

**Fwd: Veterinary Initiative for Endangered Wildlife PRT #75654B**

Deborah
To: Nina

Mon, Sep 26, 2016 at 11:48 AM

Dr. Deborah McCauley
Founder and Executive Director
Veterinary Initiative for Endangered Wildlife

Begin forwarded message:

From: "Barry, Anna" <anna_barry@fws.gov>
Date: July 19, 2016 at [REDACTED]
To: Deborah McCauley [REDACTED]
Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

Dear Dr. McCauley,

To amend your current permit to add additional species please complete the following.

1. Page 1 of application 3-200-52: <https://www.fws.gov/forms/3-200-52.pdf>
2. Following questions from application 3-200-37: <https://www.fws.gov/forms/3-200-37.pdf>
 - Question #2 - provide scientific and common name of ever species you wish to add to permit (remember this permit is for species listed as Appendix I under and CITES and animals listed under the Endangered Species Act).
 - Question #F (6, e-g)
 - Question #G (7-8)
 - Question #18
 - Question #19
3. Processing fee is not required.

ESA Species List: <https://www.fws.gov/endangered/>

CITES Species List: <http://www.speciesplus.net/>

Please provide a copy of this e-mail with the application to ensure that it is referred to me.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. We do not accept applications via fax or e-mail.

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



On Mon, Jul 18, 2016 at 5:35 PM, Deborah McCaul [REDACTED]
Hello Ms. Barry,

I am including the letter we submitted to you this time last year to request a multi-use CITES permit. I have also copied our language below for this request:

At this time we are seeking permission to import samples from tigers and rhinos. However, because our work in Nepal is growing and we expect to obtain additional samples on an ongoing basis, we are requesting a multi-use or Masterlist designation for this permit. We have enclosed the fee of \$200 for a multi-use permit, the requisite form, and a complete list of samples we currently wish to import.

You had advised us to first get the tiger permit and submit an additional request for the multi-use permit. Please either call me on 406.581.0571 and/or email me to let me know the further steps we need to take to get this request and how best to proceed.

Thank you for your guidance,

Sincerely,
Deborah

On Jul 1, 2016, at 10:20 AM, Barry, Anna <anna_barry@fws.gov> wrote:

Hello Dr. McCauley,

Attached is a copy of your permit, the original is being mailed to you

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. We do not accept applications via fax or e-mail.

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



<08.01.16.75654B.permit..pdf>

Dr. Deborah McCauley
Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife





CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 1

1. Original Permit/Certificate No.

16US75854B/9

2. Valid

06/30/2017

3. Permittee (name and address, country)

VETERINARY INITIATIVE FOR ENDANGERED WILDLIFE
201 S. GRAND AVENUE
BOZEMAN, MT 59715
U.S.A.

4. Consignee (name and address, country)

DR. CHIRAN POKHERAL
NATIONAL TRUST FOR NATURE CONSERVATION (NTNC)
SAURHA
NEPAL

Original, Unused
Permit Returned

5. Special Conditions

MUST COMPLY WITH ENCLOSED GENERAL PERMIT CONDITIONS.

U.S. ENDANGERED SPECIES [50 CFR 17.22].

PRIOR TO IMPORT PERMITTEE MUST COMPLETE BOX # 9
(DESCRIPTION) AND 11 (QUANTITY).

PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS, PERMITTEE
TO RETAIN ORIGINAL COPY.

-May not be used for commercial purposes. For live animals, only valid
if the transport conditions comply with the CITES Guidelines for
Transport of Live Animals or, in the case of air transport, with IATA Live
Animals Regulations.

5a. Purpose of Transaction

S

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

U.S. CITES
Management Authority

07/01/2016

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/B. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including Identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
BENGAL
TIGER

9. IMPORT: BIOLOGICAL SAMPLES: EACH VIAL
CONTAINS _____ ml OF SERUM. TOTAL VIALS = _____

10. 1 W

Scientific Name
PANTHERA
TIGRIS TIGRIS

11. Quantity (including units) ML

12. Country of Origin
NEPAL

B. Common Name
INDIAN
RHINOCEROS

9. IMPORT: BIOLOGICAL SAMPLES: EACH VIAL
CONTAINS _____ ml OF SERUM. TOTAL VIALS = _____

10. 1 W

Scientific Name
RHINOCEROS
UNICORNIS

11. Quantity (including units) ML

12. Country of Origin
NEPAL

C. Common Name

9.

10.

Scientific Name

11. Quantity (including units)

12. Country of Origin

D. Common Name

9.

10.

Scientific Name

11. Quantity (including units)

12. Country of Origin

E. Common Name

9.

10.

Scientific Name

11. Quantity (including units)

12. Country of Origin

582155

RCVD MAY 04 2017

LP



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 5 and 6 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

| A. Complete if applying as an individual | | | |
|--|---------------------------------|-----------------------------|--|
| 1.a. Last name | 1.b. First name | 1.c. Middle name or initial | 1.d. Suffix |
| 2. Date of birth (mm/dd/yyyy) | 3. Social Security No. | 4. Occupation | 5. Affiliation/ Doing business as (see instructions) |
| 6.a. Telephone number | 6.b. Alternate telephone number | 6.c. Fax number | 6.d. E-mail address |

| B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution | | | |
|--|--|--|-------------|
| 1.a. Name of business, agency, Tribe, or institution (VIEW) | 1.b. Doing business as (dba) VIEW | | |
| 2. [Redacted] | 3. Description of business, agency, Tribe, or institution Non-government organization: Conservation | | |
| 4.a. [Redacted] | 4.b. Principal officer First name Deborah | 4.c. Principal officer Middle name/ initial Lynne | 4.d. Suffix |
| 5. Principal officer title Executive Director | | 6. Primary contact name Same | |
| 7.a. Alternate telephone number | 7.b. Business fax number | 7.d. Business e-mail address deborah.mccanley@viewind.org | |

| C. All applicants complete address information | |
|--|---------------------|
| 1.a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) | 1.f. Country USA |
| 2.a. [Redacted] | 2.f. Country USA |

| D. All applicants MUST complete | |
|--|--|
| 1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d)) | |
| 2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No <input checked="" type="checkbox"/> | |
| 3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. Signature (in blue ink) of applicant/person responsible for permit: Deborah L. McCanley Date of signature (mm/dd/yyyy): 4/19/2017 | |

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form cannot be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application must be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

1. **Permit number.** Enter the permit number to be reissued/renewed/amend 164875654 B/9
2. **Submit the original permit with this application.**
3. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.
4. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: Deborah McCaulley Date: 4/19/2017

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/lc/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.

8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

same as mailing address

9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Gretchen Kaufman


gretchen.kaufman@viewwildlife.org

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 1

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16US75654B/9
2. Valid
06/30/2017

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201 S. GRAND AVENUE
BOZEMAN, MT 59715
U.S.A.

4. Consignee (name and address, country)

DR. CHIRAN POKHERAL
NATIONAL TRUST FOR NATURE CONSERVATION (NTNC)
SAURHA
NEPAL

5. Special Conditions

MUST COMPLY WITH ENCLOSED GENERAL PERMIT CONDITIONS.

U.S. ENDANGERED SPECIES [50 CFR 17.22].

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Animals Regulations.

5a. Purpose of Transaction

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6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: 1A
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

U.S. CITES
Management Authority

07/01/2016

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/B. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including Identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
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TIGER

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CONTAINS _____ ml OF SERUM. TOTAL VIALS = _____

10. 1 W

Scientific Name
PANTHERA
TIGRIS TIGRIS

11. Quantity (including units)

ML

12. Country of Origin
NEPAL

B. Common Name
INDIAN
RHINOCEROS

9. IMPORT: BIOLOGICAL SAMPLES: EACH VIAL
CONTAINS _____ ml OF SERUM. TOTAL VIALS = _____

10. 1 W

Scientific Name
RHINOCEROS
UNICORNIS

11. Quantity (including units)

ML

12. Country of Origin
NEPAL

C. Common Name

9.

10.

11. Quantity (including units)

12. Country of Origin

Scientific Name

D. Common Name

9.

10.

11. Quantity (including units)

12. Country of Origin

Scientific Name

E. Common Name

9.

10.

11. Quantity (including units)

12. Country of Origin

Scientific Name

562135



Government of Nepal
Ministry of Forest and Soil Conservation
Department of National Parks and Wildlife Conservation
CHITWAN NATIONAL PARK OFFICE

Kasara Chitwan
Phone 056-411025
Fax 056-5411024

Ref. No.:

February 23, 2016

Anna Berry
Senior Biologist
USFWS Division of Management Authority
USA

Dear Anna Berry,

I am the Senior Veterinary Officer for DNPWC (Department of National Parks Wildlife Conservation) Nepal headquartered in Chitwan NP. VIEW (Veterinary Initiative for Endangered Wildlife) has been working with us since 2012 helping to develop a wildlife health program for Nepal. We have several critically endangered wildlife species in Nepal that need further diagnostic analysis that is not available to us in Nepal. Cornell University is one of the diagnostic facilities that we wish to send specific biological samples for diagnostic purposes. For example, we are sending Tiger serum to Cornell University to investigate diseases. However, we would like to submit wildlife serum samples from Nepal with a multi-use CITES permit to the appropriate diagnostic laboratories in the US. This first batch of serum samples from tigers and a leopard will be going to Cornell.

DNPWC is seeking permission of US CITES through VIEW to send biological samples to the most appropriate laboratory in the US for scientific evaluation. This may include but is not exclusive to well established laboratories at Cornell University, Washington State University, or the National Veterinary Diagnostic Laboratory in Ames, Iowa. VIEW will determine which laboratory is best suited for the required analysis depending on the species and diseases of concern for investigation.

Thank you for your consideration,

(Dr. Kamal P. Gairhe)
Sr. Veterinary Officer
Chitwan National Park
Dept. of National Parks and Wildlife Conservation
Ministry of Forests and Soil Conservation
Nepal

7c p2



Government of Nepal
Ministry of Forest and Soil Conservation
Department of National Parks and Wildlife Conservation
CHITWAN NATIONAL PARK OFFICE



Ref. No.: 271-2070-71

Kasara Chitwan
Phone 056-411025
Fax: 056-5411024

Date: 29-Jan-15

Subject: Permission acquired for sending wildlife serum samples to Cornell University

Dr Deborah McCauly
Director of Wildlife Health
VIEW, USA

Dear Deborah,

Chitwan National Park has obtained necessary permission to export wildlife serum samples attached with this letter from the Ministry of Forests and Soil Conservation of Nepal. A translated copy of the permission letter was forwarded to you earlier this month.

Kindly proceed for the import letter from US CITES and other relevant paper work from your institution. We will request the Department of National Parks and Wildlife Conservation, Nepal to issue CITES permits after the import permits are obtained from the US Government.

Dr Kamal P. Gairhe
Senior Veterinary Officer

CC:

Department of National Parks and Wildlife Conservation
GPO Box 860, Babarmahal, Kathmandu
Mr. Gopal Prakash Bhattarai, Ecologist: snpwh@yahoo.com
Mr Rishi Ranabhat, Asst. Ecologist : ranabhatrishi@gmail.com

Chitwan National Park Office, Kasara, Chitwan
Mr. Kamal Jung Kunwar, Chief Warden
kamalkunwar@hotmail.com
kalpa_2005@yahoo.com



नेपाल सरकार
वन तथा भू-संरक्षण मन्त्रालय
राष्ट्रिय निकुञ्ज तथा वन्यजन्तु संरक्षण विभाग
(..... शाखा)

फोन नं : ४२२०८५०
४२२०९१२
४२२७९२६
फ्याक्स नं ४२२७६७५



संकेत नं :-

पत्र संख्या २०७०।०७।०७ ईको नं. ५९
चलानी नं. ५९३

पो. ब. नं. - ८६०

बबरमहल, काठमाडौं

Email: info@dnppwc.gov.np
http://www.dnppwc.gov.np

मिति :- २०७१।६।२३

विषय :- रोग व्याधी परीक्षण गर्न सिरमका नमूना विदेश पठाउने सम्बन्धमा ।

✓ श्री चितवन राष्ट्रिय निकुञ्ज कार्यालय,
कसरा, चितवन

प्रस्तुत विषयमा "चितवन राष्ट्रिय निकुञ्जमा गैडाको रेडियोकोलारिङ्ग, मानिस मार्ने बाघको नियन्त्रण र कहिले काहीँ उपचारका लागि वन्यजन्तु लड्याउन पर्ने अवस्थामा संकलन गरिएको रगतका नमूनाहरूमा विभिन्न रोगहरूको अवस्था बुझ्न एवम् विभिन्न रोगका कारक जिबको उपस्थिति परिक्षण गर्न अमेरिकाको न्यूयोर्क स्थित Cornell University मा उक्त संकलित सिरमका र Tissue Samples पठाउनका लागि चितवन राष्ट्रिय निकुञ्ज कार्यालयबाट स्वीकृति माग गरेको अवस्थामा तहाँ विभागका प्राविधिक समितिको निर्णय, प्राकृतिक विज्ञान संग्रहालयको राय, तहाँ विभागका महानिर्देशक समेतको सिफारिस अनुसार संकलित सिरम र Tissue Samples उल्लेखित University का Laboratory मा परिक्षणको लागि पठाउन नेपाल सरकार (सचिवस्तर) मिति २०७१।५।१५ को निर्णय भएकोले निर्णयानुसार गर्नु" भनी वन तथा भू-संरक्षण मन्त्रालयको पत्रसंख्या वातावरण २०७१।०७.२ चलानी नं. ८३ मिति २०७१।५।२३ को पत्र प्राप्त भएकोले तपसिल बमोजिमका शर्तहरूको अधिनमा रही निर्णय कार्यान्वयनका लागि पठाईएको व्यहोरा आदेशानुसार अनुरोध छ ।

List of Serum Samples of Wildlife Stored in CNP requiring investigation in the US

| S.N | Animal Species | Sex | Location | Date of Sampling | Quality of Serum | Remarks |
|-----|----------------|-----|-----------------|------------------|------------------|------------------------|
| 1 | Tiger | M | Kasara Captive | 21-Jan-11 | H+ | |
| 2 | Tiger | M | Kasara captive | 1-Nov-11 | C | |
| 3 | Tiger | M | Liglige | 2-Feb-12 | | Grey color, post death |
| 4 | Tiger | M | Megghauli | 25-Apr-12 | C | |
| 5 | Tiger | F | devnagar | 9-May-13 | C | |
| 6 | Leopard | M | Shankanagar zoo | 15-May-13 | H+ | |
| 7 | Rhino | | Icharni | 9-Jan-10 | C | |
| 8 | Rhino | | Bhimpur | 3-Apr-10 | H+++ | |
| 9 | Rhino | | Icharni | 5-Apr-10 | C | |
| 10 | Rhino | M | Icharni | 23-Dec-10 | H++ | |
| 11 | Rhino | M | jayamangala | 11-jan-11 | H+ | |
| 12 | Rhino | F | jayamangala | 19-jan-11 | H+ | |
| 13 | Rhino | M | Bhimle | 13-Feb-11 | C | |
| 14 | Rhino | F | ??? | 5-Mar-11 | C | |

Tiger samples approved for sending abroad – revised list

April 2017

| SN | sex | Location | Date of sampling |
|----|-----|----------------|------------------|
| 1 | M | Kasara captive | 21.01.11 |
| 2 | M | Kasara captive | 01.11.11 |
| 3 | M | Liglige | 02.02.12 |
| 4 | M | Meghauli | 25.04.12 |
| 5 | F | Devnagar | 09.05.12 |
| 6 | M | Madi | 28.11.13 |
| 7 | M | Shitalpur | 01.12.13 |
| 8 | M | Triveni | 24.07.14 |
| 9 | M | Kumroj | 27.11.15 |
| 10 | M | Jagatpur | 08.02.16 |
| 11 | F | Gaidakot | 30.01.17 |


Dr. Kamal Prasad Gairhe, DVM, MVSc
Senior Veterinary Officer
Chitwan National Park

Senior Veterinary Officer





Cornell University
Animal Health Diagnostic Center

PO Box 5786
Ithaca, NY 14852-5786
t. 607 253-3900
f. 607 253-3943

November 29, 2016

Dr. Deborah McCauley
Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
1627 W. Main Street, Ste 445
Bozeman, MT 59715

Dear Dr. McCauley,

This is exciting news that you have been able to navigate the CITES process for importing the tiger sera. As we had discussed, the Animal Health Diagnostic Center will do the testing of the samples for antibodies to viruses that may cause infections in the tigers. We have done this type of testing on wildlife samples for many years and have all necessary SOPs in place for the handling and testing of the samples. I often joke that I have tested every lion in Africa! Verification of our capabilities was established by receiving full accreditation by the American Association for Veterinary Laboratory Diagnosticians.

