATIVE: CIVIL, MONETARY, VESSEL FORFEITURE (46 USC 12151), FINE AND/OR IMPRISONMENT (18 USC 1001)

SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_

CAPACITY (E.G., OWNER, AGENT, TRUSTEE,  
GENERAL PARTNER, CORPORATE OFFICER, MEMBER)

PRINTED OR TYPED NAME \_\_\_\_\_

APPLICATION FEES ARE NOT REFUNDABLE (46 CFR 67.500 (e)).

PRIVACY ACT STATEMENT

IN ACCORDANCE WITH 5 U.S.C. 553a, THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. **AUTHORITY.** SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 U.S.C., CHAPTERS 121 AND 125; 46 U.S.C. APP. 802 AND 883.
2. **THE PRINCIPAL PURPOSES** FOR WHICH THIS INFORMATION IS TO BE USED ARE:  
(1) TO DETERMINE CITIZENSHIP OF THE OWNER OF THE VESSEL FOR WHICH APPLICATION FOR DOCUMENTATION IS MADE; AND  
(2) TO DETERMINE ELIGIBILITY OF THE VESSEL TO BE DOCUMENTED WITH THE TRADE ENDORSEMENT SOUGHT.
3. **THE ROUTINE USES** WHICH MAY BE MADE OF THIS INFORMATION INCLUDE RELEASE TO LAW ENFORCEMENT OFFICIALS, TO THE GENERAL PUBLIC UNDER FREEDOM OF INFORMATION ACT, AND TO PUBLISH INFORMATION ABOUT U.S. DOCUMENTED VESSELS.
4. **DISCLOSURE** OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY, HOWEVER, FAILURE TO PROVIDE THE INFORMATION REQUESTED WILL RESULT IN DENIAL OF THE APPLICATION FOR DOCUMENTATION, WHICH MAY PREVENT THE OWNER FROM OPERATING THE VESSEL(S) IN A SPECIFIED TRADE.

AN AGENCY MAY NOT CONDUCT OR SPONSOR AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 30 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

## **INSTRUCTIONS FOR COMPLETING FORM CG-1258**

- A. **VESSEL NAME:** Insert name by which you wish the vessel to be known. If applying to change the vessel's name, insert the old vessel name in parenthesis. Only standard Alpha and Numeric Characters in the vessel name will appear on the Certificate of Documentation. Click icon for attachment if application covers multiple vessels.
- B. **OFFICIAL NUMBER:** Insert the Official Number (O/N) awarded by the Coast Guard for all but Initial Issue cases.
- C. **HULL ID #:** Insert Hull Identification Number (HIN) as assigned in accordance with 33 CFR 81.
- D. **IMO #:** Insert International Maritime Organization Number (IMO), if any.
- E. **HAILING PORT:** Insert name of place and state exactly as it is or will be marked on the vessel. The hailing port must be a place in the United States. Commonly used abbreviations such as 'NY, NY' are acceptable.
- F. **MANAGING OWNER:** Name, e-mail address and telephone number of the owner to whom the Coast Guard should send correspondence and their Social Security Number (SSN) or Tax Identification Number (TIN). SSN or TIN IS **REQUIRED**. Only an owner or part owner can be the managing owner. For vessels owned by a corporation the corporation is the managing owner. For a trust arrangement, the trustee is the managing owner. For a Partnership or a LLC, the name of the Partnership or the LLC should be shown.
- G. **ADDRESS OF MANAGING OWNER:** Enter the mailing address. If physical (street) address differs from mailing address, enter BOTH.
- H. **NAME(S) AND SOCIAL SECURITY NUMBER(S) OR TAX I.D. NUMBER(S) OF OTHER OWNERS:** All persons/entities (other than managing owner) who own an interest in the vessel. If no owners other than the managing owner, leave blank. Click icon for attachment if application covers multiple owners.
- I. **PURPOSE OF APPLICATION:** Check applicable blocks to show purpose of application. If vessel has never been documented, check Block #5 and provide ALL requested information known about the vessel's place and year of build, hull material, approximate length and previous names and numbers. If this information is unknown, please indicate.
- J. **ENDORSEMENTS:** Check the appropriate block(s) to indicate all endorsement(s) sought on this application.
- K. **PRIMARY SERVICE & HORSEPOWER:** Select only ONE service for which the vessel will be primarily used and insert horsepower.
- L. **CITIZENSHIP:** Vessel must be owned by a U.S. citizen eligible for the endorsement(s) sought. Select the section that describes the type of entity that owns the vessel. Citizenship for all owners must be provided.
- INDIVIDUAL:** Includes native born and naturalized U.S. citizens.
- GOVERNMENT ENTITY:** Includes Federal, State, Territory, Possession or Subdivision.
- CORPORATION:** Complete all items that apply.
- LIMITED LIABILITY COMPANY (LLC):** Complete Equity Section and choose type. For member managed LLC, a list of members is required. Click icon for attachment if application covers multiple members.
- PARTNERSHIP:** Complete Equity Section and choose type. A list of all general partners is required. Click icon for attachment if application covers multiple general partners.
- CORPORATIONS QUALIFIED UNDER 46 CFR 68.01 OR OWNED BY A NOT-FOR-PROFIT OIL RECOVERY COOPERATIVE:**  
Attach a copy of the Certificate of Compliance or Letter of Qualification issued by the Director, NVDC.
- JOINT VENTURE/ASSOCIATION:** A list of all joint venturers/members is required. The percentage held by each need not be shown. Click icon for attachment if application covers multiple joint venturers/members.
- TRUST ARRANGEMENT:** All trustees must be U.S. citizens. If applicable, each beneficiary with an enforceable interest must be a citizen. A list of all trustees (except managing owner) and beneficiaries with an enforceable interest is required. Click icon for attachment if application covers multiple trustees/beneficiaries with an enforceable interest.
- M. **CERTIFICATION:** Complete as appropriate. The law provides severe penalties for false statements against both the person (including agents) making the statement and against the vessel for which it is made.

**NOTE:** When applying for Fishery and/or Coastwise endorsement(s), see item 4.

**READ THE PRIVACY ACT STATEMENT AT THE END OF THE CG-1258.**

**ADDITIONAL INFORMATION ABOUT DOCUMENTATION MAY BE FOUND AT OUR WEBSITE**

**<http://www.uscg.mil/hq/cg5/nvdc/> and in 46 CFR Parts 67, 68 and 69.**

**YOU MAY ALSO CONTACT OUR OFFICE AT: 800-799-8362 OR BY MAIL AT U. S. COAST GUARD,  
NATIONAL VESSEL DOCUMENTATION CENTER, 792 TJ JACKSON DRIVE, FALLING WATERS, WV 25419.**

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**APPLICATION FOR INITIAL ISSUE, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF  
DOCUMENTATION; REDOCUMENTATION**  
**SECTION A – ADDITIONAL VESSELS**

VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	
VESSEL NAME (REQUIRED)	OFFICIAL NUMBER (IF AWARDED)
HULL ID NUMBER (IF ANY)	IMO NUMBER (IF ANY)
HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL EXACTLY AS SHOWN) (REQUIRED)	



[illegible]