Since these are felid samples, there are no permits needed from the USDA as these samples pose no risk for the food animal production system.

If there are any issues in which we can be of assistance, feel free to contact me at your convenience.

Yours truly,

Edward J. Dubovi
Professor of Virology
Director – Virology Section
Animal Health Diagnostic Center

G.b

Curriculum Vitae
Gretchen E. Kaufman, DVM : President VIEW

President VIEW
Veterinary Initiative for Endangered Wildlife
Pullman, WA 99163
gkaufman@vetmed.wsu.edu

Education

Current Title/Positions

Assistant Director for Global Health Education and Training, Paul G. Allen School for Global Animal Health

Adjunct Professor, Paul G. Allen School for Global Animal Health, Washington State University, 2013-present

Vice-Chair, Veterinary Initiative for Endangered Wildlife, 2013-present

Previous Professional Positions

Assistant Professor, International Veterinary Medicine Section, Department of Environmental and Population Health, AND Director, Tufts Center for Conservation Medicine, Cummings School of Veterinary Medicine at Tufts University, 2005 - 2012

Assistant Professor, Tufts Wildlife Clinic and Tufts Center for Conservation Medicine, Department of Environmental and Population Health, School of Veterinary Medicine, Tufts University. August 1995-2005.

Clinical Instructor. Exotic Animal Medicine. Tufts New England Veterinary Medical Center. Tufts University. August 1989 - 1995. Founder and Head, Exotic Companion Animal Service, Tufts new England Veterinary Medicine Center, 1989-1995.

Other professional roles appropriate to this proposal - 12 year history of working in Nepal, conducting research and service projects in collaboration with the veterinary school (Institute for Agriculture and Animal Science), National Zoonosis and Food Hygiene Research Center, Kathmandu Animal Treatment Centre, National Trust for Nature Conservation, Department of National Parks and Wildlife Conservation

Fulbright Senior Specialist 2004-2005 – Assisted the IAAS veterinary school in Nepal develop a curriculum in Conservation Medicine at both the undergraduate and graduate level. Prototype Master's level course in Conservation/Wildlife Medicine was implemented in 2004.

Research Interests and funding – my primary interests are in conservation medicine approaches to infectious diseases and human-wildlife-livestock interface, such as rabies and tuberculosis shared between humans, livestock and wildlife species.

Deborah L. McCauley, BVetMed
Founder and Executive Director
Veterinary Initiative for Endangered Wildlife

Specialty Highlights:

- Disease Surveillance- Asia- developing and implementing a disease surveillance program in Chitwan National Park (NP) for endangered wildlife diseases of Nepal and a database recording system. USA- Biological sampling and diagnosis of diseases of wildlife including brucellosis in bison, chronic wasting disease in elk, pneumonia in bighorn sheep, echinococcus in wolves; implemented a disease surveillance and preventive medical program for ZooMontana; hands-on experience as a vet student during Foot and Mouth Disease Outbreak.
- Prevention & Safety- Instruction to wildlife professionals in Asia and US on zoonosis, safe capture and immobilization; monthly presentation to staff at ZooMontana on Safety and Zoonosis.
- Anesthesia- Experience in wildlife anesthesia in zoo and field settings with emphasis on Asian and North American carnivores and ungulates. Asia- assisted Department of National Parks Wildlife Conservation (DNPWC), Nepal: Capture of Asian one-horned rhino in Bardia NP (Nov. 2014). Capture of "man-eating" tiger in Chitwan NP (April 2012). Immobilized and performed surgery on wild-caught tiger (Nov. 2011), Chitwan National Park, Nepal.
- Research- Asia- Canine Distemper Virus (CDV) study found antibodies to 27% dogs in the buffer-zone in Chitwan NP. (CDV can kill tigers). USA-Echinococcus Granulosus found in wolves in Montana (new zoonotic parasite to USA); Mycoplasma Ovipneumoniae found in bighorn sheep pneumonia outbreak in Montana.
- Training- Asia- Led Training programs on wildlife disease surveillance, capture, immobilization to over 150 wildlife professionals in Nepal since 2012. Trained field personnel at Montana Fish Wildlife and Parks (MT FWP) throughout the state on *wildlife disease surveillance*: postmortem examination (PME), biological sample collection, storage and proper shipping to central diagnostic laboratory and *Immobilization Course*: lectures and hands-on demonstration using rehabilitated black bears.
- Speaking Engagements – Asia One-Health: Asia Society for Zoo and Wildlife Medicine (ASZWM) (Singapore 2013). ASZWM Zoonotic (Nepal Nov 2011); *Keynote Speaker* for Northern Rocky Mountain Branch of American Association for Laboratory Animal Society; Rocky Mountain Annual Scientific Meeting, (May 2011). Community Outreach for increasing VIEW profile: Montana, Florida, New York.
- Board Appointments – *Yellowstone Park Foundation* (YPF) Board member, Grants and Major Gifts Committee (2012-2015). YPF Advisory Council (2011, 2015-present). *Wildlife Conservation Society* Advisory Council for North America Chapter (2007-2009). *Emerson Cultural Center* Board Member, major gifts committee (2010-2011). *Share Our Strength Taste of the Nation* (1993) Founding Director for Ithaca Chapter, for hunger relief.
- Travel -Comfortable in many settings and adapt easily to many diverse cultures. Travel includes Africa (Kenya, Tanzania, Zambia, Zimbabwe, S. Africa), Asia (Japan, S. Korea, China, Hong Kong, Singapore, Nepal, Bhutan and India), throughout North America, Canada, Europe and Chile. Lived abroad: Chiang Mai, Thailand, Spain and England.
- Athletics- 2005 3rd Place USA Track and Field (USATF) National Championship 50 mile race, Tussey Mountain Back. 3rd Place Zane Grey 50-mile trail race. 10th Place USATF National Championship, 50-mile White River trail race, Crystal Mountain; 2004 1st Place Adirondack Marathon. Ironman Lake Placid.
- Foreign Language Conversational Spanish.

6b

Wildlife Experience

Veterinary Initiative for Endangered Wildlife, VIEW

Founder and Executive Director

- Established 501c3 non-for profit wildlife health program. www.viewwildlife.org
- Collaborating with DNPWC, National Trust for Nature Conservation (NTNC) and Agriculture and Forestry University (AFU) to assemble a comprehensive and sustainable wildlife health program.
- Establishing diagnostics and treatment protocols as well as building the infrastructure and database systems necessary for implementing wildlife health programs on a sustainable and expanding basis.
- Undertaking relevant groundbreaking research of endangered wildlife in Nepal.
- Conducted 8 Wildlife Health, Capture, Immobilization Workshops and Training programs to over 150 wildlife professionals in Nepal.

ZooMontana, Billings, MT.

Wildlife Veterinarian

- Responsibilities: Created and implemented a comprehensive Wild Animal Health Program, in charge of medical, surgical, diagnostic and necropsy procedures.
- Veterinary preparation of dangerous drugs, remote drug delivery of immobilization drugs and anesthesia monitoring zoo collection which included Bengal tigers, Red Pandas, Sika Deer, Grizzly bears, Big Horn Sheep, Fox, Wolverine, Birds of Prey, Reptiles and Aquatics.
- All aspects of medical diagnostics, treatment, anesthesia and surgery of Zoo animal collection.
- Refined protocols in sedation, anesthesia, necropsy (PME), clinical intervention, biological sampling, disease surveillance, diagnostic testing, safety and escape protocols.
- Implemented zoo rounds, reinstated paperless medical record system and regular presentations on zoonosis. Interfaced with American Zoological Association AZA on veterinary programs and standards

Wildlife Conservation Society, WCS

Wildlife Veterinarian

Greater Yellowstone Wolverine Program, Montana.

- Responsibilities: Anesthesia and surgery of free-roaming wolverines in the the Northern Rockies, USA.
- Surgical implant of radio-transmitters in the peritoneal cavity of wolverines in remote locations at high elevations throughout the Greater Yellowstone Ecosystem (GYE) during extremely harsh winter conditions. Most extensive wolverine study in the GYE.
- Because this study was dependent on veterinary surgical intervention, my athletics (long-distance mountain running) greatly expanded the scope of the WCS Wolverine Study from 2005. Prior to my involvement, the study was limited to trap sites where they could transport a veterinarian by snowmobile. With my participation, the project could increase the boundaries of the study to extremely remote trap site locations only accessible by foot (ski, snowshoe). WCS developed the first scientifically rigorous maximum population estimate for wolverines in the GYE and surrounding areas.
- February 2006, spent one month in the most remote area of Continental US: Thoroughfare, SE corner of Yellowstone National Park (YNP) for wolverine research. Daily cross-country skiing to monitor wolverine activity; veterinary preparation in the field.

Mountain Goat Research Project, Glacier National Park. April 2010

- Field leader, anesthesia and surgery report for International Animal Care and Use Committee (IACUC), veterinary preparation for field work.

Fish Wildlife and Parks, Bozeman, MT. November 2007- May 2008

Interim Wildlife Veterinarian

- Animal capture and handling, wildlife translocation, post mortem examination, diagnostic investigation, expert witness for illegal hunting, teaching of bear immobilization training workshop, training field biologists in biological sampling and field post mortem examination (PME).
- Bighorn Sheep (BHS) capture included helicopter net-gun trapping, testing and translocation; BHS disease outbreak response and research study (see papers and reports);
- Bison capture for Brucellosis Testing- included running bison through chute system and assisting with immobilizations of periparturient bison.
- Instruction of Bear Immobilization Capture Course (2007-2009), PME for collecting biological samples in

pg 3

Curriculum Vitae

Name AMIR SADAULA
Address Bharatpur-12, Chetana Tole, Chitwan
Telephone 056 – 524775
Mobile [REDACTED]
Email [REDACTED]
NVC No. 660
Nationality Nepali
Date of birth 18 July, 1987

Profile A young, dedicated veterinarian, willing to serve for the peoples across the nation through active participation. Have experience working in the field of conservation and have further vigor to work in the field.

Academic Qualification

| Level | Institute | Year of Completion |
|----------------------------------|---|--------------------|
| School Leaving Certificate (SLC) | HMG/SLC Board | [REDACTED] |
| Intermediate (+2) | HSEB | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] |
| M.Sc Biotechnology (Veterinary) | Agriculture and Forestry University (AFU) | 2016 |

Research and Publication

- Thapa J., Paudel S., Sadaula A., Shah Y., Maharjan B., Kaufman G.E., McCauley D., Gairhe K.P., Tsubota T., Suzuki Y., Nakajima C. (2016), Mycobacterium orygis-associated tuberculosis in free-ranging Rhinoceros, Nepal, Emerging infectious Diseases, 22(3), March
- Research Project entitled "**Prevalence of *Taenia solium* Cysticercosis in slaughtered pigs and its impact in public health in Chitwan**". July to December 2011.
- Sadaula A., Shah S.K., Adhikari B.R., Pandey K.R., Ale A., Pant D.K., Joshi D.D (2012), Prevalence of Cysticercosis in Pigs and Its Impact on Public Health. Zoonoses and Food Hygiene News 18(2)

- Pandey G, Dhakal S, **Sadaula A**, K.C. G, Subedi S, Pandey K.R, Dhakal I.P, (2012) Status of tuberculosis in bovine animals raised by tuberculosis infected patients in Western Chitwan, Nepal. Int J Infect Microbiol;1(2);47-53.
- **Sadaula, A.** (2013), Diclofenac and Untested NSAIDs still pose threat to Vultures: Introduction, their effect on vultures and monitoring, Vulture Bulletin (Vol. 3), 2013, Bird Conservation Nepal, Kathmandu.
- Paudel K., Bhusal K.P., Nepali B., **Sadaula A.** and Chaudhary I.P. (2014). Vulture Conservation in Nepal. Bird Conservation Nepal, Kathmandu.
- Paudel K., Bhusal K.P., Nepali B., **Sadaula A.** and Chaudhary I.P. (2014). Information on NSAIDs. Bird Conservation Nepal, Kathmandu.

Working Experiences

- **Veterinary Doctor**, Based: NTNC-BCC, Sauraha, December 2014 – till date (28 months)
- **Veterinary Officer, Bird Conservation Nepal**, Based: Butwal Field office, [REDACTED]
- Intern in Veterinary Teaching Hospital, Rampur Campus, IAAS, Rampur Chitwan [REDACTED]

Participation in the training/ workshop/ Seminars

- Participated in “Training of Prevention and Control on Animal epidemics in Developing country”, Jilin Agricultural University, Chnagchun City, China, September 1 – 28, 2016
- Training course “Intervention on Wild animal Health” organized by University of Edinburg, ZSL and WII, February 5 – 24, 2017
- Presenter, Range Country Nepal, Regional Asian Elephant and Tiger Veterinary Workshop, Wayanad Kerela, February 1 – 4, 2016
- Vulture Husbandry and Incubation techniques training, Vulture Conservation and Breeding Center, Pinjore, 17 – 19 September 2015
- Training on Project Concept Note writing, Technical Student Association Nepal, 6th – 8th August 2010.
- Participatory Rural Appraisal (PRA) training organized by Nepal Veterinary Student Association (NVSA), 27th April – 3rd May, 2011.
- Participated on “**Intensive Clinical Veterinary Surgical Training Course**” at IAAS organized by Himalayan Animal Rescue Trust (HART) and Veterinary Teaching Hospital Rampur, 7th – 13th August, 2011.
- Participated on “**Exposure on Equine Welfare, Behavior and Handling for Graduating Vets**” organized by Animal Health Training and Consultancy Service (AHTCS) and Brooke’s Hospital London, Birgunj. 28 - 30th Sep 2011

- Attended Bird Rescue Campaign, organized by Jivdaya Charitable Trust, Ahmadabad, Gujarat, India, 12 – 23 January 2013.
- **Editors**, Vulture Bulletin, Annual Newsletter, Bird Conservation Nepal, Vol 3, 2013
- **Editors**, Vulture Bulletin, Annual Newsletter, Bird Conservation Nepal, Vol 4, 2014
- **Enumerator**, National Rhino Count – 2015 in Chitwan National Park, Parsa Wildlife Reserve, Baradabhar Protected Forest & Community Forest, 7th April to 2nd May, 2015
- Various tours and seminars on the wide array of them on animal welfare, biodiversity, eco-tourism, livestock, eco-development etc.

Memberships

Life Member of Bird Conservation Nepal (BCN)
 Member of Water Supply and Sanitation Collaborative Council (WSSCC)
 Member of Nepal Veterinary Association(NVA)
 Member of Nepal Veterinary Association Chitwan Chapter(NVA - CC)
 Member of Nepal Veterinary Council

Scholarship and Grant

 Merit Scholarships throughout Undergraduate studies

Additional Information

Language

Fluent English, Nepali, Hindi.