DEPARTMENT OF HOMELAND SECURITY

U.S. Coast Guard

**APPLICATION FOR INITIAL ISSUE, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF DOCUMENTATION; REDOCUMENTATION**

**SECTION L – ATTACHMENT TO LIMITED LIABILITY COMPANY**

OFFICIAL NUMBER (IF AWARDED)

HULL IDENTIFICATION NUMBER (IF ANY)

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

MEMBER'S NAME

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**APPLICATION FOR INITIAL ISSUE, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF  
DOCUMENTATION; REDOCUMENTATION**  
**SECTION L – ATTACHMENT TO PARTNERSHIP**

OFFICIAL NUMBER (IF AWARDED)

HULL IDENTIFICATION NUMBER (IF ANY)

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

GENERAL PARTNER'S NAME

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**APPLICATION FOR INITIAL ISSUE, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF  
DOCUMENTATION; REDOCUMENTATION**  
**SECTION L – ATTACHMENT TO JOINT VENTURE OR ASSOCIATION**

OFFICIAL NUMBER (IF AWARDED)

HULL IDENTIFICATION NUMBER (IF ANY)

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

JOINT VENTURER'S/MEMBER'S NAME

DEPARTMENT OF HOMELAND SECURITY

U.S. Coast Guard

**APPLICATION FOR INITIAL ISSUE, EXCHANGE, OR REPLACEMENT OF CERTIFICATE OF  
DOCUMENTATION; REDOCUMENTATION  
SECTION L – ATTACHMENT TO TRUST ARRANGEMENT**

OFFICIAL NUMBER (IF AWARDED)

HULL IDENTIFICATION NUMBER (IF ANY)

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

TRUSTEE'S/BENEFICIARY'S NAME

TITLE

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**BUILDER'S CERTIFICATION AND FIRST TRANSFER OF TITLE**

OMB No: 1625-0027  
Expires: 04/30/2013

**I. PHASE OF CONSTRUCTION COVERED BY THIS CERTIFICATE**

☐ ENTIRE CONSTRUCTION

YEAR PHASE OF CONSTRUCTION

☐ HULL ONLY

YEAR COMPLETED

☐ COMPLETION ONLY (HULL BUILT BY ANOTHER)

**II. VESSEL DATA**

A. HULL IDENTIFICATION NUMBER OR HULL NUMBER

B. VESSEL NAME (IF KNOWN)

C. EQUIPPED WITH ENGINE

D. PLACE OF BUILD (CITY, STATE, COUNTRY)

☐ YES ☐ NO OUTBOARD: ☐ YES ☐ NO

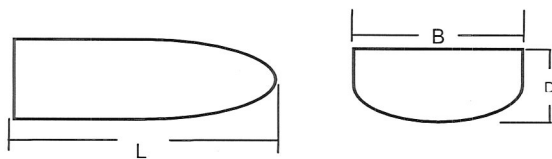
E. HULL MATERIAL

☐ WOOD ☐ STEEL ☐ ALUMINUM ☐ FIBROUS REINFORCED PLASTIC ☐ CONCRETE ☐ OTHER

**III. DIMENSIONS**

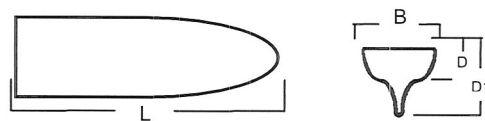
(COMPLETE APPROPRIATE DIAGRAM)

SHIP-SHAPE HULL



L= \_\_\_\_\_ B= \_\_\_\_\_ D= \_\_\_\_\_

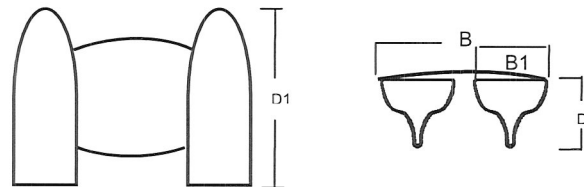
SAILBOAT



D1 only if actual hull depth (D) cannot be determined

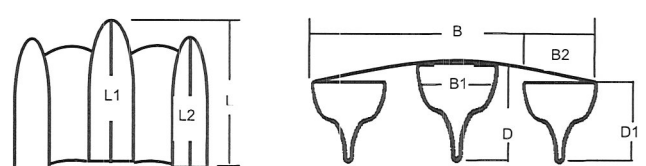
L= \_\_\_\_\_ B= \_\_\_\_\_ D= \_\_\_\_\_ D1= \_\_\_\_\_

CATAMARAN



L= \_\_\_\_\_ B= \_\_\_\_\_ B1= \_\_\_\_\_ D= \_\_\_\_\_

TRIMARAN



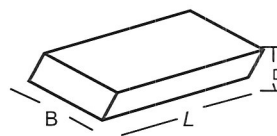
L = \_\_\_\_\_ L1= \_\_\_\_\_ L2= \_\_\_\_\_ B = \_\_\_\_\_  
B1= \_\_\_\_\_ B2= \_\_\_\_\_ D = \_\_\_\_\_ D1= \_\_\_\_\_

BARGE-SHAPED HULLS



L= \_\_\_\_\_ B= \_\_\_\_\_ D= \_\_\_\_\_

DECKHOUSES



Houseboats only

(AVERAGE DECKHOUSE  
DIMENSIONS MUST BE  
FURNISHED IN ADDITION  
TO HULL DIMENSIONS)

L= \_\_\_\_\_ B= \_\_\_\_\_ D= \_\_\_\_\_

**IV. UNITED STATES BUILD STATEMENT**

☐ ALL MAJOR COMPONENTS USED IN THE PHASE OF CONSTRUCTION COVERED BY THIS CERTIFICATE WERE FABRICATED IN THE UNITED STATES.

☐ ALL CONSTRUCTION AND ALL ASSEMBLY FOR THIS PHASE OF CONSTRUCTION WERE DONE IN THE UNITED STATES.

## V. NAME(S) AND ADDRESS(ES) OF PARTY(IES) FOR WHOM BUILT

IF BUILT FOR MORE THAN ONE PERSON, THE PERSONS NAMES ABOVE ARE TENANTS IN COMMON, EACH OWNING AN EQUAL UNDIVIDED INTEREST, UNLESS OTHERWISE INDICATED HEREIN: CHECK ONLY ONE OF THE FOLLOWING BLOCKS TO SHOW ANOTHER FORM OF OWNERSHIP.

☐ JOINT TENANCY WITH RIGHT OF SURVIVORSHIP

☐ TENANCY BY THE ENTIRETIES

☐ COMMUNITY PROPERTY

☐ OTHER (DESCRIBE)

## VI. CERTIFICATION

I, \_\_\_\_\_ DO HEREBY CERTIFY THAT THE FACTS RECITED HEREIN ARE TRUE AND THAT I HAVE PERSONAL KNOWLEDGE OF THESE FACTS BECAUSE I:

☐ PERSONALLY PERFORMED THE CONSTRUCTION

OR

☐ SUPERVISED THE CONSTRUCTION AT AND ON BEHALF OF: \_\_\_\_\_  
(NAME OF COMPANY)

☐ HAVE EXAMINED THE RECORDS OF THE COMPANY CONCERNING THE FACTS OF BUILD OF THE VESSEL IN MY CAPACITY AS \_\_\_\_\_  
(TITLE) OF \_\_\_\_\_  
(NAME OF COMPANY)

NOTE: THIS CERTIFICATE MUST NOT BE COMPLETED BY AN IMPORTER OR AN IMPORTER'S AGENT.

\_\_\_\_\_  
(SIGNATURE)

\_\_\_\_\_  
(DATE)

## VII. FIRST SALE OR TRANSFER OF VESSEL

100% OF THE VESSEL IDENTIFIED HEREIN IS SOLD (TRANSFERRED) BY THE PARTY(IES) NAMED IN SECTION V TO THE FOLLOWING PERSON(S) (NAMES AND ADDRESSES)

IF SOLD (TRANSFERRED) TO MORE THAN ONE PERSON, THE PURCHASER(S) (TRANSFERREE(S)) ARE TENANTS IN COMMON, EACH OWNING AN EQUAL UNDIVIDED INTEREST, UNLESS OTHERWISE INDICATED HEREIN: CHECK ONLY ONE OF THE FOLLOWING BLOCKS TO SHOW ANOTHER FORM OF OWNERSHIP.

☐ JOINT TENANCY WITH RIGHT OF SURVIVORSHIP

☐ TENANCY BY THE ENTIRETIES

☐ COMMUNITY PROPERTY

☐ OTHER (DESCRIBE)

## VIII. SIGNATURES OF SELLER(S) (TRANSFEROR(S)) OR PERSONS SIGNING ON BEHALF OF SELLER(S) (TRANSFEROR(S))

DATE SIGNED:

## IX. NAME(S) OR PERSON(S) SIGNING ABOVE, AND LEGAL CAPACITY IN WHICH SIGNED (E.G. OWNER, AGENT, TRUSTEE, EXECUTOR)

## X. ACKNOWLEDGEMENT (TO BE COMPLETED BY NOTARY PUBLIC OR OTHER OFFICIAL AUTHORIZED BY A LAW OF A STATE OR THE UNITED STATES TO TAKE OATHS.)

ON \_\_\_\_\_ THE PERSON(S)  
(DATE)

STATE: \_\_\_\_\_

NAMED IN SECTION IX ABOVE ACKNOWLEDGED EXECUTION OF THE FOREGOING INSTRUMENT IN THEIR STATED CAPACITY(IES) FOR THE PURPOSES THEREIN CONTAINED.

COUNTY: \_\_\_\_\_

\_\_\_\_\_  
NOTARY PUBLIC

MY COMMISSION EXPIRES: \_\_\_\_\_

## PRIVACY ACT STATEMENT

IN ACCORDANCE WITH 5 USC 552(A), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. **AUTHORITY:** SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 USC, CHAPTER 313 AND 46 CFR, PART 67.
2. **THE PRINCIPAL PURPOSES** FOR WHICH THIS INSTRUMENT IS TO BE USED ARE:
  - (A) TO PROVIDE A RECORD, AVAILABLE FOR PUBLIC INSPECTION AND COPYING, OF THE SALE OR OTHER CHANGE IN OWNERSHIP OF A VESSEL WHICH IS DOCUMENTED, WILL BE DOCUMENTED, OR HAS BEEN DOCUMENTED PURSUANT TO 46 USC, CHAPTER 121.
  - (B) PLACEMENT OF THIS INSTRUMENT IN A BOOK FOR EXAMINATION BY GOVERNMENTAL AUTHORITIES AND MEMBERS OF THE GENERAL PUBLIC.
3. **THE ROUTINE USE** WHICH MAY BE MADE OF THIS INFORMATION INCLUDES DEVELOPMENT OF STATISTICAL DATA CONCERNING DOCUMENTED VESSELS.
4. **DISCLOSURE** OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY; HOWEVER, FAILURE TO PROVIDE THE INFORMATION COULD PRECLUDE FILING OF A BILL OF SALE AND DOCUMENTATION OF THE VESSEL NAMED HEREIN PURSUANT TO 46 USC, CHAPTER 121. MOREOVER, BILLS OF SALE WHICH ARE NOT FILED ARE NOT DEEMED TO BE VALID AGAINST ANY PERSON EXCEPT THE GRANTOR OR A PERSON HAVING ACTUAL KNOWLEDGE OF THE SALE. (46 USC 31321(A)).

AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 30 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR ANY SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**VESSEL RENEWAL NOTIFICATION  
APPLICATION FOR RENEWAL**

OMB Approved: 1625-0027  
Expiration Date: 04/30/2013

RETURN TO: **NATIONAL VESSEL DOCUMENTATION CENTER  
PO BOX 1119  
FALLING WATERS, WV 25419-1119**

**NOTICE:**

THE CERTIFICATE OF DOCUMENTATION FOR THE VESSEL IDENTIFIED BELOW EXPIRES ON THE DATE INDICATED. COMPLETE THE CERTIFICATION ON THIS FORM AND RETURN IT PRIOR TO THE DATE OF EXPIRATION BY MAIL, FAX OR EMAIL (PDF) USING THE INFORMATION PROVIDED BELOW.

IF UNABLE TO COMPLETE THE CERTIFICATION BECAUSE ONE OR MORE OF THE ITEMS LISTED HAS CHANGED, CONTACT THE NATIONAL VESSEL DOCUMENTATION CENTER. FAILURE TO REPORT CHANGES OR RETURN THIS CERTIFICATION BY THE EXPIRATION DATE MAY RESULT IN PENALTIES AND/OR REMOVAL OF THE VESSEL FROM DOCUMENTATION. OPERATION OF THE VESSEL WITH AN INACCURATE OR INVALID CERTIFICATE OF DOCUMENTATION MAY RESULT IN ADDITIONAL PENALTIES. IF SUBMITTED AFTER EXPIRATION DATE, INCLUDE \$5.00 LATE FEE, ACCEPTED FOR UP TO 30 DAYS PAST EXPIRATION.

AN AGENCY MAY NOT CONDUCT OR SPONSOR AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 5 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR ANY SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419 OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20593.

PHONE: (800) 799-8362 OR (304) 271-2400

FAX: (304) 271-2541

EMAIL: [VDC-PF-NVDCRENEWALS@USCG.MIL](mailto:VDC-PF-NVDCRENEWALS@USCG.MIL)

PHYSICAL LOCATION: 792 T J JACKSON DRIVE, FALLING WATERS, WV 25419

POTENTIAL PENALTIES FOR FALSE STATEMENTS OR REPRESENTATION BY OWNER OR REPRESENTATIVE:  
VESSEL FORFEITURE (46 USC 12151) AND FINE AND/OR IMPRISONMENT (18 USC 1001)

PLEASE INDICATE ADDRESS CHANGE BELOW. (A PHYSICAL ADDRESS IS REQUIRED WHEN USING A POST OFFICE BOX.)