Hobbies and Interests

Travelling, Music , Networking, Games football, cricket

Skills

Practical knowledge on Microsoft Office User Specialist and Database Application namely Computer Fundamentals, Windows 7, MS word, MS Excel, MS Power Point, Adobe PageMaker, Adobe Photoshop and others.

Studied about Computer Application and Programming (Handling and processing of data as well as words; One credit hour (0+1) for one semester) as a compulsory course during 5th semester of B. V.Sc.& A.H.

Educational Tours

National: Visited major animal science stations and research centers in Eastern and Western Nepal.



Veterinary Initiative for Endangered Wildlife
1627 West Main St. Suite 445
Bozeman, MT 59715
USA

February 23, 2016
Anna Berry
Senior Biologist
USFWS Division of Management Authority

Dear Ms. Barry,

Thank you for feedback on our CITES application PRT# US75654B/9. I am happy to provide additional information as follows:

1. Although the application is for Veterinary Initiative for Endangered Wildlife, you note the recipient as Cornell University, please explain.

Cornell University is listed for the initial sample analysis as the most appropriate laboratory to process samples from tiger and leopard. VIEW will be acting as the supervising organization and will take responsibility for the sample shipping, will cover the cost of analysis, and will handle reporting of results to the Government of Nepal. With the multi-use permit, other samples will be analyzed and other laboratories may be involved, not only Cornell University, again under the supervision of VIEW. This is explained in the attached letter from Dr. Gairhe, the Senior Veterinarian for the Department of National Parks and Wildlife Conservation, Government of Nepal.

2. The list of serum contains samples from leopards and elephant, should they be included too?

The initial shipment to Cornell University will include only tiger and leopard samples. The Wildlife Health Program in Nepal, overseen by VIEW veterinarians in collaboration with the National Trust for Nature Conservation and the Department of National Parks and Wildlife Conservation, is collecting and storing samples from a variety of endangered species and other wildlife species experiencing disease outbreaks. With a multi-use permit we will need to send additional samples potentially from CITES listed species such as Asian Elephant, Sloth Bear, Spotted Linsang, Red Panda, etc. Samples will be considered for importation to US laboratories ONLY if appropriate diagnostic testing is NOT available in Nepal for the specific disease investigation.

3. How will the samples be packaged (vials, slides) and what is their total quantity?

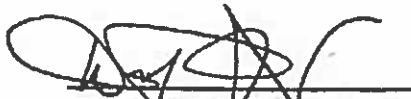
The initial shipment will include samples from 5 tigers and 1 leopard, one vial from each animal containing 1 ml of serum for a total of 6 ml. Each sample will be frozen in a cryovial, sealed with parafilm and packaged on ice.

4. The packaged will contain how much of the serum?

There will be a total of 6 ml of serum in 6 separate vials, one ml from each animal.

Enclosed is a signed letter from our partner Dr. Kamal Gairhe, Senior Veterinary for the Department of National Parks and Wildlife Conservation indicating his understanding of the changes since his earlier letter. Please let us know if you have any further questions. We appreciate your consideration for this permit request and hope that you will support our efforts to improve wildlife health in Nepal.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dr. Deborah McCauley', written over a horizontal line.

Dr. Deborah McCauley, BVetMed



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

RCVD MAY 04 2017

LB

Return to: U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity:
**EXPORT/RE-EXPORT/IMPORT/INTERSTATE AND
FOREIGN COMMERCE/TAKE OF ANIMALS
(LIVE/ SAMPLES/PARTS/PRODUCTS) (ESA and/or CITES)**
(circle/highlight proposed activity)

☐ New Application

☐ Requesting Re-issuance/Amendment of Permit#:

Complete Sections A or B, and C through H of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

| A. Complete if applying as an individual | | | |
|--|---------------------------------|-----------------------------|--|
| 1.a. Last name | 1.b. First name | 1.c. Middle name or initial | 1.d. Suffix |
| 2. Date of birth (mm/dd/yyyy) | 3. Social Security No. | 4. Occupation | 5. Affiliation/ Doing business as (see instructions) |
| 6.a. Telephone number | 6.b. Alternate telephone number | 6.c. Fax number | 6.d. E-mail address |

| B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution | | | |
|--|---|---|------------------------------|
| 1.a. Name of business, agency, Tribe, or institution | | 1.b. Doing business as (dba) | |
| 2. Tax identification no. | 3. Description of business, agency, Tribe, or institution | | |
| 4.a. Principal officer Last name | 4.b. Principal officer First name | 4.c. Principal officer Middle name/ initial | 4.d. Suffix |
| 5. Principal officer title | | 6. Primary contact name | |
| 7.a. Business telephone number | 7.b. Alternate telephone number | 7.c. Business fax number | 7.d. Business e-mail address |

| C. All applicants complete address information | | | | |
|---|------------|----------------------------|----------------------|--------------|
| 1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) | | | | |
| 1.b. City | 1.c. State | 1.d. Zip code/Postal code: | 1.e. County/Province | 1.f. Country |
| 2.a. Mailing Address (include if different than physical address; include name of contact person if applicable) | | | | |
| 2.b. City | 2.c. State | 2.d. Zip code/Postal code: | 2.e. County/Province | 2.f. Country |

| D. All applicants MUST complete | |
|---------------------------------|---|
| 1. | Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 nonrefundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d)) <i>\$200 permit fee has been paid</i> |
| 2. | Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No <input checked="" type="checkbox"/> |
| 3. | Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. <i>Deborah Z. McCauley</i> Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) <i>4/27/2017</i> |

E. EXPORT/RE-EXPORT/IMPORT/INTERSTATE AND FOREIGN COMMERCE/TAKE OF NON-NATIVE ANIMALS (Live/samples/parts/products) (CITES and/or ESA)

Allow at least 90 days for the application to be processed. Applications for endangered species permits must be published in the Federal Register for a 30-day public comment period.

Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing. If you are applying for multiple specimens, be sure to indicate which specimen you are addressing in each response.

1. What activity are you requesting authorization to carry out (Indicate appropriate activities):

EXPORT ☐

IMPORT ☐

INTERSTATE COMMERCE ☐

FOREIGN COMMERCE ☐

*Interstate Commerce permits authorize the sale of endangered and threatened species across State lines, but only for that will contribute to enhancing the propagation or survival of that species. Captive-breeding alone will not generally meet this requirement. Scientific research must be related to the species to be permitted. Interstate commerce activities with wildlife require the buyer to obtain a permit prior to the sale.

2. For EACH animal/specimen involved in the proposed activity provide: *see Appendix F page 1*

| Scientific name (genus, species, and, if applicable, subspecies) | Common Name | Birth/Hatch Date (mm/dd/yyyy) Or Approximate date | Quantity | Gender, if known | Permanent markings (e.g., tattoo, ID #, microchip #, scars), if alive | Type of Sample or product (e.g., blood, tissue, DNA) |
|--|---------------------|---|----------|------------------|---|--|
| EXAMPLE: <i>Macaca fascicularis</i> | Crab-eating macaque | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

3. The current location of the specimen(s) (address and country): ** This is for a Multi-use permit all sample will be stored @:*

Name:

Business Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

*Ministry of Forestry + Soil Conservation
Department of National Parks and
Wildlife Conservation
Chitwan, NP
Kasara, Chitwan /*

4. Recipient/Sender:

- If export, provide name and address of the recipient in the foreign country.
- If import, provide name and address of the exporter in the foreign country.
- If interstate or foreign commerce, provide name and address of recipient.

Name: VIEW will be responsible to get samples to the appropriate
Diagnostic LAB see letter P & Supporting Document.
Business Name: Veterinary Initiative for Endangered
Wildlife (VIEW)

Address:

Address:

City:

State/Province:

Country, Postal Code:

F. SOURCE OF SPECIMEN (answer question 5 or 6 for each animal/specimen involved, as appropriate).

5. For each animal or animal from which specimen are obtained born in captivity:

- If you are the breeder of the specimen(s), please provide a signed and dated statement that includes the following:
 - Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - That the animal was bred and born at your facility;
 - Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - Name and address of your facility where each animal was bred and born; and
 - Location (Name of facility, address, city, State/province, postal code) of parental stock.
- If you are NOT the breeder of the specimen(s), provide copies of documentation showing that you acquired the animal from the breeder or documentation demonstrating the history of transactions (e.g., chain of ownership of the animal) and a signed and dated statement from the breeder or breeder's record that clearly includes the following:
 - Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - That each animal was bred and born/hatched at his/her facility;
 - Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - Name and address of the breeder's facility; and
 - Location (name of facility, address, city, State/province, postal code) of parental stock.

see attached document for answers to questions

6. For each animal/specimen taken from the wild, provide the following:

- a. Scientific name (genus, species, and, if applicable, subspecies) and common name; *see Q6a pg1*
- b. Specific location of where, when, and by whom (name and address) the specimen was removed from the wild;
- c. Purpose of removal and length or approximate length of time held in captivity;
- d. Describe your efforts to use captive specimens (e.g., captive-born, captive-held), or parts thereof, in lieu of taking animals from the wild.
- e. Copies of your foreign or domestic collecting permit, license, contract or agreement; *see p 2-6*
- f. Documentation showing that the specimen(s) was legally obtained by the applicant; and *DNPWC collected the samples*
- g. Copies of any applicable State, Tribal, Federal, or Foreign government permits or licenses that authorized the removal of this animal from the wild.

G. JUSTIFICATION FOR REQUESTED ACTIVITY.

7. Provide a full statement justifying the proposed activity, particularly the following:

- a. Describe the purpose of your proposed activity. For example, if the purpose is scientific research, attach a copy of your research proposal outlining the purpose, objectives, methods (e.g., specific information on survey/collection methods, sampling regime, equipment to be used), and whether similar work has already been done or is currently being done. If the purpose is conservation education, provide copies of educational materials (e.g., handouts, text of signage or public presentations), and include the purpose and objectives of the proposed activity. If the purpose is for propagation for conservation purposes, provide a description of how the species will be propagated, disposition of progeny, and cooperative agreements that are/will be established for re-introduction.
- b. Description of the technical expertise of each person (please include CV or resume), as it relates to the proposed activities. If the proposed activity involves the import of live animals, include the experience of each animal caretaker working with the species.
- c. Copies of contracts, agreements or other documents that identify persons involved and dates of activities for which authorization is being requested.

8. Provide a statement on how the activities will **enhance or benefit the wild population** (e.g., in-situ and ex-situ projects).

9. If live specimens are to be held in captivity as part of the proposed activity:

- a. Provide a detailed description (e.g., size, construction materials, protection from the elements) and photographs or diagrams (no blueprints, please) clearly depicting the existing facilities **where the wildlife will be maintained**. If the specimens will be housed at multiple facilities, either immediately or within the next year, provide a full description of each facility. If you are unsure of which facilities may be receiving specimens (e.g., SSP has not made final decision), please indicate likely candidates and the mechanism that will be used to determine recipient facilities.
- b. A statement of the specific technical experience of CV or resume available to the recipient(s) for maintaining and propagating live specimens of the same or similar species.
- c. The number of years each species has been maintained at the facility;
- d. The number of births by year for each species for the last 5 years; and
- e. Mortalities at the facility with these or similar species in the last 5 years, causes of such mortalities, and steps taken to avoid or decrease such mortalities.

H. IMPORTS, EXPORTS, OR RE-EXPORTS.

10. For shipment of LIVE specimens, the transport conditions for animals must comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with the International Air Transport Association (IATA) live animal regulations (contact airline for information). As such, describe:
 - i. The type, size, and construction of any shipping container; and
 - ii. The arrangements for watering or otherwise caring for the wildlife during transport.
11. For import of LIVE CITES Appendix-I marine mammal specimens, provide a copy of your FWS or NOAA Fisheries permit or authorization.
12. For import of CITES Appendix-I listed species, provide information to show the import is not for primarily commercial purposes as outlined in Resolution Conf. 5.10 (www.cites.org).
13. For export of CITES Appendix-I species, provide a copy of the CITES import permit, or evidence one will be issued by the Management Authority of the country to which you plan to export the specimen(s). In accordance with Article III of the CITES treaty, it is required that import permits are issued before the corresponding export permit.
14. If the specimen is being re-exported (e.g., exporting a specimen that was previously imported into the United States), provide:
 - a. A copy of the canceled CITES export or re-export document issued by the appropriate CITES office in the country from which the wildlife was imported (if applicable); and
 - b. A cleared copy of Form 3-177, wildlife Declaration for Import (hard copy or electronic release); or
 - c. If you did not make the original import, provide a copy of the importer's documents outlined above and the invoice or other documentation that shows you acquired the wildlife from the original importer or history of transactions which demonstrate chain of ownership.
15. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).
16. Name and address where you wish permit mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):
17. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.
☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 11. I understand that you will contact me for my credit card information once the application has been processed.

18. Who should we contact if we have questions about the application? (Include name, phone number, and email):

19. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.



25 AUGUST 2015

CITES

To Whom It May Concern,

VIEW is committed to assisting countries around the world in meeting the health challenges of their endangered wildlife. Our work in Nepal emphasizes critical areas of capacity building: **training** for wildlife veterinarians and managers, supporting the development of much needed **wildlife health infrastructure**, and facilitating **research** to better understand wildlife health risks for population recovery. We believe that successful conservation strategies for critical populations must address threats from disease, in addition to habitat destruction and poaching pressure. In alignment with country partner priorities, VIEW's work in Nepal is focusing on high profile species such as Bengal tigers, One-horned rhinos, and Asian elephants, but our success there will build a foundation for a much broader impact for all of Nepal's wildlife into the future.

VIEW (Veterinary Initiative for Endangered Wildlife) is conducting collaborative research in Nepal to investigate disease in endangered wildlife. This project necessarily requires access to sophisticated diagnostic testing which is not available in Nepal. We are seeking CITES permission from the US Department of Interior, US Fish Wildlife Service to import biological samples from Nepal into the United States for the purpose of scientific diagnostic investigation into disease of endangered wildlife.

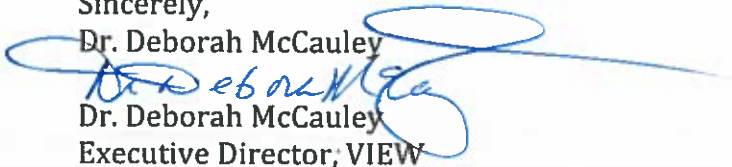
The Government of Nepal, Ministry of Forestry, Department of National Parks and Wildlife Conservation has given VIEW permission to submit samples to appropriate diagnostic laboratories in the US in cases where Nepal does not have the diagnostic capability. Please see the export permit letter from the Nepal government attached.

At this time we are seeking permission to important samples from tigers and rhinos. However, because our work in Nepal is growing and we expect to obtain additional samples on an ongoing basis, we are requesting a multi-use or Masterlist designation for this permit. We have already paid the fee of \$200 for a multi-use permit, and included in the requisite form, and a complete list of samples we currently wish to import.

Thank you for considering our request. Please do not hesitate to contact us with any questions.