NAME	E-MAIL ADDRESS
PHYSICAL ADDRESS	P.O. BOX
CITY, STATE, ZIP	CITY, STATE, ZIP

I CERTIFY THAT THE RECITATIONS CONCERNING THE VESSEL: NAME, TONNAGE, DIMENSIONS, PROPULSION, OWNERSHIP, HAILING PORT, RESTRICTIONS, ENTITLEMENTS, REMARKS AND ENDORSEMENTS CONTAINED IN THE CERTIFICATE OF DOCUMENTATION REMAIN ABSOLUTELY THE SAME.

AUTHORIZED SIGNATURE	
CAPACITY OF PERSON SIGNING (OWNER/AGENT)	
DATE	



DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**BILL OF SALE**

OMB No: 1625-0027  
Expires: 04/30/2013

1. VESSEL NAME

2. OFFICIAL NUMBER OR HULL ID  
NUMBER

3. NAME(S) AND ADDRESS(ES) OF SELLERS

3A. TOTAL INTEREST OWNED (IF LESS THAN 100%): \_\_\_\_\_ %

4. NAME(S) AND ADDRESS(ES) OF BUYER(S) AND INTEREST TRANSFERRED TO EACH

4A. TOTAL INTEREST TRANSFERRED (100% UNLESS OTHERWISE SPECIFIED): \_\_\_\_\_ %

4B. MANNER OF OWNERSHIP. UNLESS OTHERWISE STATED HEREIN, THIS BILL OF SALE CREATES A TENANCY IN COMMON, WITH EACH TENANT OWNING AN EQUAL UNDIVIDED INTEREST. CHECK ONLY ONE OF THE FOLLOWING BLOCKS TO SHOW ANOTHER FORM OF OWNERSHIP.

☐

JOINT TENANCY WITH RIGHT OF SURVIVORSHIP

☐

TENANCY BY THE ENTIRETIES

☐

COMMUNITY PROPERTY

☐

OTHER (DESCRIBE)

5. CONSIDERATION RECEIVED (ONE DOLLAR AND OTHER VALUABLE CONSIDERATION UNLESS OTHERWISE STATED)

6. I (WE) DO HEREBY SELL TO THE BUYER(S) NAMED ABOVE, THE RIGHT, TITLE AND INTEREST IDENTIFIED IN BLOCK 4 OF THIS BILL OF SALE, IN THE PROPORTION SPECIFIED HEREIN.

VESSEL IS SOLD FREE AND CLEAR OF ALL LIENS, MORTGAGES, AND OTHER ENCUMBRANCES OF ANY KIND AND NATURE, EXCEPT AS STATED ON THE REVERSE HEREOF. VESSEL IS SOLD TOGETHER WITH AN EQUAL INTEREST IN THE MASTS, BOWSPRIT, SAILS, BOATS, ANCHORS, CABLES, TACKLE, FURNITURE, AND ALL OTHER NECESSARIES THERETO APPERTAINING AND BELONGING, EXCEPT AS STATED ON THE REVERSE HEREOF.

7. SIGNATURES OF SELLER(S) OR PERSON(S) SIGNING ON BEHALF OF SELLER(S).

8. DATE SIGNED

9. NAME(S) OF PERSON(S) SIGNING ABOVE, AND LEGAL COMPANY IN WHICH SIGNED (E.G., OWNER, AGENT, TRUSTEE, EXECUTOR)

10. ACKNOWLEDGMENT (TO BE COMPLETED BY NOTARY PUBLIC OR OTHER OFFICIAL AUTHORIZED BY A LAW OF A STATE OR THE UNITED STATES TO TAKE OATH.)

ON \_\_\_\_\_ THE PERSON(S) NAMED IN SECTION 9 STATE: \_\_\_\_\_  
(DATE)

ABOVE ACKNOWLEDGED EXECUTION OF THE FOREGOING INSTRUMENT  
IN THEIR STATED CAPACITY(IES) FOR THE PURPOSE THEREIN CONTAINED.

COUNTY: \_\_\_\_\_

NOTARY PUBLIC:

MY COMMISSION EXPIRES: \_\_\_\_\_  
(DATE)

**VESSEL DATA**

(COMPLETE THIS SECTION ONLY IF VESSEL HAS NEVER BEEN DOCUMENTED AND DOES NOT HAVE A HULL IDENTIFICATION NUMBER.)

A. BUILDER	B. BUILDER'S HULL NUMBER
C. FORMER NAME(S)	D. FORMER MOTORBOAT NUMBERS
E. FORMER ALIEN REGISTRATIONS	F. DIMENSIONS L=                                  B=                                  D=
G. PERSON FROM WHICH SELLER OBTAINED VESSEL	SIGNATURE OF SELLER

**WARRANTIES/APPURTENANCES/LIMITATIONS/EXCEPTIONS****INSTRUCTIONS**

1. INDICATE CURRENT DOCUMENTED NAME. (IF VESSEL HAS NEVER BEEN DOCUMENTED SELLER MUST COMPLETE AND SIGN DATA SECTION ABOVE.)
2. INDICATE OFFICIAL NUMBER AWARDED TO VESSEL OR HULL IDENTIFICATION NUMBER ASSIGNED BY MANUFACTURER. (IF THE VESSEL HAS NO HULL IDENTIFICATION NUMBER AND HAS NEVER BEEN DOCUMENTED, SELLER MUST COMPLETE AND SIGN THE VESSEL DATA SECTION ABOVE.)
3. INSERT NAMES AND ADDRESSES OF ALL PERSONS SELLING VESSEL, ALONG WITH TOTAL INTEREST OWNED BY THOSE PERSONS. IF MORE ROOM IS NEEDED, AN ATTACHMENT MAY BE MADE SHOWING THE ADDRESSES OF THE SELLERS.  
3A. SELF-EXPLANATORY.
4. INSERT NAMES AND ADDRESSES OF ALL BUYERS, ALONG WITH THE INTEREST TRANSFERRED TO EACH. IF THERE IS MORE THAN ONE BUYER AND NO DIVISION OF INTEREST IS SHOWN, THIS BILL OF SALE WILL RESULT IN EACH BUYER HOLDING AN EQUAL INTEREST. (IF MORE ROOM IS NEEDED, AN ATTACHMENT MAY BE MADE SHOWING THE ADDRESSES OF THE BUYERS.)  
4A. SELF-EXPLANATORY.
- 4B. CHECK ONE OF THE BLOCKS TO CREATE A FORM OF OWNERSHIP OTHER THAN A TENANCY IN COMMON. IF "OTHER" IS CHECKED, THE FORM OF OWNERSHIP MUST BE DESCRIBED.
5. OPTIONAL IF THE AMOUNT PAID FOR THE VESSEL IS INSERTED, IT WILL BE NOTED ON THE VESSEL'S GENERAL INDEX.
6. SELF-EXPLANATORY. USE "REMARKS" SECTION ABOVE IF VESSEL IS NOT SOLD FREE AND CLEAR, OR TO LIST VESSEL APPURTENANCES WHICH ARE NOT SOLD WITH THE VESSEL.
7. SELF-EXPLANATORY.
8. SHOW THE DATE ON WHICH THE INSTRUMENT IS SIGNED.
9. IN ADDITION TO THE PRINTED OR TYPED NAME OF THE SIGNER, SHOW WHETHER THAT PERSON WAS ACTING AS AN OWNER, AS AN AGENT FOR AN OWNER, AS TRUSTEE, AS THE PERSONAL REPRESENTATIVE OR EXECUTOR OF AN ESTATE, OR OTHER CAPACITY WHICH ENTITLED THAT PERSON TO SIGN THE BILL OF SALE.
10. ANY ACKNOWLEDGMENT IN SUBSTANTIAL COMPLIANCE WITH THE LAW OF THE STATE WHERE TAKEN MAY BE ATTACHED TO THIS INSTRUMENT IN LIEU OF THE PREPRINTED ACKNOWLEDGMENT.

**PRIVACY ACT STATEMENT**

IN ACCORDANCE WITH 5 USC 552(A), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. AUTHORITY: SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 USC, CHAPTER 313 AND 46 CFR, PART 67.
2. THE PRINCIPAL PURPOSES FOR WHICH THIS INSTRUMENT IS TO BE USED ARE:  
(A) TO PROVIDE A RECORD, AVAILABLE FOR PUBLIC INSPECTION AND COPYING, OF THE SALE OR OTHER CHANGE IN OWNERSHIP OF A VESSEL WHICH IS DOCUMENTED, WILL BE DOCUMENTED, OR HAS BEEN DOCUMENTED PURSUANT TO 46 USC, CHAPTER 121.  
(B) PLACEMENT OF THIS INSTRUMENT IN A BOOK FOR EXAMINATION BY GOVERNMENTAL AUTHORITIES AND MEMBERS OF THE GENERAL PUBLIC.
3. THE ROUTINE USE WHICH MAY BE MADE OF THIS INFORMATION INCLUDES DEVELOPMENT OF STATISTICAL DATA CONCERNING DOCUMENTED VESSELS.
4. DISCLOSURE OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY. HOWEVER, FAILURE TO PROVIDE THE INFORMATION COULD PRECLUDE FILING OF A BILL OF SALE AND DOCUMENTATION OF THE VESSEL NAMED HEREIN PURSUANT TO 46 USC, CHAPTER 121. MOREOVER, BILLS OF SALE WHICH ARE NOT FILED ARE NOT DEEMED TO BE VALID AGAINST ANY PERSON EXCEPT THE GRANTOR OR A PERSON HAVING ACTUAL KNOWLEDGE OF THE SALE. (46 USC 31321(A)).

AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 20 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

**BILL OF SALE BY GOVERNMENT ENTITY PURSUANT TO COURT ORDER OR  
ADMINISTRATIVE DEGREE OF FORFEITURE**

1. VESSEL NAME

2. OFFICIAL NUMBER OR OTHER UNIQUE  
IDENTIFIER

3. PERSON EXECUTING INSTRUMENT

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

NAME AND ADDRESS OF AGENCY REPRESENTED (AND DISTRICT, IF APPLICABLE)

4. COURT OR FORFEITURE INFORMATION

☐VESSEL SOLD PURSUANT TO  
ADMINISTRATIVE FORFEITURE  
(COPY OF DECREE ATTACHED)☐

VESSEL SOLD PURSUANT TO COURT ORDER

NAME OF COURT

TITLE OF ORDER

5. NAME(S) AND ADDRESS(ES) OF BUYER(S) AND INTEREST TRANSFERRED TO EACH

5A. TOTAL INTEREST TRNASFERRED (100% UNLESS OTHERWISE SPECIFIED): \_\_\_\_\_ %

5B. MANNER OF OWNERSHIP. (CHECK ONLY ONE, IF APPLICABLE). UNLESS OTHERWISE STATED HEREIN, THIS BILL OF SALE CREATES A TENANCY IN COMMON, WITH EACH TENANT OWNING AN EQUAL UNDIVIDED INTEREST.

☐

JOINT TENANCY WITH RIGHT OF SURVIVORSHIP

☐

TENANCY BY THE ENTIRETIES

☐

COMMUNITY PROPERTY

☐

OTHER (DESCRIBE)

6. CONSIDERATION RECEIVED (ONE DOLLAR AND OTHER VALUABLE CONSIDERATION UNLESS OTHERWISE STATED)

7. BY VIRTUE OF THE AFORESAID COURT ORDER, A COPY OF WHICH IS ATTACHED HERETO, OR DECREE OF ADMINISTRATIVE FORFEITURE ATTACHED HERETO, I DO HEREBY GRANT, BARGAIN, AND SELL THE VESSEL DESCRIBED HEREIN, TOGETHER WITH ITS TACKLE, APPAREL, AND FURNITURE, TO THE BUYER(S) NAMED ABOVE.

10. SIGNATURE AND ACKNOWLEDGMENT

ON \_\_\_\_\_ THE PERSON(S) NAMED  
(DATE)

STATE: \_\_\_\_\_

ABOVE ACKNOWLEDGED EXECUTION OF THE FOREGOING INSTRUMENT  
IN THEIR STATED CAPACITY(IES) FOR THE PURPOSE THEREIN CONTAINED.

COUNTY: \_\_\_\_\_

NOTARY PUBLIC:

MY COMMISSION EXPIRES: \_\_\_\_\_  
(DATE)

(COMPLETE THIS SECTION ONLY IF VESSEL HAS NEVER BEEN DOCUMENTED AND DOES NOT HAVE A HULL IDENTIFICATION NUMBER.)

### VESSEL DATA

A. BUILDER	B. BUILDER'S HULL NUMBER
C. FORMER NAME(S)	D. FORMER MOTORBOAT NUMBERS
E. FORMER ALIEN REGISTRATIONS	F. DIMENSIONS L= B= D=
G. PERSON FROM WHICH SELLER OBTAINED VESSEL	SIGNATURE OF SELLER

### INSTRUCTIONS

1. INDICATE CURRENT DOCUMENTED NAME. (IF VESSEL HAS NEVER BEEN DOCUMENTED SELLER MUST COMPLETE AND SIGN DATA SECTION ABOVE.)
  2. INDICATE OFFICIAL NUMBER AWARDED TO VESSEL OR HULL IDENTIFICATION NUMBER ASSIGNED BY MANUFACTURER. (IF THE VESSEL HAS NO HULL IDENTIFICATION NUMBER AND HAS NEVER BEEN DOCUMENTED, SELLER MUST COMPLETE AND SIGN THE VESSEL DATA SECTION ABOVE.)
  3. INSERT NAME AND ADDRESS OF ALL PERSONS EXECUTING INSTRUMENT AND THEIR CAPACITY. LIST THE NAME AND ADDRESS OF THE AGENCY IF APPLICABLE.
  4. SELF-EXPLANATORY.
  5. LIST THE NAME(S) AND ADDRESS(ES) OF THE BUYERS.
  - 5A. LIST THE INTEREST TRANSFERRED TO THE BUYER(S) NAMED ABOVE.
  - 5B. CHECK THE MANNER OF OWNERSHIP. UNLESS OTHERWISE STATED, THIS BILL OF SALE CREATES A TENANCY IN COMMON WITH EACH TENANT OWNING AN UNDIVIDED INTEREST.
  6. SELF-EXPLANATORY. USE "REMARKS" SECTION ABOVE IF VESSEL IS NOT SOLD FREE AND CLEAR, OR TO LIST VESSEL APPURTENANCES WHICH ARE NOT SOLD WITH THE VESSEL.
  7. SELF-EXPLANATORY.
  8. THIS SECTION MUST BE COMPLETED BY A NOTARY PUBLIC OR OTHER PERSON AUTHORIZED TO TAKE ACKNOWLEDGMENTS OF DEEDS UNDER THE LAWS OF A STATE OR THE UNITED STATES. IF THERE ARE MULTIPLE SIGNATORIES TO THIS BILL OF SALE, EACH MUST MAKE AN ACKNOWLEDGMENT BEFORE A NOTARY. ATTACHMENTS SHOWING THOSE APPEARANCES ARE PERMITTED.
- NOTE:** THIS INSTRUMENT WILL BE INELIGIBLE FOR FILING AND RECORDING IF ALTERED AFTER EXECUTION AND ACKNOWLEDGMENT. ANY ALTERATIONS MADE PRIOR TO EXECUTION MUST BE ATTESTED BY THE PERSON TAKING THE ACKNOWLEDGMENT.