Sincerely,

Dr. Deborah McCauley


Dr. Deborah McCauley
Executive Director, VIEW

Veterinary Initiative for Endangered Wildlife
VIEW is a 501c3. EIN# 46-1233603

on
4/27/12

P

Permit 3-200-37

6. For each animal/specimen **taken from the wild**, provide the following:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name of priority endangered species in Nepal;

CITES Appendix I Species in Nepal

Mammal

| | |
|-----------------------|------------------------------|
| Asian elephant | <i>Elephas maximus</i> |
| One-horned rhinoceros | <i>Rhinoceros unicornis</i> |
| Pygmy hog | <i>Porcula salvania</i> |
| Himalayan musk deer | <i>Moschus leucogaster</i> |
| Alpine musk deer | <i>Moschus chrysogaster</i> |
| Black dusky musk deer | <i>Moschus fuscus</i> |
| Gaur Bison | <i>Bos gaurus</i> |
| Tibetan antelope | <i>Pantholus hodgsonii</i> |
| Himalayan goral | <i>Naemorhedus goral</i> |
| Himalayan serow | <i>Capricornis thar</i> |
| Swamp Deer | <i>Rucervus duvaucelii</i> |
| Hog Deer | <i>Axis porcinus</i> |
| Hispid Hare | <i>Caprolagus hispidus</i> |
| Snow Leopard | <i>Panthera uncia</i> |
| Ganges River Dolphin | <i>Platanista gangetica</i> |
| Indian Chevrotain | <i>Moschiola indica</i> |
| Grey Wolf | <i>Canis lupus</i> |
| Himalayan wolf | <i>Canis lupus filchneri</i> |
| Sloth Bear | <i>Melursus ursinus</i> |
| Red Panda | <i>Ailurus fulgens</i> |
| Brown Bear | <i>Ursus arctos</i> |
| Spotted Linsang | <i>Prionodon pardicolor</i> |

Primate

| | |
|-----------------------|----------------------------------|
| Himalayan grey langur | <i>Semnopithecus ajax</i> |
| Terai grey langur | <i>Semnopithecus hector</i> |
| Nepal grey langur | <i>Semnopithecus schistaceus</i> |

b. Specific location of where, when, and by whom (name and address) the specimen was removed from the wild;

- Location: Protected Areas of Nepal; We are seeking a blanket permit which does not indicate a specific location other than the Protected Areas of Nepal.
- By Whom: Government Officials, Dr. Kamal Gairhe, Senior Veterinarian Officer from Department of National Parks Wildlife Conservation either collects biological samples himself or samples are collected through his authority. He is the person

an
4/07/17



approved in Nepal to
from endangered wildlife of Nepal.

collect biological specimens

c. Purpose of removal and length or approximate length of time held in captivity;

Biological samples are collected opportunistically for management or research purposes due to wildlife-human conflict, research or found dead in Protected Areas of Nepal by local government authorities. No animal is removed or held in captivity solely for this project.

d. Describe your efforts to use captive specimens (e.g., captive-born, captive-held), or parts thereof, in lieu of taking animals from the wild. All samples are collected opportunistically due to wildlife-human conflict or purposes. See above: 6.c.

e. Copies of your foreign or domestic collecting permit, license, contract or agreement;

All samples are collected by the DNPWC and NTNC. See enclosed documents with DNPWC and US CITES, and Diagnostic Laboratory:

Page 1. US CITES copy of original Document (I mailed our original document to your office in July 2016)

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

Page 6. US Diagnostic Lab: Senior Veterinarian at Cornell University Diagnostic Laboratory

f. Documentation showing that the specimen(s) was legally obtained by the applicant; and

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

g. Copies of any applicable State, Tribal, Federal, or Foreign government permits or licenses that authorized the removal of this animal from the wild.

Biological samples are collected opportunistically for management or research purposes due to wildlife-human conflict, or found dead in Protected Areas of Nepal by local government authorities. No animal is removed or held in captivity solely for this project.

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

on
4/27/17



JUSTIFICATION FOR REQUESTED ACTIVITY.

Provide a full statement justifying the proposed activity, particularly the following:

7.a. Describe the purpose of your proposed activity.

PURPOSE

The sole veterinarian working in the Department of National Parks and Wildlife Conservation, Senior Wildlife Veterinary Officer Dr. Kamal Gairhe, collects biological samples for diagnostic purposes but rarely has the funding available to process these samples and in many cases the laboratory facilities to perform the analysis are not available in Nepal. VIEW has been working with Dr. Gairhe and other conservation partners to help Nepal develop the capability to conduct wildlife health disease surveillance, including developing in-country laboratory capacity, supporting additional veterinary personnel, developing a disease surveillance database and providing critical training. Biological samples have been processed when possible, while others have been stored in Chitwan NP since 2010. We are seeking a permit to process samples from CITES protected species that cannot be analyzed in country, on an ongoing basis. This data will be provided to the Government of Nepal and incorporated into the wildlife health surveillance database. We expect that research will be conducted utilizing the data generated through this program. Such research will only be conducted with full understanding and permission from the Government of Nepal.

b. Description of the technical expertise of each person (please include CV or resume), as it relates to the proposed activities. If the proposed activity involves the import of live animals, include the experience of each animal caretaker working with the species.

Department of National Parks Wildlife Veterinarian Chief wildlife veterinarian for Nepal, carries out all procedures as according to Nepal's strict regulations on handling endangered wildlife. Supporting veterinarians include Dr. Amir Sudala and Dr. Gretchen Kaufman and Dr. Deborah McCauley.

See enclosed CVs of Dr. Kaufman and Dr. McCauley and Dr. Amir (pgs. G. b. 1-6)

c. Copies of contracts, agreements of together documents that identify persons involved and dates of activities for which authorization is being requested.

See Enclosed Document Page 2, from Dr. Gairhe Senior Veterinary Officer from Department of Fish Wildlife and Parks has sent a letter addressed to Anna Barry at USFWS.

on
4/27/17



8. Provide a statement on how **the activities will enhance or benefit the wild population** (e.g., in-situ and ex- situ projects).

Investigating into disease is a vital conservation effort to save the most iconic and fragile endangered species in the wild. Diagnostics in Nepal to investigate the diseases they are exposed to or are sick from is not possible. Disease diagnosis into the cause of death of endangered wildlife is vital to inform actions, and policies to protect the CITES 1 species in Nepal.

VIEWs efforts are solely dedicated to improving the ability of the country of Nepal to care for and protect their wildlife. By providing wildlife health training and building capacity for disease surveillance, VIEW is helping Nepal to be better prepared to prevent disease outbreaks, institute appropriate policies for wildlife translocation, wildlife rehabilitation, and management of domestic animals that share wildlife habitat. The activity of analyzing biological samples for disease is a critical component of this program, without which no progress would be possible.

18. Contact person at VIEW

Dr. Deborah McCauley- please contact by email

deborah.mccauley@viewwildlife.org

Permit 3-200-52

State Document number...

Changes applicant's details dba and email address:

1.b VIEW

7.d deborah.mccauley@viewwildlife.org

on
4/27/15



Government of Nepal
Ministry of Forest and Soil Conservation
Department of National Park and Wildlife Conservation
CHITWAN NATIONAL PARK OFFICE

Kasara Chitwan
Phone 056-411025
Fax 056-5411024

Ref. No.:

February 23, 2016

Anna Berry
Senior Biologist
USFWS Division of Management Authority
USA

Dear Anna Berry,

I am the Senior Veterinary Officer for DNPWC (Department of National Parks Wildlife Conservation) Nepal headquartered in Chitwan NP. VIEW (Veterinary Initiative for Endangered Wildlife) has been working with us since 2012 helping to develop a wildlife health program for Nepal. We have several critically endangered wildlife species in Nepal that need further diagnostic analysis that is not available to us in Nepal. Cornell University is one of the diagnostic facilities that we wish to send specific biological samples for diagnostic purposes. For example, we are sending Tiger serum to Cornell University to investigate diseases. However, we would like to submit wildlife serum samples from Nepal with a multi-use CITES permit to the appropriate diagnostic laboratories in the US. This first batch of serum samples from tigers and a leopard will be going to Cornell.

DNPWC is seeking permission of US CITES through VIEW to send biological samples to the most appropriate laboratory in the US for scientific evaluation. This may include but is not exclusive to well established laboratories at Cornell University, Washington State University, or the National Veterinary Diagnostic Laboratory in Ames, Iowa. VIEW will determine which laboratory is best suited for the required analysis depending on the species and diseases of concern for investigation.

Thank you for your consideration,

(Dr. Kamal P. Gairhe)
Sr. Veterinary Officer
Chitwan National Park
Dept. of National Parks and Wildlife Conservation
Ministry of Forests and Soil Conservation
Nepal

4. Recipient/Sender:

- If export, provide name and address of the recipient in the foreign country.
- If import, provide name and address of the exporter in the foreign country.
- If interstate or foreign commerce, provide name and address of recipient.

Name: VIEW will be responsible to get samples to the appropriate
Diagnostic LAB see letter p 2 Supporting Document.

Business Name:

Veterinary Initiative for Endangered
Wildlife (VIEW)

Address:

Address:

City:

State/Province:

Country, Postal Code:

F. SOURCE OF SPECIMEN (answer question 5 or 6 for each animal/specimen involved, as appropriate).

5. For each animal or animal from which specimen are obtained born in captivity:

- a. If you are the breeder of the specimen(s), please provide a signed and dated statement that includes the following:
 - i. Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - ii. That the animal was bred and born at your facility;
 - iii. Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - iv. Name and address of your facility where each animal was bred and born; and
 - v. Location (Name of facility, address, city, State/province, postal code) of parental stock.
- b. If you are NOT the breeder of the specimen(s), provide copies of documentation showing that you acquired the animal from the breeder or documentation demonstrating the history of transactions (e.g., chain of ownership of the animal) and a signed and dated statement from the breeder or breeder's record that clearly includes the following:
 - i. Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - ii. That each animal was bred and born/hatched at his/her facility;
 - iii. Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - iv. Name and address of the breeder's facility; and
 - v. Location (name of facility, address, city, State/province, postal code) of parental stock.



Veterinary Initiative for Endangered Wildlife
1627 West Main St. Suite 445
Bozeman, MT 59715
USA

February 23, 2016
Anna Berry
Senior Biologist
USFWS Division of Management Authority

Dear Ms. Barry,

Thank you for feedback on our CITES application PRT# US75654B/9. I am happy to provide additional information as follows:

1. Although the application is for Veterinary Initiative for Endangered Wildlife, you note the recipient as Cornell University, please explain.

Cornell University is listed for the initial sample analysis as the most appropriate laboratory to process samples from tiger and leopard. VIEW will be acting as the supervising organization and will take responsibility for the sample shipping, will cover the cost of analysis, and will handle reporting of results to the Government of Nepal. With the multi-use permit, other samples will be analyzed and other laboratories may be involved, not only Cornell University, again under the supervision of VIEW. This is explained in the attached letter from Dr. Gairhe, the Senior Veterinarian for the Department of National Parks and Wildlife Conservation, Government of Nepal.

2. The list of serum contains samples from leopards and elephant, should they be included too?

The initial shipment to Cornell University will include only tiger and leopard samples. The Wildlife Health Program in Nepal, overseen by VIEW veterinarians in collaboration with the National Trust for Nature Conservation and the Department of National Parks and Wildlife Conservation, is collecting and storing samples from a variety of endangered species and other wildlife species experiencing disease outbreaks. With a multi-use permit we will need to send additional samples potentially from CITES listed species such as Asian Elephant, Sloth Bear, Spotted Linsang, Red Panda, etc. Samples will be considered for importation to US laboratories ONLY if appropriate diagnostic testing is NOT available in Nepal for the specific disease investigation.

3. How will the samples be packaged (vials, slides) and what is their total quantity?

The initial shipment will include samples from 5 tigers and 1 leopard, one vial from each animal containing 1 ml of serum for a total of 6 ml. Each sample will be frozen in a cryovial, sealed with parafilm and packaged on ice.

4. The packaged will contain how much of the serum?

There will be a total of 6 ml of serum in 6 separate vials, one ml from each animal.

Enclosed is a signed letter from our partner Dr. Kamal Gairhe, Senior Veterinary for the Department of National Parks and Wildlife Conservation indicating his understanding of the changes since his earlier letter. Please let us know if you have any further questions. We appreciate your consideration for this permit request and hope that you will support our efforts to improve wildlife health in Nepal.

Sincerely,

A handwritten signature in black ink, appearing to read 'Deborah McCauley', written over a horizontal line.

Dr. Deborah McCauley, BVetMed



Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative for Endangered Wildlife PRT #75654B

1 message

Barry, Anna <anna_barry@fws.gov>

Tue, Jan 31, 2017 at 4:51 PM

To: Deborah McCauley <deborah.mccauley@viewwildlife.org>

Cc: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Dr. McCauley,

Per our conversation today, please furnish the requested additional information by March 17, 2017, to avoid abandonment of the application.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. We do not accept applications via fax or e-mail.

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



On Wed, Jan 11, 2017 at 8:24 PM, Deborah McCauley <deborah.mccauley@viewwildlife.org> wrote:

Hello Anna,

I just returned from Nepal last week and the reason for the delay is that the Department of National Parks of Nepal is raised additional questions that will reflect on the application. We should hear an answer back next week, I have been working on this really hard all of December and the first week of January (and actually for years) so I hope you do not withdraw our request. There has been senior leadership changes in the government and it is taking more time which has resulted in the delay.

Thank you,
Deborah

Dr. Deborah McCauley
Founder & Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
Website: viewwildlife.org
FB: www.facebook.com/VIEWWildlife/



On Jan 11, 2017, at 2:59 PM, Barry, Anna <anna_barry@fws.gov> wrote:

Dear Dr. Kaufman and Dr. McCauley,

If I do not receive a response from you soon I will have to withdraw your current request.

Sincerely,

Anna

----- Forwarded message -----

From: Barry, Anna <anna_barry@fws.gov>

Date: Wed, Nov 30, 2016 at 7:15 PM

Subject: Fwd: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>, Deborah McCauley

<
[REDACTED]

See e-mail message below.

----- Forwarded message -----

From: Barry, Anna <anna_barry@fws.gov>

Date: Wed, Oct 19, 2016 at 2:06 PM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Dr. Kaufman,

I just received a call from Dr. McCauley regarding the application. Unfortunately the application is not complete. As mentioned in my e-mail message below, we needed Question F (6,e-g) and G (7-8) from application 3-200-37 to be included for the new species. This application is required to be published in the Federal Register for 30 days, but I need this information to be furnished before I can publish it.

Based on Dr. McCauley's voice mail message she is interested in importing some samples from rhinos and tigers in the near future. Since she returned the original permit she was wondering if she could use a copy to import the samples. Normally during inspection of the shipment USFWS/Office of Law Enforcement (OLE) will not take the original CITES Import permit, they only take a completed copy, but they have the right to request to see the original, so I highly recommend that Dr. McCauley speaks to USFWS/OLE prior to importing the samples to ensure they do not have a problem with not seeing the original import permit.

As stated in the e-mail message below, a processing fee is not required for this amendment.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281

E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. We do not accept applications via fax or e-mail.

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



----- Forwarded message -----

From: Barry, Anna <anna_barry@fws.gov>
Date: Tue, Jul 19, 2016 at 10:59 AM
Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B
To: Deborah McCauley [REDACTED]

Dear Dr. McCauley,

To amend your current permit to add additional species please complete the following.

1. Page 1 of application 3-200-52: <https://www.fws.gov/forms/3-200-52.pdf>
2. Following questions from application 3-200-37: <https://www.fws.gov/forms/3-200-37.pdf>
 - Question #2 - provide scientific and common name of ever species you wish to add to permit (remember this permit is for species listed as Appendix I under and CITES and animals listed under the Endangered Species Act).
 - Question #F (6, e-g)
 - Question #G (7-8)
 - Question #18
 - Question #19

3. Processing fee is not required.

ESA Species List: <https://www.fws.gov/endangered/>

CITES Species List: <http://www.speciesplus.net/>

Please provide a copy of this e-mail with the application to ensure that it is referred to me.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. We do not accept applications via fax or e-mail.

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



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14K



Veterinary Initiative for Endangered Wildlife
1627 West Main St. Suite 445
Bozeman, MT 59715
USA

19 September 2016

Re: CITES AMENDMENT OF PERMIT

RCVD SEP 29 2016

LB

To Whom IT May Concern,

On August 25, 2015 I submitted a Fish Wildlife Permit Application Form for VIEW (Veterinary Initiative for Endangered Wildlife) including a letter (enclosed) requesting a multi-use or Masterlist designation for that permit. VIEW had included \$200 for use in the original permit and the multi-use permit on the first submission. I believe that covers all fee and charges requested from your office.

Please see the letter enclosed for more details.

Sincerely,

Dr. Deborah McCauley

Dr. Deborah McCauley
Executive Director
Veterinary Initiative for Endangered Wildlife
deborah.mccauley@viewwildlife.org
www.viewwildlife.org & www.facebook.com/VIEWWildlife

RCVD SEP 29 2016

LB



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 5 and 6 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

| A. Complete if applying as an individual | | | |
|--|----------------------------------|------------------------------|--|
| 1. a. Last name | 1. b. First name | 1. c. Middle name or initial | 1. d. Suffix |
| 2. Date of birth (mm/dd/yyyy) | 3. Social Security No. | 4. Occupation | 5. Affiliation/ Doing business as (see instructions) |
| 6. a. Telephone number | 6. b. Alternate telephone number | 6. c. Fax number | 6. d. E-mail address |

| B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution | | | |
|--|---|--|--------------|
| 1. a. Name of business, agency, Tribe, or institution <i>V.I.E.W. Veterinary Initiative for Endangered Wildlife</i> | 1. b. Doing business as (dba) | | |
| 2. Tax identification no. | 3. Description of business, agency, Tribe, or institution <i>non-government organization Wildlife Conservation</i> | | |
| 4. a. Principal officer Last name <i>McCauley</i> | 4. b. Principal officer First name <i>Deborah</i> | 4. c. Principal officer Middle name/ initial <i>L</i> | 4. d. Suffix |
| 5. Principal officer title <i>Executive Director</i> | | 6. Primary contact name | |
| 7. a. Business telephone number <i>508.667.2381</i> | 7. b. Alternate telephone number | 7. c. Business fax number | |

| C. All applicants complete address information | |
|--|-----------------------------|
| 1. a. | 1. f. Country <i>USA</i> |
| 1. b. | |
| 2. a. | |
| 2. b. | 2. f. Country |

| | |
|----|--|
| 1. | Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d)) |
| 2. | Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No <input checked="" type="checkbox"/> |
| 3. | Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. <i>Deborah McCauley</i> Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) <i>9/19/2016</i> |


E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

 **Permit number.** Enter the permit number to be reissued/renewed/amend 16US75654B/9

 **Submit the original permit with this application.**

3. Past activities.

- a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
- b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.

4. Annual Report. If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)

5. Sport-hunted trophies: If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. Certification - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: Deborah M. Cundy Date: 9/19/2016

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.

8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):



Dan Kaufman

gretchen.kaufman@viewwildlife.org

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

**CITES Appendix I Endangered Species in Nepal,
Including but not limited to:**

Mammal

| | |
|-----------------------|----------------------------------|
| Asian elephant | <i>Elephas maximus</i> |
| One-horned rhinoceros | <i>Rhinoceros unicornis</i> |
| Pygmy hog | <i>Porcula salvania</i> |
| Himalayan mush deer | <i>Moschus leucogaster</i> |
| Alpine musk deer | <i>Moschus chrysogaster</i> |
| Black dusky musk deer | <i>Moschus fuscus</i> |
| Gaur Bison | <i>Bos gaurus</i> |
| Tibetan antelope | <i>Pantholus hodgsonii</i> |
| Himalayan goral | <i>Naemorhedus goral</i> |
| Himalayan serow | <i>Capricornis thar</i> |
| Swamp Deer | <i>Rucervus duvaucelii</i> |
| Hog Deer | <i>Axis porcinus</i> |
| Hispid Hare | <i>Caprolagus Hispidus</i> |
| Snow Leopard | <i>Panthera uncial</i> |
| Ganges River Dolphin | <i>Platanista gangetica</i> |
| Indian Chevrotain | <i>Moschiola indica</i> |
| Grey Wolf | <i>Canis lupus</i> |
| Sloth Bear | <i>Melursus ursinus</i> |
| Red Panda | <i>Ailurus fulgens</i> |
| Brown Bear | <i>Ursus arctos</i> |
| Spotted Linsang | <i>Prionodon pardicolor</i> |
| Primate | |
| Himalayan grey langur | <i>Semnopithecus ajax</i> |
| Terai grey langur | <i>Semnopithecus hector</i> |
| Nepal grey langur | <i>Semnopithecus schistaceus</i> |



FEDEX REF #MADA#50

25 AUGUST 2015

CITES

To Whom It May Concern,

VIEW is committed to assisting countries around the world in meeting the health challenges of their endangered wildlife. Our work in Nepal emphasizes critical areas of capacity building: **training** for wildlife veterinarians and managers, supporting the development of much needed **wildlife health infrastructure**, and facilitating **research** to better understand wildlife health risks for population recovery. We believe that successful conservation strategies for critical populations must address threats from disease, in addition to habitat destruction and poaching pressure. In alignment with country partner priorities, VIEW's work in Nepal is focusing on high profile species such as Bengal tigers, One-horned rhinos, and Asian elephants, but our success there will build a foundation for a much broader impact for all of Nepal's wildlife into the future.

VIEW (Veterinary Initiative for Endangered Wildlife) is conducting collaborative research in Nepal to investigate disease in endangered wildlife. This project necessarily requires access to sophisticated diagnostic testing which is not available in Nepal. We are seeking CITES permission from the US Department of Interior, US Fish Wildlife Service to import biological samples from Nepal into the United States for the purpose of scientific diagnostic investigation into disease of endangered wildlife.

The Government of Nepal, Ministry of Forestry, Department of National Parks and Wildlife Conservation has given VIEW permission to submit samples to appropriate diagnostic laboratories in the US in cases where Nepal does not have the diagnostic capability. Please see the export permit letter from the Nepal government attached.

At this time we are seeking permission to import samples from tigers and rhinos. However, because our work in Nepal is growing and we expect to obtain additional samples on an ongoing basis, **we are requesting a multi-use or Masterlist designation for this permit. We have enclosed the fee of \$200 for a multi-use permit**, the requisite form, and a complete list of samples we currently wish to import.

Thank you for considering our request. Please do not hesitate to contact us with any questions.

Sincerely,

Dr. Deborah McCauley


Dr. Deborah McCauley
Executive Director, VIEW

Veterinary Initiative for Endangered Wildlife



Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative for Endangered Wildlife PRT #75654B

1 message

Barry, Anna <anna_barry@fws.gov>

Wed, Oct 19, 2016 at 2:06 PM

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Dr. Kaufman,

I just received a call from Dr. McCauley regarding the application. Unfortunately the application is not complete. As mentioned in my e-mail message below, we needed Question F (6,e-g) and G (7-8) from application 3-200-37 to be included for the new species. This application is required to be published in the Federal Register for 30 days, but I need this information to be furnished before I can publish it.

Based on Dr. McCauley's voice mail message she is interested in importing some samples from rhinos and tigers in the near future. Since she returned the original permit she was wondering if she could use a copy to import the samples. Normally during inspection of the shipment USFWS/Office of Law Enforcement (OLE) will not take the original CITES Import permit, they only take a completed copy, but they have the right to request to see the original, so I highly recommend that Dr. McCauley speaks to USFWS/OLE prior to importing the samples to ensure they do not have a problem with not seeing the original import permit.

As stated in the e-mail message below, a processing fee **is not** required for **this** amendment.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

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*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



----- Forwarded message -----

From: Barry, Anna <anna_barry@fws.gov>

Date: Tue, Jul 19, 2016 at 10:59 AM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Deborah McCauley <docdmccauley@gmail.com>

Dear Dr. McCauley,

To amend your current permit to add additional species please complete the following.

1. Page 1 of application 3-200-52: <https://www.fws.gov/forms/3-200-52.pdf>
2. Following questions from application 3-200-37: <https://www.fws.gov/forms/3-200-37.pdf>
 - Question #2 - provide scientific and common name of ever species you wish to add to permit (remember this permit is for species listed as Appendix I under and CITES and animals listed under the Endangered Species Act).
 - Question #F (6, e-g)
 - Question #G (7-8)
 - Question #18
 - Question #19
3. Processing fee is not required.

ESA Species List: <https://www.fws.gov/endangered/>

CITES Species List: <http://www.speciesplus.net/>

Please provide a copy of this e-mail with the application to ensure that it is referred to me.

Sincerely,

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USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
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On Mon, Jul 18, 2016 at 5:35 PM, Deborah McCauley <[REDACTED]> wrote:
Hello Ms. Barry,

I am including the letter we submitted to you this time last year to request a multi-use CITES permit. I have also copied our language below for this request:

At this time we are seeking permission to import samples from tigers and rhinos. However, because our work in Nepal is growing and we expect to obtain additional samples on an ongoing basis, we are requesting a multi-use or Masterlist designation for this permit. We have enclosed the fee of \$200 for a multi-use permit, the requisite form, and a complete list of samples we currently wish to import.

You had advised us to first get the tiger permit and submit an additional request for the multi-use permit. Please either call me on 406.581.0571 and/or email me to let me know the further steps we need to take to get this request and how best to proceed.

Thank you for your guidance,

Sincerely,
Deborah

On Jul 1, 2016, at 10:20 AM, Barry, Anna <anna_barry@fws.gov> wrote:

Hello Dr. McCauley,

Attached is a copy of your permit, the original is being mailed to your 1627 West Main Street address.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

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<08.01.16.75654B.permit..pdf>

Dr. Deborah McCauley
Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife





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14K



Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative for Endangered Wildlife PRT #75654B

Barry, Anna <anna_barry@fws.gov>

Wed, Nov 30, 2016 at 7:15 PM

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>, Deborah McCauley <d[REDACTED]>

See e-mail message below.

----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Wed, Oct 19, 2016 at 2:06 PM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Dr. Kaufman,

I just received a call from Dr. McCauley regarding the application. Unfortunately the application is not complete. As mentioned in my e-mail message below, we needed Question F (6,e-g) and G (7-8) from application 3-200-37 to be included for the new species. This application is required to be published in the Federal Register for 30 days, but I need this information to be furnished before I can publish it.

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As stated in the e-mail message below, a processing fee **is not** required for **this** amendment.

Sincerely,

Anna Barry
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----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Tue, Jul 19, 2016 at 10:59 AM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Deborah McCauley <[REDACTED]>

[REDACTED] Dr. McCauley,

To amend your current permit to add additional species please complete the following.

1. Page 1 of application 3-200-52: <https://www.fws.gov/forms/3-200-52.pdf>
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 - Question #19
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ESA Species List: <https://www.fws.gov/endangered/>

CITES Species List: <http://www.speciesplus.net/>

Please provide a copy of this e-mail with the application to ensure that it is referred to me.

Sincerely,

Anna Barry
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Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative for Endangered Wildlife PRT #75654B

Barry, Anna <anna_barry@fws.gov>

Wed, Jan 11, 2017 at 4:59 PM

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>, Deborah McCauley <[REDACTED]>

Dear Dr. Kaufman and Dr. McCauley,

If I do not receive a response from you soon I will have to withdraw your current request.

Sincerely,

Anna

----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Wed, Nov 30, 2016 at 7:15 PM

Subject: Fwd: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>, Deborah McCauley <[REDACTED]>

See e-mail message below.

----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Wed, Oct 19, 2016 at 2:06 PM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Dr. Kaufman,

I just received a call from Dr. McCauley regarding the application. Unfortunately the application is not complete. As mentioned in my e-mail message below, we needed Question F (6,e-g) and G (7-8) from application 3-200-37 to be included for the new species. This application is required to be published in the Federal Register for 30 days, but I need this information to be furnished before I can publish it.

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As stated in the e-mail message below, a processing fee **is not** required for **this** amendment.

Sincerely,

Anna Barry

Senior Biologist

USFWS/Division of Management Authority

Branch of Permits, MS: IA

5275 Leesburg Pike

Falls Church, VA 22041-3803

1-800-358-2104, ext. 1976 or 703-358-1976

Fax: 703-358-2281

E-mail: Anna_Barry@fws.gov

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From: **Barry, Anna** <anna_barry@fws.gov>
Date: Tue, Jul 19, 2016 at 10:59 AM
Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B
To: Deborah McCauley <[REDACTED]>

Dear Dr. McCauley,

To amend your current permit to add additional species please complete the following.

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Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative for Endangered Wildlife PRT #75654B

1 message

Barry, Anna <anna_barry@fws.gov>

Tue, Jan 31, 2017 at 4:51 PM

To: Deborah McCauley <deborah.mccauley@viewwildlife.org>

Cc: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Dr. McCauley,

Per our conversation today, please furnish the requested additional information by March 17, 2017, to avoid abandonment of the application.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
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On Wed, Jan 11, 2017 at 8:24 PM, Deborah McCauley <deborah.mccauley@viewwildlife.org> wrote:

Hello Anna,

I just returned from Nepal last week and the reason for the delay is that the Department of National Parks of Nepal is raised additional questions that will reflect on the application. We should hear an answer back next week, I have been working on this really hard all of December and the first week of January (and actually for years) so I hope you do not withdraw our request. There has been senior leadership changes in the government and it is taking more time which has resulted in the delay.

Thank you,
Deborah

Dr. Deborah McCauley
Founder & Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
Website: viewwildlife.org
FB: www.facebook.com/VIEWWildlife/



On Jan 11, 2017, at 2:59 PM, Barry, Anna <anna_barry@fws.gov> wrote:

Dear Dr. Kaufman and Dr. McCauley,

If I do not receive a response from you soon I will have to withdraw your current request.

Sincerely,

Anna

----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Wed, Nov 30, 2016 at 7:15 PM

Subject: Fwd: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>, Deborah McCauley <docdmccauley@gmail.com>

See e-mail message below.

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From: **Barry, Anna** <anna_barry@fws.gov>

Date: Wed, Oct 19, 2016 at 2:06 PM

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To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

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----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Tue, Jul 19, 2016 at 10:59 AM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

To: Deborah McCauley [REDACTED]

Dear Dr. McCauley,

To amend your current permit to add additional species please complete the following.

1. Page 1 of application 3-200-52: <https://www.fws.gov/forms/3-200-52.pdf>
2. Following questions from application 3-200-37: <https://www.fws.gov/forms/3-200-37.pdf>
 - Question #2 - provide scientific and common name of ever species you wish to add to permit (remember this permit is for species listed as Appendix I under and CITES and animals listed under the Endangered Species Act).
 - Question #F (6, e-g)
 - Question #G (7-8)
 - Question #18
 - Question #19
3. Processing fee is not required.

ESA Species List: <https://www.fws.gov/endangered/>

CITES Species List: <http://www.speciesplus.net/>

Please provide a copy of this e-mail with the application to ensure that it is referred to me.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



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----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

Date: Wed, Oct 19, 2016 at 2:06 PM

Subject: Veterinary Initiative for Endangered Wildlife PRT #75654B

[Quoted text hidden]

Barry, Anna <anna_barry@fws.gov>

Wed, Jan 11, 2017 at 4:59 PM

To: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>, Deborah McCauley <deborah.mccauley@viewwildlife.org>

Dear Dr. Kaufman and Dr. McCauley,

If I do not receive a response from you soon I will have to withdraw your current request.

Sincerely,

Anna

----- Forwarded message -----

From: **Barry, Anna** <anna_barry@fws.gov>

[Quoted text hidden]

Deborah McCauley <deborah.mccauley@viewwildlife.org>

Wed, Jan 11, 2017 at 8:24 PM

To: Anna Barry <anna_barry@fws.gov>

Cc: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Hello Anna,

I just returned from Nepal last week and the reason for the delay is that the Department of National Parks of Nepal is raised additional questions that will reflect on the application . We should hear an answer back next week, I have been working on this really hard all of December and the first week of January (and actually for years) so I hope you do not withdraw our request. There has been senior leadership changes in the government and it is taking more time which has resulted in the delay.