### PRIVACY ACT STATEMENT

IN ACCORDANCE WITH 5 USC 552(A), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. **AUTHORITY:** SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 USC, CHAPTER 313 AND 46 CFR, PART 67.
2. THE **PRINCIPAL PURPOSES** FOR WHICH THIS INSTRUMENT IS TO BE USED ARE:
  - (A) TO PROVIDE A RECORD, AVAILABLE FOR PUBLIC INSPECTION AND COPYING, OF THE SALE OR OTHER CHANGE IN OWNERSHIP OF A VESSEL WHICH IS DOCUMENTED, WILL BE DOCUMENTED, OR HAS BEEN DOCUMENTED PURSUANT TO 46 USC, CHAPTER 121.
  - (B) PLACEMENT OF THIS INSTRUMENT IN A BOOK FOR EXAMINATION BY GOVERNMENTAL AUTHORITIES AND MEMBERS OF THE GENERAL PUBLIC.
3. THE **ROUTINE USE** WHICH MAY BE MADE OF THIS INFORMATION INCLUDES DEVELOPMENT OF STATISTICAL DATA CONCERNING DOCUMENTED VESSELS.
4. **DISCLOSURE** OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY, HOWEVER, FAILURE TO PROVIDE THE INFORMATION COULD PRECLUDE FILING OF A BILL OF SALE AND DOCUMENTATION OF THE VESSEL NAMED HEREIN PURSUANT TO 46 USC, CHAPTER 121. MOREOVER, BILLS OF SALE WHICH ARE NOT FILED ARE NOT DEEMED TO BE VALID EXCEPT AGAINST ANY PERSON EXCEPT THE GRANTOR OR A PERSON HAVING ACTUAL KNOWLEDGE OF THE SALE. (46 USC 31321(A)).

AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 20 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419 OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard

OMB No: 1625-0027

Expires: 04/30/2013

**APPLICATION, CONSENT, AND APPROVAL FOR WITHDRAWAL OF APPLICATION FOR  
DOCUMENTATION OR EXCHANGE OF CERTIFICATE OF DOCUMENTATION**

**I. APPLICATION**

1. VESSEL NAME

2. OFFICIAL NUMBER OR OTHER UNIQUE  
IDENTIFIER

3. NAME(S) AND ADDRESS(ES) OF MORTGAGOR(S)

4. NAME(S) AND ADDRESS(ES) OF MORTGAGEE(S)

5. DATE OF MORTGAGE

6. AMOUNT OF MORTGAGE

7. DATE FILED

8. PURPOSE OF APPLICATION

☐ APPLICATION IS HEREBY MADE FOR APPROVAL OF WITHDRAWAL OF THE APPLICATION FOR (*EXCHANGE OF*) DOCUMENTATION  
DATED: \_\_\_\_\_

☐ APPLICATION IS HEREBY MADE FOR APPROVAL OF EXCHANGE OF THE OUTSTANDING CERTIFICATE OF DOCUMENTATION FOR THE  
ABOVE NAMED VESSEL FOR THE FOLLOWING REASONS:

\_\_\_\_\_  
THE MORTGAGE WILL REMAIN A VALID OBLIGATION ENFORCEABLE AGAINST THE VESSEL IN ACCORDANCE WITH ITS TERMS.

9. SIGNATURE OF OWNER OR PERSON ACTING FOR OWNER

DATE

**II. CONSENT**

AS (*ON BEHALF*) OF THE MORTGAGEE(S), (WE) CONSENT TO WITHDRAWAL OF THE APPLICATION FOR DOCUMENTATION OR EXCHANGE OF  
THE OUTSTANDING CERTIFICATE OF DOCUMENTATION.

MORTGAGEE: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

## INSTRUCTIONS

### I. APPLICATION

1. INDICATE CURRENT DOCUMENTED NAME.
2. INDICATE OFFICIAL NUMBER AWARDED TO VESSEL OR OTHER UNIQUE IDENTIFIER (E.G., HULL IDENTIFICATION NUMBER).
3. SELF-EXPLANATORY.
4. SELF-EXPLANATORY.
5. SELF-EXPLANATORY.
6. SELF-EXPLANATORY.
7. INSERT DATE MORTGAGE WAS FILED WITH USCG.
8. SELF-EXPLANATORY.
9. SELF-EXPLANATORY.

### II. CONSENT

1. SELF-EXPLANATORY

## PRIVACY ACT STATEMENT

IN ACCORDANCE WITH 5 USC 552(A), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. AUTHORITY: SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 USC, CHAPTER 313 AND 46 CFR, PART 67.
2. THE PRINCIPAL PURPOSES FOR WHICH THIS INSTRUMENT IS TO BE USED ARE:
  - (A) TO PROVIDE A RECORD, AVAILABLE FOR PUBLIC INSPECTION AND COPYING, OF THE SALE OR OTHER CHANGE IN OWNERSHIP OF A VESSEL WHICH IS DOCUMENTED, WILL BE DOCUMENTED, OR HAS BEEN DOCUMENTED PURSUANT TO 46 USC, CHAPTER 121.
  - (B) PLACEMENT OF THIS INSTRUMENT IN A BOOK FOR EXAMINATION BY GOVERNMENTAL AUTHORITIES AND MEMBERS OF THE GENERAL PUBLIC.
3. THE ROUTINE USE WHICH MAY BE MADE OF THIS INFORMATION INCLUDES DEVELOPMENT OF STATISTICAL DATA CONCERNING DOCUMENTED VESSELS.
4. DISCLOSURE OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY. HOWEVER, FAILURE TO PROVIDE THE INFORMATION COULD PRECLUDE FILING OF A BILL OF SALE AND DOCUMENTATION OF THE VESSEL NAMED HEREIN PURSUANT TO 46 USC, CHAPTER 121. MOREOVER, BILLS OF SALE WHICH ARE NOT FILED ARE NOT DEEMED TO BE VALID AGAINST ANY PERSON EXCEPT THE GRANTOR OR A PERSON HAVING ACTUAL KNOWLEDGE OF THE SALE. (46 USC 31321 (A)).

AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 10 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419 OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**OPTIONAL APPLICATION FOR FILING**  
(SEE INSTRUCTIONS AND PRIVACY ACT ON REVERSE)

OMB No: 1625-0027

Expires: 04/30/2013

1. VESSEL NAME (ATTACH SCHEDULE IF MORE THAN ONE VESSEL)

2. OFFICIAL NUMBER OR OTHER UNIQUE IDENTIFIER

3. INSTRUMENT TYPE (CHECK ALL THAT APPLY)

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> PREFERRED MORTGAGE | <input type="checkbox"/> ASSUMPTION    | <input type="checkbox"/> ASSIGNMENT       |
| <input type="checkbox"/> CHATTEL MORTGAGE   | <input type="checkbox"/> SUPPLEMENT    | <input type="checkbox"/> OTHER (DESCRIBE) |
| <input type="checkbox"/> AMENDMENT          | <input type="checkbox"/> SUBORDINATION |   |

4. NAME(S) AND ADDRESS(ES) OF GRANTOR(S) (MORTGAGOR(S), ASSIGNOR(S), ASSUMING PARTY(IES), OR OTHER(S) - SEE INSTRUCTIONS)

INTEREST OWNED IN VESSEL OR HELD IN MORTGAGE AFFECTED BY ATTACHED INSTRUMENT \_\_\_\_%. (100% UNLESS OTHERWISE STATED)

5. NAME(S) AND ADDRESS(ES) OF GRANTEE(S) (MORTGAGEE(S), ASSIGNEE(S), OR OTHER(S) - SEE INSTRUCTIONS)

PERCENTAGE OF VESSEL MORTGAGED OR MORTGAGE ASSIGNED \_\_\_\_%. (100% UNLESS OTHERWISE STATED)

6. AMOUNT

7. IDENTIFICATION ON INSTRUMENT ASSUMED, ASSIGNED, AMENDED, SUPPLEMENTED, SUBORDINATED, OR OTHERWISE MODIFIED:

RECORDED BOOK: PAGE: FILE/RECORDED DATE: TIME:

OTHER IDENTIFYING DATA:

8. CERTIFICATION AND ATTESTATION: I (WE) HEREBY CERTIFY THAT THE FACTS RECITED HEREIN ARE TRUE AND CORRECT. I (WE) UNDERSTAND THAT THE U.S. COAST GUARD WILL RELY ON THOSE RECITATIONS IN INDEXING THE ATTACHED INSTRUMENT.

SIGNATURE(S): (SEE INSTRUCTIONS)

FOR THE GRANTOR(S)

FOR THE GRANTEE(S)

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

STATE: \_\_\_\_\_

COUNTY: \_\_\_\_\_

SUBSCRIBED AND SWORN BEFORE ME ON: \_\_\_\_\_

NOTARY PUBLIC: \_\_\_\_\_

MY COMMISSION EXPIRES: \_\_\_\_\_  
(DATE)

WARNING: FALSE STATEMENT MAY RESULT IN FINE OR IMPRISONMENT PURSUANT TO TITLE 18 USC.



### INSTRUCTIONS

1. SELF-EXPLANATORY. A SCHEDULE MAY BE ATTACHED IF MORE THAN ONE VESSEL IS AFFECTED BY THE INSTRUMENT ATTACHED.
2. LIST COAST GUARD ASSIGNED OFFICIAL NUMBER, MANUFACTURER'S HULL IDENTIFICATION NUMBER (HIN) ASSIGNED IN ACCORDANCE WITH RULES IN 33 CFR, OR OTHER UNIQUE IDENTIFIER, (STATE MOTORBOAT NUMBERS ARE NOT CONSIDERED UNIQUE IDENTIFIERS.)
3. SELF-EXPLANATORY.
4. GRANTOR. FOR PURPOSES OF THIS FORM, GRANTORS INCLUDE MORTGAGORS, ASSIGNORS, PERSONS ASSUMING MORTGAGES, PERSONS GRANTING SUBORDINATION OF MORTGAGES. LIST ALL GRANTORS AND ADDRESSES. A SEPARATE SCHEDULE MAY BE ATTACHED IF MORE ROOM IS NEEDED.
5. GRANTEE. FOR PURPOSES OF THIS FORM, GRANTEES INCLUDE MORTGAGEES, ASSIGNEES, PERSON FROM WHOM MORTGAGES ARE ASSUMED, AND PERSON TO WHOM SUBORDINATION OF MORTGAGES ARE GRANTED. LIST ALL GRANTEES AND ADDRESSES. A SEPARATE SCHEDULE MAY BE ATTACHED IF MORE ROOM IS NEEDED.
6. SELF-EXPLANATORY.
7. NOT USED FOR NEW CHATTEL OR PREFERRED MORTGAGES. MUST BE COMPLETED FOR ASSUMPTIONS, ASSIGNMENTS, AMENDMENTS, OR OTHER INSTRUMENTS MODIFYING CHATTEL OR PREFERRED MORTGAGE FILED PREVIOUSLY OR CONCURRENTLY.
8. REQUIRED SIGNATURES AND NOTARIZATION:
  - CHATTEL OR PREFERRED MORTGAGES, AMENDMENTS, OR SUPPLEMENTS: GRANTOR AND GRANTEE OR PERSONS ACTING ON BEHALF OF THOSE ENTITIES.
  - ASSUMPTION OF MORTGAGE: ASSUMING PARTY AS GRANTOR, ORIGINAL MORTGAGOR AND MORTGAGEE AS GRANTEES, OR PERSONS ACTING ON BEHALF OF THOSE ENTITIES.
  - SUBORDINATION AGREEMENT OR ASSIGNMENT: GRANTOR OF SUBORDINATION AGREEMENT OR ASSIGNMENT, OR PERSON ACTING ON BEHALF OF THAT ENTITY.

### PRIVACY ACT STATEMENT

THE SECRETARY OF TRANSPORTATION AUTHORIZED THE COAST GUARD TO BE THE AGENCY WHICH (A) ACCEPTS APPLICATIONS FOR DOCUMENTATION OF VESSELS; (B) DETERMINES WHETHER A VESSEL WHICH IS THE SUBJECT OF APPLICATION IS ELIGIBLE FOR THE ENDORSEMENT OR ENDORSEMENTS REQUESTED; AND (C) ISSUES CERTIFICATES OF DOCUMENTATION TO ELIGIBLE VESSELS. (14 U.S.C. 664; 31 U.S.C. 9701; 42 U.S.C. 9118; 46 U.S.C. 2103, 2107, 2110; 46 U.S.C. APP. 841A, 876; 49 U.S.C. 332; 49 C.F.R. 1.46)

INFORMATION COLLECTED MAY BE ACCESSED BY FEDERAL, STATE, AND LOCAL AGENCIES, AS WELL AS MEMBERS OF THE GENERAL PUBLIC TO ASSIST LAW ENFORCEMENT OR FOR OTHER LAWFUL PURPOSES. THIS INFORMATION IS ALSO PUBLISHED IN ACCORDANCE WITH 46 U.S.C. APP. 12138.