Thank you,
Deborah

Dr. Deborah McCauley
Founder & Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
Website: viewwildlife.org
FB: www.facebook.com/VIEWWildlife/



[Quoted text hidden]



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Deborah McCauley <deborah.mccauley@viewwildlife.org>

Tue, Mar 14, 2017 at 6:26 AM

To: Anna Barry <anna_barry@fws.gov>

Cc: Gretchen Kaufman <gretchen.kaufman@viewwildlife.org>

Dear Anna,

I have left you a voice mail last night about requesting an extension to amend our CITES permit. Dr Kaufman is in Kathmandu today meeting with the Director General. We want to ensure that we are completing the permits appropriately please allow us more time.

Thank you for your patience.

Deborah

Dr. Deborah McCauley
Founder & Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
Website: viewwildlife.org
FB: www.facebook.com/VIEWWildlife/



[Quoted text hidden]



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14K

Barry, Anna <anna_barry@fws.gov>

Tue, Mar 14, 2017 at 11:08 AM

To: Deborah McCauley <[REDACTED]>

Ok, please provide the information by April 28, 2017.

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!





25 AUGUST 2015

CITES

To Whom It May Concern,

VIEW is committed to assisting countries around the world in meeting the health challenges of their endangered wildlife. Our work in Nepal emphasizes critical areas of capacity building: **training** for wildlife veterinarians and managers, supporting the development of much needed **wildlife health infrastructure**, and facilitating **research** to better understand wildlife health risks for population recovery. We believe that successful conservation strategies for critical populations must address threats from disease, in addition to habitat destruction and poaching pressure. In alignment with country partner priorities, VIEW's work in Nepal is focusing on high profile species such as Bengal tigers, One-horned rhinos, and Asian elephants, but our success there will build a foundation for a much broader impact for all of Nepal's wildlife into the future.

VIEW (Veterinary Initiative for Endangered Wildlife) is conducting collaborative research in Nepal to investigate disease in endangered wildlife. This project necessarily requires access to sophisticated diagnostic testing which is not available in Nepal. We are seeking CITES permission from the US Department of Interior, US Fish Wildlife Service to import biological samples from Nepal into the United States for the purpose of scientific diagnostic investigation into disease of endangered wildlife.

The Government of Nepal, Ministry of Forestry, Department of National Parks and Wildlife Conservation has given VIEW permission to submit samples to appropriate diagnostic laboratories in the US in cases where Nepal does not have the diagnostic capability. Please see the export permit letter from the Nepal government attached.

At this time we are seeking permission to import samples from tigers and rhinos. However, because our work in Nepal is growing and we expect to obtain additional samples on an ongoing basis, we are requesting a multi-use or Masterlist designation for this permit. We have already paid the fee of \$200 for a multi-use permit, and included in the requisite form, and a complete list of samples we currently wish to import.

Thank you for considering our request. Please do not hesitate to contact us with any questions.

Sincerely,
Dr. Deborah McCauley

Dr. Deborah McCauley
Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
VIEW is a 501c3. EIN# 46-1233603



Permit 3-200-37

6. For each animal/specimen **taken from the wild**, provide the following:
- a. Scientific name (genus, species, and, if applicable, subspecies) and common name of priority endangered species in Nepal;

CITES Apendix I Species in Nepal

Mammal

| | |
|-----------------------|------------------------------|
| Asian elephant | <i>Elephas maximus</i> |
| One-horned rhinoceros | <i>Rhinoceros unicornis</i> |
| Pygmy hog | <i>Porula salvania</i> |
| Himalayan mush deer | <i>Moschus leucogaster</i> |
| Alpine musk deer | <i>Moschus chrysogaster</i> |
| Black dusky musk deer | <i>Moschus fuscus</i> |
| Gaur Bison | <i>Bos gaurus</i> |
| Tibetan antelope | <i>Pantholos hodgsonii</i> |
| Himalayan goral | <i>Naemorhedus goral</i> |
| Himalayan serow | <i>Capricornis thar</i> |
| Swamp Deer | <i>Rucervus duvaucelii</i> |
| Hog Deer | <i>Axis porcinus</i> |
| Hispid Hare | <i>Caproloagus Hispidus</i> |
| Snow Leopard | <i>Panthera uncial</i> |
| Ganges River Dolphin | <i>Platanista gangetica</i> |
| Indian Chevrotain | <i>Moschiola indica</i> |
| Grey Wolf | <i>Canis lupus</i> |
| Himalayan wolf | <i>Canis lupus filchneri</i> |
| Sloth Bear | <i>Melursus ursinus</i> |
| Red Panda | <i>Ailurus fulgens</i> |
| Brown Bear | <i>Ursus arctos</i> |
| Spotted Linsang | <i>Prionodon pardicolor</i> |

Primate

| | |
|-----------------------|----------------------------------|
| Himalayan grey langur | <i>Semnopithecus ajax</i> |
| Terai grey langur | <i>Semnopithecus hector</i> |
| Nepal grey langur | <i>Semnopithecus schistaceus</i> |

- b. Specific location of where, when, and by whom (name and address) the specimen was removed from the wild;
- Location: Protected Areas of Nepal; We are seeking a blanket permit which does not indicate a specific location other than the Protected Areas of Nepal.
 - By Whom: Government Officials, Dr. Kamal Gairhe, Senior Veterinarian Officer from Department of National Parks Wildlife Conservation either collects biological samples himself or samples are collected through his authority. He is the person



approved in Nepal to collect biological specimens from endangered wildlife of Nepal.

- c. Purpose of removal and length or approximate length of time held in captivity;
Biological samples are collected opportunistically for management or research purposes due to wildlife-human conflict, research or found dead in Protected Areas of Nepal by local government authorities. No animal is removed or held in captivity solely for this project.
- d. Describe your efforts to use captive specimens (e.g., captive-born, captive-held), or parts thereof, in lieu of taking animals from the wild. All samples are collected opportunistically due to wildlife-human conflict or purposes. See above: 6.c.
- e. Copies of your foreign or domestic collecting permit, license, contract or agreement;

All samples are collected by the DNPWC and NTNC. See enclosed documents with DNPWC and US CITES, and Diagnostic Laboratory:

Page 1. US CITES copy of original Document (I mailed our original document to your office in July 2016)

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

Page 6. US Diagnostic Lab: Senior Veterinarian at Cornell University Diagnostic Laboratory

- f. Documentation showing that the specimen(s) was legally obtained by the applicant; and

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

- g. Copies of any applicable State, Tribal, Federal, or Foreign government permits or licenses that authorized the removal of this animal from the wild.

Biological samples are collected opportunistically for management or research purposes due to wildlife-human conflict, or found dead in Protected Areas of Nepal by local government authorities. No animal is removed or held in captivity solely for this project.

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer



JUSTIFICATION FOR REQUESTED ACTIVITY.

Provide a full statement justifying the proposed activity, particularly the following:

7.a. Describe the purpose of your proposed activity.

PURPOSE

The sole veterinarian working in the Department of National Parks and Wildlife Conservation, Senior Wildlife Veterinary Officer Dr. Kamal Gairhe, collects biological samples for diagnostic purposes but rarely has the funding available to process these samples and in many cases the laboratory facilities to perform the analysis are not available in Nepal. VIEW has been working with Dr. Gairhe and other conservation partners to help Nepal develop the capability to conduct wildlife health disease surveillance, including developing in-country laboratory capacity, supporting additional veterinary personnel, developing a disease surveillance database and providing critical training. Biological samples have been processed when possible, while others have been stored in Chitwan NP since 2010. We are seeking a permit to process samples from CITES protected species that cannot be analyzed in country, on an ongoing basis. This data will be provided to the Government of Nepal and incorporated into the wildlife health surveillance database. We expect that research will be conducted utilizing the data generated through this program. Such research will only be conducted with full understanding and permission from the Government of Nepal.

b. Description of the technical expertise of each person (please include CV or resume), as it relates to the proposed activities. If the proposed activity involves the import of live animals, include the experience of each animal caretaker working with the species.

Department of National Parks Wildlife Veterinarian Chief wildlife veterinarian for Nepal, carries out all procedures as according to Nepal's strict regulations on handling endangered wildlife. Supporting veterinarians include Dr. Amir Sudala and Dr. Gretchen Kaufman and Dr. Deborah McCauley.

See enclosed CVs of Dr. Kaufman and Dr. McCauley and Dr. Amir (pgs. G. b. 1-6)

c. Copies of contracts, agreements of together documents that identify persons involved and dates of activities for which authorization is being requested.

See Enclosed Document Page 2, from Dr. Gairhe Senior Veterinary Officer from Department of Fish Wildlife and Parks has sent a letter addressed to Anna Barry at USFWS.



8. Provide a statement on how the activities will **enhance or benefit the wild population** (e.g., in-situ and ex- situ projects).

Investigating into disease is a vital conservation effort to save the most iconic and fragile endangered species in the wild. Diagnostics in Nepal to investigate the diseases they are exposed to or are sick from is not possible. Disease diagnosis into the cause of death of endangered wildlife is vital to inform actions, and policies to protect the CITES 1 species in Nepal.

VIEW's efforts are solely dedicated to improving the ability of the country of Nepal to care for and protect their wildlife. By providing wildlife health training and building capacity for disease surveillance, VIEW is helping Nepal to be better prepared to prevent disease outbreaks, institute appropriate policies for wildlife translocation, wildlife rehabilitation, and management of domestic animals that share wildlife habitat. The activity of analyzing biological samples for disease is a critical component of this program, without which no progress would be possible.

18. Contact person at VIEW

Dr. Deborah McCauley- please contact by email



Permit 3-200-52

State Document number...

Changes applicant's details dba and email address:

1.b VIEW

7.d deborah.mccauley@viewwildlife.org



Government of Nepal
Ministry of Forest and Soil Conservation
Department of National Park and Wildlife Conservation
CHITWAN NATIONAL PARK OFFICE

Kasara, Chitwan
Phone : 056-411025
Fax : 056-5411024

February 23, 2016

Ref. No.:

Anna Berry
Senior Biologist
USFWS Division of Management Authority
USA

Dear Anna Berry,

I am the Senior Veterinary Officer for DNPWC (Department of National Parks Wildlife Conservation) Nepal headquartered in Chitwan NP. VIEW (Veterinary Initiative for Endangered Wildlife) has been working with us since 2012 helping to develop a wildlife health program for Nepal. We have several critically endangered wildlife species in Nepal that need further diagnostic analysis that is not available to us in Nepal. Cornell University is one of the diagnostic facilities that we wish to send specific biological samples for diagnostic purposes. For example, we are sending Tiger serum to Cornell University to investigate diseases. However, we would like to submit wildlife serum samples from Nepal with a multi-use CITES permit to the appropriate diagnostic laboratories in the US. This first batch of serum samples from tigers and a leopard will be going to Cornell.

DNPWC is seeking permission of US CITES through VIEW to send biological samples to the most appropriate laboratory in the US for scientific evaluation. This may include but is not exclusive to well established laboratories at Cornell University, Washington State University, or the National Veterinary Diagnostic Laboratory in Ames, Iowa. VIEW will determine which laboratory is best suited for the required analysis depending on the species and diseases of concern for investigation.

Thank you for your consideration,

(Dr. Kamal P. Gairhe)
Sr. Veterinary Officer
Chitwan National Park
Dept. of National Parks and Wildlife Conservation
Ministry of Forests and Soil Conservation
Nepal



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity:
**EXPORT/RE-EXPORT/IMPORT/INTERSTATE AND
FOREIGN COMMERCE/TAKE OF ANIMALS
(LIVE/ SAMPLES/PARTS/PRODUCTS) (ESA and/or CITES)**
(circle/highlight proposed activity)

☐ New Application
☐ Requesting Re-issuance/Amendment of Permit#: _____

Complete Sections A or B, and C through H of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

| A. Complete if applying as an individual | | | |
|---|---------------------------------|--|-----------------------------|
| 1.a. Last name | | 1.b. First name | 1.c. Middle name or initial |
| 2. Date of birth (mm/dd/yyyy) | | 3. Social Security No. | 1.d. Suffix |
| 4. Occupation | | 5. Affiliation/ Doing business as (see instructions) | |
| 6.a. Telephone number | 6.b. Alternate telephone number | 6.c. Fax number | 6.d. E-mail address |

| B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution | | | |
|---|---------------------------------|-----------------------------------|---|
| 1.a. Name of business, agency, Tribe, or institution | | 1.b. Doing business as (dba) | |
| [REDACTED] | | [REDACTED] | |
| [REDACTED] officer Last name | | 4.b. Principal officer First name | 4.c. Principal officer Middle name/ initial |
| 5. Principal officer title | | 4.d. Suffix | |
| 6. Primary contact name | | | |
| 7.a. Business telephone number | 7.b. Alternate telephone number | 7.c. Business fax number | [REDACTED] |

| C. All applicants complete address information | |
|---|--------------|
| [REDACTED] | |
| [REDACTED] | 1.f. Country |
| [REDACTED] | |
| 2.e. County/Province | 2.f. Country |

| D. All applicants MUST complete | |
|--|--|
| 1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 nonrefundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – <i>attach documentation of fee exempt status as outlined in instructions.</i> (50 CFR 13.11(d)) | |
| 2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No <input type="checkbox"/> | |
| 3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. | |
| Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) | |
| Date of signature (mm/dd/yyyy) | |

E. EXPORT/RE-EXPORT/IMPORT/INTERSTATE AND FOREIGN COMMERCE/TAKE OF NON-NATIVE ANIMALS (Live/samples/parts/products) (CITES and/or ESA)

Allow at least 90 days for the application to be processed. Applications for endangered species permits must be published in the Federal Register for a 30-day public comment period.

Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing. If you are applying for multiple specimens, be sure to indicate which specimen you are addressing in each response.

1. What activity are you requesting authorization to carry out (Indicate appropriate activities):

EXPORT _____ IMPORT _____
INTERSTATE COMMERCE _____ FOREIGN COMMERCE _____

*Interstate Commerce permits authorize the sale of endangered and threatened species across State lines, but only for that will contribute to enhancing the propagation or survival of that species. Captive-breeding alone will not generally meet this requirement. Scientific research must be related to the species to be permitted. Interstate commerce activities with wildlife require the buyer to obtain a permit prior to the sale.

2. For EACH animal/specimen involved in the proposed activity provide:

| Scientific name (genus, species, and, if applicable, subspecies) | Common Name | Birth/Hatch Date (mm/dd/yyyy) Or Approximate date | Quantity | Gender, if known | Permanent markings (e.g., tattoo, ID #, microchip #, scars), if alive | Type of Sample or product (e.g., blood, tissue, DNA) |
|--|---------------------|---|----------|------------------|---|--|
| EXAMPLE: <i>Macaca fascicularis</i> | Crab-eating macaque | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

3. The current location of the specimen(s) (address and country):

Name:

Business Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

4. Recipient/Sender:
- If export, provide name and address of the recipient in the foreign country.
 - If import, provide name and address of the exporter in the foreign country.
 - If interstate or foreign commerce, provide name and address of recipient.

Name:

Business Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

F. SOURCE OF SPECIMEN (answer question 5 or 6 for each animal/specimen involved, as appropriate).

5. For each animal or animal from which specimen are obtained born in captivity:
- a. If you are the **breeder** of the specimen(s), please provide a signed and dated statement that includes the following:
 - i. Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - ii. That the animal was bred and born at your facility;
 - iii. Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - iv. Name and address of your facility where each animal was bred and born; and
 - v. Location (Name of facility, address, city, State/province, postal code) of parental stock.
 - b. If you are **NOT the breeder** of the specimen(s), provide copies of documentation showing that you acquired the animal from the breeder or documentation demonstrating the history of transactions (e.g., chain of ownership of the animal) and a signed and dated statement from the breeder or breeder's record that clearly includes the following:
 - i. Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - ii. That each animal was bred and born/hatched at his/her facility;
 - iii. Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - iv. Name and address of the breeder's facility; and
 - v. Location (name of facility, address, city, State/province, postal code) of parental stock.