PROVIDING THE INFORMATION IS VOLUNTARY. HOWEVER, THE COAST GUARD CANNOT PROCESS YOUR APPLICATION IF THE REQUESTED INFORMATION IS NOT COMPLETE. THE INFORMATION FURNISHED ON THE ASSOCIATED FORMS IS USED TO ENSURE THE REQUIREMENTS FOR DOCUMENTATION ARE MET.

PENALTIES FOR PROVIDING FALSE STATEMENTS OR REPRESENTATIONS ARE COVERED UNDER 18 U.S.C. 1001 AND 46 U.S.C. 12151.

AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 10 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419 OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**AUTHORIZATION FOR CREDIT CARD TRANSACTIONS**

OMB Number: 1625-0027

Expiration Date: xx/xx/xx

A. DATE																					
B. FROM						C. TELEPHONE NUMBER															
D. VESSEL NAME						E. HULL IDENTIFICATION # OR OTHER UNIQUE IDENTIFIER (IF ANY)															
F. CREDIT CARD HOLDER'S NAME																					
G. CREDIT CARD NUMBER (WE ACCEPT VISA, MASTERCARD, AMERICAN EXPRESS OR DISCOVER)										H. EXPIRATION DATE											
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td><td style="width: 25px; height: 20px;"></td></tr></table>																					
I. AMOUNT OF CHARGE						J. VESSEL USE/ENDORSEMENT (CHECK ONE) <input type="checkbox"/> REC <input type="checkbox"/> COM				K. OFFICIAL # OR HIN											
<p>L. INDICATE SERVICE REQUIRED</p> <p>Visit our website at <a href="http://www.uscg.mil/hq/cg5/nvdc/nvdcfee.asp">http://www.uscg.mil/hq/cg5/nvdc/nvdcfee.asp</a> for complete Fee Schedule</p> <p><input type="checkbox"/> Application Fees (Initial, Exchange, Return, and Replacement) Amount \$ _____</p> <p><input type="checkbox"/> Certificate of Ownership (CG-1330) Fee \$125.00</p> <p><input type="checkbox"/> Copy of General Index or Abstract of Title Fee \$25.00</p> <p><input type="checkbox"/> Certified Copy of Certificate of Documentation w/Seal Fee \$4.00</p> <p><input type="checkbox"/> Deletion Letter \$15.00</p> <p><input type="checkbox"/> Bill of Sale \$8.00/page</p> <p><input type="checkbox"/> Renewal</p> <p><input type="checkbox"/> Other _____ Amount \$ _____</p> <p><i>APPLICATION FEES ARE NOT REFUNDABLE (46 CFR 67.500 (e)).</i></p>																					
M. REQUESTOR NAME & ADDRESS						N. TELEPHONE NUMBER															
ATTN:						O. FAX NUMBER															
						P. E-MAIL ADDRESS															
<b>FOR COAST GUARD USE ONLY</b>																					
Q. NAME OF PERSON TAKING REQUEST						R. DATE PROCESSED															
<p style="text-align: center;"><b>PRIVACY ACT STATEMENT</b></p> <p>IN ACCORDANCE WITH 5 U.S.C. 552(a), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.</p> <p>1. <b>AUTHORITY:</b> SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 U.S.C., CHAPTERS 121 AND 125; 46 U.S.C. APP. 802 AND 883.</p> <p>2. <b>THE PRINCIPAL PURPOSE</b> FOR WHICH THIS INFORMATION IS TO BE USED IS TO COLLECT USER FEES DIRECTLY ASSOCIATED WITH THE NATIONAL VESSEL DOCUMENTATION CENTER FOR WHICH APPLICATION FOR DOCUMENTATION IS MADE.</p> <p>3. <b>DISCLOSURE</b> OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY; HOWEVER, FAILURE TO PROVIDE THE INFORMATION REQUESTED WILL RESULT IN DENIAL OF THE APPLICATION FOR DOCUMENTATION, WHICH MAY PREVENT THE OWNER FROM OPERATING THE VESSEL(S) IN A SPECIFIED TRADE. AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.</p> <p>THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 5 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.</p>																					

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**ABSTRACT OF TITLE/CERTIFIED COD REQUEST**

OMB Number: 1625-0027  
Expiration Date: xx/xx/xxxx

A. ☐ PLEASE CHECK BOX IF REQUESTING MULTIPLE DOCUMENTS AND USE PAGE 2

B. VESSEL USE/ENDORSEMENT (CHECK ONE:) ☐ RECREATION ☐ COMMERCIAL

C. OFFICIAL # (IF AWARDED)

D. HULL IDENTIFICATION # OR OTHER UNIQUE IDENTIFIER (IF ANY)

E. VESSEL NAME

F. OWNER'S NAME

G. ☐ ABSTRACT OF TITLE (AT) ☐ CERTIFIED COPY OF CERTIFICATE OF DOCUMENTATION (COD)

H. BUSINESS/ORGANIZATION NAME (IF APPLICABLE)

I. REQUESTOR'S NAME

J. REQUESTOR'S ADDRESS

K. REQUESTOR'S FAX NUMBER

L. REQUESTOR'S TELEPHONE NUMBER

M. E-MAIL ADDRESS

N. CREDIT CARD HOLDER'S NAME

O. CREDIT CARD NUMBER (WE ACCEPT VISA, MASTERCARD, AMERICAN EXPRESS  
OR DISCOVER)

P. EXPIRATION DATE

Q. FEE INFORMATION:

\$25 PER AT x \_\_\_\_\_ ATS ORDERED = \$ \_\_\_\_\_

\$ 4 PER COD x \_\_\_\_\_ CODS ORDERED = \$ \_\_\_\_\_

R. TOTAL AUTHORIZED CHARGE TO CREDIT CARD \$ \_\_\_\_\_

S. REQUEST RETURN BY: ☐ USPS ☐ FAX ☐ E-MAIL

**FOR COAST GUARD USE ONLY**

T. NAME OF PERSON TAKING REQUEST

U. DATE

**PRIVACY ACT STATEMENT**

IN ACCORDANCE WITH 5 U.S.C. 552(a), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. **AUTHORITY:** SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 U.S.C., CHAPTERS 121 AND 125; 46 U.S.C. APP. 802 AND 883.
2. **THE PRINCIPAL PURPOSE** FOR WHICH THIS INFORMATION IS TO BE USED IS TO COLLECT USER FEES DIRECTLY ASSOCIATED WITH THE NATIONAL VESSEL DOCUMENTATION CENTER FOR WHICH APPLICATION FOR DOCUMENTATION IS MADE.
3. **DISCLOSURE** OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY; HOWEVER, FAILURE TO PROVIDE THE INFORMATION REQUESTED WILL RESULT IN DENIAL OF THE APPLICATION FOR DOCUMENTATION, WHICH MAY PREVENT THE OWNER FROM OPERATING THE VESSEL(S) IN A SPECIFIED TRADE. AN AGENCY MAY NOT CONDUCT OR SPONSOR, AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 5 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

ATTACHMENT TO  
ABSTRACT OF TITLE/CERTIFIED COD REQUEST

	OFFICIAL #	HULL IDENTIFICATION #	VESSEL NAME OR OWNER'S NAME	AT \$25.00	COD \$4.00	REC	COM
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							
21							
22							
23							
24							
25							
26							
27							
28							