6. For each animal/specimen **taken from the wild**, provide the following:
 - a. Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - b. Specific location of where, when, and by whom (name and address) the specimen was removed from the wild;
 - c. Purpose of removal and length or approximate length of time held in captivity;
 - d. Describe your efforts to use captive specimens (e.g., captive-born, captive-held), or parts thereof, in lieu of taking animals from the wild.
 - e. Copies of your foreign or domestic collecting permit, license, contract or agreement;
 - f. Documentation showing that the specimen(s) was legally obtained by the applicant; and
 - g. Copies of any applicable State, Tribal, Federal, or Foreign government permits or licenses that authorized the removal of this animal from the wild.

G. JUSTIFICATION FOR REQUESTED ACTIVITY.

7. Provide a full statement justifying the proposed activity, particularly the following:
 - a. Describe the purpose of your proposed activity. For example, if the purpose is scientific research, attach a copy of your research proposal outlining the purpose, objectives, methods (e.g., specific information on survey/collection methods, sampling regime, equipment to be used), and whether similar work has already been done or is currently being done. If the purpose is conservation education, provide copies of educational materials (e.g., handouts, text of signage or public presentations), and include the purpose and objectives of the proposed activity. If the purpose is for propagation for conservation purposes, provide a description of how the species will be propagated, disposition of progeny, and cooperative agreements that are/will be established for re-introduction.
 - b. Description of the technical expertise of each person (please include CV or resume), as it relates to the proposed activities. If the proposed activity involves the import of live animals, include the experience of each animal caretaker working with the species.
 - c. Copies of contracts, agreements or other documents that identify persons involved and dates of activities for which authorization is being requested.
8. Provide a statement on how the activities will **enhance or benefit the wild population** (e.g., in-situ and ex-situ projects).
9. If live specimens are to be held in captivity as part of the proposed activity:
 - a. Provide a detailed description (e.g., size, construction materials, protection from the elements) and photographs or diagrams (no blueprints, please) clearly depicting the existing facilities **where the wildlife will be maintained**. If the specimens will be housed at multiple facilities, either immediately or within the next year, provide a full description of each facility. If you are unsure of which facilities may be receiving specimens (e.g., SSP has not made final decision), please indicate likely candidates and the mechanism that will be used to determine recipient facilities.
 - b. A statement of the specific technical experience of CV or resume available to the recipient(s) for maintaining and propagating live specimens of the same or similar species.
 - c. The number of years each species has been maintained at the facility;
 - d. The number of births by year for each species for the last 5 years; and
 - e. Mortalities at the facility with these or similar species in the last 5 years, causes of such mortalities, and steps taken to avoid or decrease such mortalities.

H. IMPORTS, EXPORTS, OR RE-EXPORTS.

10. For shipment of LIVE specimens, the transport conditions for animals must comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with the International Air Transport Association (IATA) live animal regulations (contact airline for information). As such, describe:
 - i. The type, size, and construction of any shipping container; and
 - ii. The arrangements for watering or otherwise caring for the wildlife during transport.
11. **For import of LIVE CITES Appendix-I marine mammal specimens**, provide a copy of your FWS or NOAA Fisheries permit or authorization.
12. For import of CITES **Appendix-I listed species**, provide information to show the import is not for primarily commercial purposes as outlined in Resolution Conf. 5.10 (www.cites.org).
13. For export of CITES **Appendix-I species**, provide a copy of the CITES import permit, or evidence one will be issued by the Management Authority of the country to which you plan to export the specimen(s). In accordance with Article III of the CITES treaty, it is required that import permits are issued before the corresponding export permit.
14. If the specimen is being **re-exported** (e.g., exporting a specimen that was previously imported into the United States), provide:
 - a. A copy of the canceled CITES export or re-export document issued by the appropriate CITES office in the country from which the wildlife was imported (if applicable); and
 - b. A cleared copy of Form 3-177, wildlife Declaration for Import (hard copy or electronic release); **or**
 - c. If you did not make the original import, provide a copy of the importer's documents outlined above and the invoice or other documentation that shows you acquired the wildlife from the original importer or history of transactions which demonstrate chain of ownership.
15. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).
16. Name and address where you wish permit mailed, **if** different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):
17. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please **DO NOT** include credit card number or other information; you will be contacted for this information.
☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 11. I understand that you will contact me for my credit card information once the application has been processed.

18. Who should we contact if we have questions about the application? (Include name, phone number, and email):
19. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?
- ___ Yes ___ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

APPLICATION FORM INSTRUCTIONS

The following instructions pertain to an U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in [50 CFR 13](#) address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all relevant questions in Sections A or B, C, D, and E.
- **An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.**
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in **blue** ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the applications or, if applicable, on the attached address list.
- **Keep a copy of your completed application.**
- **Please plan ahead. Allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11).**
- Applications are processed in the order they are received.

SECTION A OR SECTION B:

Section A. **Complete if applying as an individual [do not complete this section if applying for Import/Export License (3-200-3)]:**

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. **Fax and e-mail, while helpful in processing, are not required.**
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing **power of attorney** must be included with the application.
- **Affiliation/ Doing business as (dba):** business, agency, organizational, Tribe, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity). The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals (complete Section B)..

Section B. **Complete if applying as a business, corporation, public agency, Tribe, or institution:**

- Enter the complete name of the business, agency, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA) and Office of Law Enforcement (OLE), a physical U.S. address is **required**. Province and Country blocks are provided for those USFWS programs that use foreign addresses and are not required by DMA.
- **Mailing address** is the address to which communications from USFWS should be mailed if different from applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 **Application processing fee:**

- An application processing fee is required at the time of application, unless exempted under 50 CFR13. The application processing fee is assessed to partially cover the cost of processing a request. **The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun.**
- **Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies.** Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 **Federal Fish and Wildlife permits:**

- List the number(s) of your most recent issued USFWS permit. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

Section D.3 **CERTIFICATION:**

- **The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink.** This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT
Paperwork Reduction Act, Privacy Act, and Freedom of Information Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

1. The gathering of information on fish and wildlife is authorized by: (Authorizing statutes can be found at: <http://www.gpoaccess.gov/cfr/index.html> and <http://www.fws.gov/permits/ltr/ltr.html>)
 - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
 - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
 - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
 - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, *et. seq.*), 50 CFR 18;
 - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
 - f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
 - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), <http://www.cites.org/>, 50 CFR 23;
 - h. General Provisions, 50 CFR 10;
 - i. General Permit Procedures, 50 CFR 13; and
 - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid OMB control number.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - a. Routine disclosure to subject matter experts, and Federal, Tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. Routine disclosure to Federal, Tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. Routine disclosure to Federal, Tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - g. Routine disclosure to the appropriate Federal, Tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - i. Routine disclosure to the Government Accountability Office or Congress when the information is required for the evaluation of the permit programs.
 - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for an Export/Import/Interstate and Foreign Commerce/Take of Animals application is 2 hours. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 2042-PDM, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

DO NOT RETURN THIS PAGE WITH THE APPLICATION



Veterinary Initiative for Endangered Wildlife
1627 West Main St. Suite 445
Bozeman, MT 59715
USA

19 September 2016

Re: CITES AMENDMENT OF PERMIT

To Whom IT May Concern,

On August 25, 2015 I submitted a Fish Wildlife Permit Application Form for VIEW (Veterinary Initiative for Endangered Wildlife) including a letter (enclosed) requesting a multi-use or Masterlist designation for that permit. VIEW had included \$200 for use in the original permit and the multi-use permit on the first submission. I believe that covers all fee and charges requested from your office.

Please see the letter enclosed for more details.

Sincerely,

Dr. Deborah McCauley

Dr. Deborah McCauley
Executive Director
Veterinary Initiative for Endangered Wildlife
deborah.mccauley@viewwildlife.org
www.viewwildlife.org & www.facebook.com/VIEWwildlife



नेपाल सरकार

वन तथा भू-संरक्षण मन्त्रालय

राष्ट्रिय निकुञ्ज तथा वन्यजन्तु संरक्षण विभाग

चितवन राष्ट्रिय निकुञ्ज कार्यालय

पत्र संख्या :

चलानी नं. : ५४४

प्राप्त पत्र संख्या र मिति :

शाखा)

कसरा, चितवन

फोन नं. ०५६-४११०२५

फ्याक्स : ०५६-४११०२४

मिति: २०७३/१२/०४

विषय: बाघको सिरमको नमुना रोगव्याध अनुसन्धानका लागि विदेशि
प्रयोगशालामा परिक्षणकालागि पठाउने संबन्धमा ।

श्री राष्ट्रिय निकुञ्ज तथा वन्यजन्तु संरक्षण विभाग
ववरमहल, काठमाण्डौ ।

प्रस्तुत विषयमा मानिस मार्ने तथा अन्य बाघ नियन्त्रणमा लिनुपर्ने अवस्थामा समातिएका बाघहरुका रगत को नमुना लिइ त्यसबाट सिरम छुट्याइ रोग व्याध परिक्षणकालागि जैविक विविधता संरक्षण केन्द्र, सौराहामा सुरक्षित संग्रह गरि राखिएको छ । तल उल्लेख गरिएका संकलित बाघका सिरमका नमुना मध्ये सि.नं. १ देखि ५ सम्मका नमुनाहरु परिक्षणकालागि अमेरिकाको प्रयोगशालामा परिक्षणकालागि लैजान अनुमति प्राप्त भै सकेको ब्योहोरा विदितै छ । अमेरिका स्थित VIEW भन्ने संस्थाले राष्ट्रिय प्रकृति संरक्षण कोष संग समझदारी गरी नेपालमा कार्य गरिरहेको र सो बाघका सिरम नमुनाहरु अमेरिकाको प्रतिष्ठित प्रयोगशाला सम्म लगिदिने र परिक्षण खर्च समेत ब्योहोरिदिने भएकाले पछि संकलन भएका बाघका सिरम नमुनाहरु (सि.नं. ६ देखि ११ सम्म) समेत परिक्षण गर्नको लागि लैजान उक्त VIEW लाइ CITES अनुमति उपलब्ध गराइदिनुहुन अनुरोध गर्दछु ।

तपशिल

| SN | Sex | Location | Date of sampling | Remark |
|----|-----|-----------------|------------------|--------|
| 1 | M | Kasara captive | 21.01.11 | |
| 2 | M | Kasara captive | 01.11.11 | |
| 3 | M | Liglige | 02.02.12 | |
| 4 | M | Meghauri | 25.04.12 | |
| 5 | F | Devnagar | 09.05.12 | |
| 6 | M | Madi | 28.11.13 | |
| 7 | M | Shitalpur, Madi | 01.12.13 | |
| 8 | M | Triveni | 24.07.14 | |
| 9 | M | Kumroj | 27.11.15 | |
| 10 | M | Jagatpur | 08.02.16 | |
| 11 | F | Gaidakot | 30.01.17 | |

डा कमल प्रसाद गैडे
वरिष्ठ पशुचिकित्सक

E. EXPORT/RE-EXPORT/IMPORT/INTERSTATE AND FOREIGN COMMERCE/TAKE OF NON-NATIVE ANIMALS (Live/samples/parts/products) (CITES and/or ESA)

Allow at least 90 days for the application to be processed. Applications for endangered species permits must be published in the Federal Register for a 30-day public comment period.

Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing. If you are applying for multiple specimens, be sure to indicate which specimen you are addressing in each response.

1. What activity are you requesting authorization to carry out (Indicate appropriate activities):

EXPORT ☐

INTERSTATE COMMERCE ☐

IMPORT ☐

FOREIGN COMMERCE ☐

*Interstate Commerce permits authorize the sale of endangered and threatened species across State lines, but only for that will contribute to enhancing the propagation or survival of that species. Captive-breeding alone will not generally meet this requirement. Scientific research must be related to the species to be permitted. Interstate commerce activities with wildlife require the buyer to obtain a permit prior to the sale.

2. For EACH animal/specimen involved in the proposed activity provide: *see Appendix I page 1*

| Scientific name (genus, species, and, if applicable, subspecies) | Common Name | Birth/Hatch Date (mm/dd/yyyy) Or Approximate date | Quantity | Gender, if known | Permanent markings (e.g., tattoo, ID #, microchip #, scars), if alive | Type of Sample or product (e.g., blood, tissue, DNA) |
|--|---------------------|---|----------|------------------|---|--|
| EXAMPLE: <i>Macaca fascicularis</i> | Crab-eating macaque | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

3. The current location of the specimen(s) (address and country): ** This is for a Multi-use permit all sample will be stored @:*

Name:

Business Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

*Ministry of Forestry + Soil Conservation
Department of National Parks and Wildlife Conservation
Chitwan, NP
Kasara, Chitwan 1*

4. Recipient/Sender:

- If export, provide name and address of the recipient in the foreign country.
- If import, provide name and address of the exporter in the foreign country.
- If interstate or foreign commerce, provide name and address of recipient.

Name:

Business Name:

Address:

VIEW will be responsible to get samples to the appropriate
Diagnostic LAB see letter ^{per supporting document.}
Veterinary Initiative for Endangered
Wildlife (VIEW)

Country, Postal Code:

F. SOURCE OF SPECIMEN (answer question 5 or 6 for each animal/specimen involved, as appropriate).

5. For each animal or animal from which specimen are obtained born in captivity:

- If you are the **breeder** of the specimen(s), please provide a signed and dated statement that includes the following:
 - Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - That the animal was bred and born at your facility;
 - Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - Name and address of your facility where each animal was bred and born; and
 - Location (Name of facility, address, city, State/province, postal code) of parental stock.
- If you are **NOT the breeder** of the specimen(s), provide copies of documentation showing that you acquired the animal from the breeder or documentation demonstrating the history of transactions (e.g., chain of ownership of the animal) and a signed and dated statement from the breeder or breeder's record that clearly includes the following:
 - Scientific name (genus, species, and, if applicable, subspecies) and common name;
 - That each animal was bred and born/hatched at his/her facility;
 - Birth/hatch date (mm/dd/yyyy), and, if applicable, identification information (as described in question 2b above);
 - Name and address of the breeder's facility; and
 - Location (name of facility, address, city, State/province, postal code) of parental stock.



नेपाल सरकार
वन तथा भू-संरक्षण मन्त्रालय
राष्ट्रिय निकुञ्ज तथा वन्यजन्तु संरक्षण विभाग
इकोलोजी
(..... शाखा)

फोन नं : ४२२०८५०
४२२०९९२
४२२७९२६
फ्याक्स नं. ४२२७६७५



संकेत नं :-

पत्र संख्या २०७०।०७। ईको नं. ५३

चलानी नं. : ६६२

पो. ब. नं. - ८६०

बबरमहल, काठमाडौं

Email: info@dnppwc.gov.np

http://www.dnppwc.gov.np

मिति :- २०७१।६।२३

विषय :- रोग व्याधी परीक्षण गर्न सिरमका नमुना विदेश पठाउने सम्बन्धमा ।

श्री चितवन राष्ट्रिय निकुञ्ज कार्यालय,
कसरा, चितवन

प्रस्तुत विषयमा “चितवन राष्ट्रिय निकुञ्जमा गैडाको रेडियोकोलारिङ्ग, मानिस मार्ने बाघको नियन्त्रण र कहिले काही उपचारका लागी वन्यजन्तु लठ्याउन पर्ने अवस्थामा संकलन गरिएको रगतका नमुनाहरूमा विभिन्न रोगहरूको अवस्था बुझ्न एवम् विभिन्न रोगका कारक जिवको उपस्थिति परिक्षण गर्न अमेरिकाको न्यूयोर्क स्थित Cornell University मा उक्त संकलित सिरमका र Tissue Samples पठाउनका लागि चितवन राष्ट्रिय निकुञ्ज कार्यालयबाट स्वीकृति माग गरेको अवस्थामा तहाँ विभागका प्राविधिक समितिको निर्णय, प्राकृतिक विज्ञान संग्रहालयको राय, तहाँ विभागका महानिर्देशक समेतको सिफारिस अनुसार संकलित सिरम र Tissue Samples उल्लेखित University का Laboratory मा परिक्षणको लागि पठाउन नेपाल सरकार (सचिवस्तर) मिति २०७१।१।१५ को निर्णय भएकोले निर्णयानुसार गर्नु” भनी वन तथा भू-संरक्षण मन्त्रालयको पत्रसंख्या वातावरण २०७१।०७२ चलानी नं. ८३ मिति २०७१।१।२३ को पत्र प्राप्त भएकोले तपसिल बमोजिमका शर्तहरूको अधिनमा रही निर्णय कार्यान्वयनका लागि पठाईएको व्यहोरा आदेशानुसार अनुरोध छ ।

List of Serum Samples of Wildlife Stored in CNP requiring investigation in the US

| S.N | Animal Species | Sex | Location | Date of Sampling | Quality of Serum | Remarks |
|-----|----------------|-----|-----------------|------------------|------------------|------------------------|
| 1 | Tiger | M | Kasara Captive | 21-Jan-11 | H+ | |
| 2 | Tiger | M | Kasara captive | 1-Nov-11 | C | |
| 3 | Tiger | M | Liglige | 2-Feb-12 | | Grey color, post death |
| 4 | Tiger | M | Megghauli | 25-Apr-12 | C | |
| 5 | Tiger | F | devnagar | 9-May-13 | C | |
| 6 | Leopard | M | Shankanagar zoo | 15-May-13 | H+ | |
| 7 | Rhino | | Icharni | 9-Jan-10 | C | |
| 8 | Rhino | | Bhimpur | 3-Apr-10 | H+++ | |
| 9 | Rhino | | Icharni | 5-Apr-10 | C | |
| 10 | Rhino | M | Icharni | 23-Dec-10 | H++ | |
| 11 | Rhino | M | jayamangala | 11-jan-11 | H+ | |
| 12 | Rhino | F | jayamangala | 19-jan-11 | H+ | |
| 13 | Rhino | M | Bhimle | 13-Feb-11 | C | |
| 14 | Rhino | F | ??? | 5-Mar-11 | C | |



नेपाल सरकार
वन तथा भू-संरक्षण मन्त्रालय
राष्ट्रिय निकुञ्ज तथा वन्यजन्तु संरक्षण विभाग
(..... शाखा)

फोन नं : ४२२०८५०
४२२०९९२
४२२७९२६
फ्याक्स नं. ४२२७६७५



संकेत नं :-
पत्र संख्या :-
चलानी नं. :-

पो. ब. नं. - ८६०
बबरमहल, काठमाडौं
Email: info@dnppwc.gov.np
http://www.dnppwc.gov.np

| | | | | | | |
|----|----------------|---|------------------|-----------|----|--|
| 15 | Rhino | F | Icharni | 9-Feb-12 | C | |
| 16 | Rhino | F | Icharni | 23-Mar-13 | C | |
| 17 | Rhino | M | Padampur | 5-Apr-13 | C | |
| 18 | Sambar Deer | F | Kumroj | 5-Aug-10 | H+ | |
| 19 | Sambar Deer | M | Sauraha | 12-Oct-12 | C | |
| 20 | Grey Lag Goose | F | Sauraha | 25-Nov-11 | | |
| 21 | Wild elephant | F | Ghagar | 25-Feb-12 | C | |
| 22 | Wild elephant | M | Bankatta(Dhurbe) | 21-Nov-12 | C | |

शर्तहरू

१. अध्ययन अनुसन्धान कार्य गर्दा रा.नि. तथा व.ज.सं. ऐन, २०२९ र अर्न्तगतका नियमावली र अन्य प्रचलित ऐन नियमहरू अनुसार गर्नु पर्ने ।
२. अध्ययनको लागि पेश भएको प्रस्ताव १ प्रति सम्बन्धित संरक्षित क्षेत्र कार्यालयमा पेश गर्नु पर्ने ।
३. अध्ययन पश्चात १/१ प्रति Report यस विभाग र सम्बन्धित संरक्षित क्षेत्र कार्यालयमा अनिवार्य रुपमा बुझाउनु पर्ने ।
४. कार्यालयले तोकेको प्रतिनिधीको रोहवरमा अध्ययन अनुसन्धान कार्य गर्नु पर्ने ।
५. संकलित नमुना नेपाल सरकारको पूर्व स्वीकृति नलिई विदेश लैजान पाइने छैन ।

[Signature]
०६९/६/२३

ऋषि रानाभाट
सहायक ईकोलोजिष्ट

बोधार्थ :-

वरिष्ठ पशु चिकित्सक कमल प्रसाद गैरे, चितवन रा.नि. :- सम्बन्धित संरक्षित क्षेत्र कार्यालयसंग समन्वय गरी अध्ययन अनुसन्धान गर्नुहुन ।



25 AUGUST 2015

CITES

To Whom It May Concern,

VIEW is committed to assisting countries around the world in meeting the health challenges of their endangered wildlife. Our work in Nepal emphasizes critical areas of capacity building: **training** for wildlife veterinarians and managers, supporting the development of much needed **wildlife health infrastructure**, and facilitating **research** to better understand wildlife health risks for population recovery. We believe that successful conservation strategies for critical populations must address threats from disease, in addition to habitat destruction and poaching pressure. In alignment with country partner priorities, VIEW's work in Nepal is focusing on high profile species such as Bengal tigers, One-horned rhinos, and Asian elephants, but our success there will build a foundation for a much broader impact for all of Nepal's wildlife into the future.

VIEW (Veterinary Initiative for Endangered Wildlife) is conducting collaborative research in Nepal to investigate disease in endangered wildlife. This project necessarily requires access to sophisticated diagnostic testing which is not available in Nepal. We are seeking CITES permission from the US Department of Interior, US Fish Wildlife Service to import biological samples from Nepal into the United States for the purpose of scientific diagnostic investigation into disease of endangered wildlife.

The Government of Nepal, Ministry of Forestry, Department of National Parks and Wildlife Conservation has given VIEW permission to submit samples to appropriate diagnostic laboratories in the US in cases where Nepal does not have the diagnostic capability. Please see the export permit letter from the Nepal government attached.

At this time we are seeking permission to import samples from tigers and rhinos. However, because our work in Nepal is growing and we expect to obtain additional samples on an ongoing basis, we are requesting a multi-use or Masterlist designation for this permit. We have already paid the fee of \$200 for a multi-use permit, and included in the requisite form, and a complete list of samples we currently wish to import.

Thank you for considering our request. Please do not hesitate to contact us with any questions.

Sincerely,
Dr. Deborah McCauley

Dr. Deborah McCauley
Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
VIEW is a 501c3. EIN# 46-1233603



Permit 3-200-37

6. For each animal/specimen **taken from the wild**, provide the following:
- a. Scientific name (genus, species, and, if applicable, subspecies) and common name of priority endangered species in Nepal;

CITES Apendix I Species in Nepal

Mammal

| | |
|-----------------------|------------------------------|
| Asian elephant | <i>Elephas maximus</i> |
| One-horned rhinoceros | <i>Rhinoceros unicornis</i> |
| Pygmy hog | <i>Porula salvania</i> |
| Himalayan mush deer | <i>Moschus leucogaster</i> |
| Alpine musk deer | <i>Moschus chrysogaster</i> |
| Black dusky musk deer | <i>Moschus fuscus</i> |
| Gaur Bison | <i>Bos gaurus</i> |
| Tibetan antelope | <i>Pantholos hodgsonii</i> |
| Himalayan goral | <i>Naemorhedus goral</i> |
| Himalayan serow | <i>Capricornis thar</i> |
| Swamp Deer | <i>Rucervus duvaucelii</i> |
| Hog Deer | <i>Axis porcinus</i> |
| Hispid Hare | <i>Caproloagus Hispidus</i> |
| Snow Leopard | <i>Panthera uncial</i> |
| Ganges River Dolphin | <i>Platanista gangetica</i> |
| Indian Chevrotain | <i>Moschiola indica</i> |
| Grey Wolf | <i>Canis lupus</i> |
| Himalayan wolf | <i>Canis lupus filchneri</i> |
| Sloth Bear | <i>Melursus ursinus</i> |
| Red Panda | <i>Ailurus fulgens</i> |
| Brown Bear | <i>Ursus arctos</i> |
| Spotted Linsang | <i>Prionodon pardicolor</i> |

Primate

| | |
|-----------------------|----------------------------------|
| Himalayan grey langur | <i>Semnopithecus ajax</i> |
| Terai grey langur | <i>Semnopithecus hector</i> |
| Nepal grey langur | <i>Semnopithecus schistaceus</i> |

- b. Specific location of where, when, and by whom (name and address) the specimen was removed from the wild;
- Location: Protected Areas of Nepal; We are seeking a blanket permit which does not indicate a specific location other than the Protected Areas of Nepal.
 - By Whom: Government Officials, Dr. Kamal Gairhe, Senior Veterinarian Officer from Department of National Parks Wildlife Conservation either collects biological samples himself or samples are collected through his authority. He is the person



approved in Nepal to collect biological specimens from endangered wildlife of Nepal.

- c. Purpose of removal and length or approximate length of time held in captivity;
Biological samples are collected opportunistically for management or research purposes due to wildlife-human conflict, research or found dead in Protected Areas of Nepal by local government authorities. No animal is removed or held in captivity solely for this project.
- d. Describe your efforts to use captive specimens (e.g., captive-born, captive-held), or parts thereof, in lieu of taking animals from the wild. All samples are collected opportunistically due to wildlife-human conflict or purposes. See above: 6.c.
- e. Copies of your foreign or domestic collecting permit, license, contract or agreement;

All samples are collected by the DNPWC and NTNC. See enclosed documents with DNPWC and US CITES, and Diagnostic Laboratory:

Page 1. US CITES copy of original Document (I mailed our original document to your office in July 2016)

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

Page 6. US Diagnostic Lab: Senior Veterinarian at Cornell University Diagnostic Laboratory

- f. Documentation showing that the specimen(s) was legally obtained by the applicant; and

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer

- g. Copies of any applicable State, Tribal, Federal, or Foreign government permits or licenses that authorized the removal of this animal from the wild.

Biological samples are collected opportunistically for management or research purposes due to wildlife-human conflict, or found dead in Protected Areas of Nepal by local government authorities. No animal is removed or held in captivity solely for this project.

Page 2,3,4,5. Nepal Department of National Parks Wildlife Conservation Veterinary Officer



JUSTIFICATION FOR REQUESTED ACTIVITY.

Provide a full statement justifying the proposed activity, particularly the following:

7.a. Describe the purpose of your proposed activity.

PURPOSE

The sole veterinarian working in the Department of National Parks and Wildlife Conservation, Senior Wildlife Veterinary Officer Dr. Kamal Gairhe, collects biological samples for diagnostic purposes but rarely has the funding available to process these samples and in many cases the laboratory facilities to perform the analysis are not available in Nepal. VIEW has been working with Dr. Gairhe and other conservation partners to help Nepal develop the capability to conduct wildlife health disease surveillance, including developing in-country laboratory capacity, supporting additional veterinary personnel, developing a disease surveillance database and providing critical training. Biological samples have been processed when possible, while others have been stored in Chitwan NP since 2010. We are seeking a permit to process samples from CITES protected species that cannot be analyzed in country, on an ongoing basis. This data will be provided to the Government of Nepal and incorporated into the wildlife health surveillance database. We expect that research will be conducted utilizing the data generated through this program. Such research will only be conducted with full understanding and permission from the Government of Nepal.

b. Description of the technical expertise of each person (please include CV or resume), as it relates to the proposed activities. If the proposed activity involves the import of live animals, include the experience of each animal caretaker working with the species.

Department of National Parks Wildlife Veterinarian Chief wildlife veterinarian for Nepal, carries out all procedures as according to Nepal's strict regulations on handling endangered wildlife. Supporting veterinarians include Dr. Amir Sudala and Dr. Gretchen Kaufman and Dr. Deborah McCauley.

See enclosed CVs of Dr. Kaufman and Dr. McCauley and Dr. Amir (pgs. G. b. 1-6)

c. Copies of contracts, agreements of together documents that identify persons involved and dates of activities for which authorization is being requested.

See Enclosed Document Page 2, from Dr. Gairhe Senior Veterinary Officer from Department of Fish Wildlife and Parks has sent a letter addressed to Anna Barry at USFWS.



8. Provide a statement on how the activities will **enhance or benefit the wild population** (e.g., in-situ and ex- situ projects).

Investigating into disease is a vital conservation effort to save the most iconic and fragile endangered species in the wild. Diagnostics in Nepal to investigate the diseases they are exposed to or are sick from is not possible. Disease diagnosis into the cause of death of endangered wildlife is vital to inform actions, and policies to protect the CITES 1 species in Nepal.

VIEW's efforts are solely dedicated to improving the ability of the country of Nepal to care for and protect their wildlife. By providing wildlife health training and building capacity for disease surveillance, VIEW is helping Nepal to be better prepared to prevent disease outbreaks, institute appropriate policies for wildlife translocation, wildlife rehabilitation, and management of domestic animals that share wildlife habitat. The activity of analyzing biological samples for disease is a critical component of this program, without which no progress would be possible.

18. Contact person at VIEW

Dr. Deborah McCauley- please contact by email
deborah.mccauley@viewwildlife.org
USA 406.581.0571 (July, August 2017)
Europe +34.635.604.598

Permit 3-200-52

State Document number...

Changes applicant's details dba and email address:

1.b VIEW

7.d deborah.mccauley@viewwildlife.org

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

1. **Permit number.** Enter the permit number to be reissued/renewed/amend _____.
2. Submit the original permit with this application.
3. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.
4. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

- a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

- b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____ Date: _____

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.
8. Name and address where you wish the permit to be mailed, **if** different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):
9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please **DO NOT** include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

___ Yes ___ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

CITES Permit Offices

NOTE: The application **must** be submitted to Division of Management Authority or to the Office of Law Enforcement in the region where the applicant is located.

Division of Management Authority (DMA)

Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
Phone: 1-800-358-2104 or 703-358-2104

Office of Law Enforcement (OLE)

Region 1: Guam, Hawaii, Idaho, Oregon, & Washington

Guam

U.S. Fish & Wildlife Service
Office of Law Enforcement
415 Chalan San Antonio Road, Suite 209
Tamuning, Guam 96913-3620
Phone: (671) 647-6064
Fax: (671) 647-6068

Honolulu

U.S. Fish & Wildlife Service
Office of Law Enforcement
3375 Koapaka St., #B296
Honolulu, HI 96819-1867
Phone: (808) 861-8525
Fax: (808) 861-8515

Portland

U.S. Fish & Wildlife Service
Office of Law Enforcement
P.O. Box 55206
Portland, OR 97238
Phone: (503) 231-6135
Fax: (503) 231-6133

Blaine

U.S. Fish & Wildlife Service
Office of Law Enforcement
9925 Pacific Highway, Room
136
Blaine, WA 98230
Phone: (360) 332-5388
Fax: (360) 332-3010

Seattle

U.S. Fish & Wildlife Service
Office of Law Enforcement
19639 28th Avenue South, Bldg. A
Seattle, WA 98188
Phone: (206) 429-2198
Fax: (206) 429-2673

Region 2: Arizona, New Mexico, Oklahoma, & Texas

U.S. Fish & Wildlife Service
Office of Law Enforcement
2545 W. Frye Road, Suite 8
Chandler, AZ 85224-6273
Phone: (480) 967-2007
Fax: (480) 966-9766

Region 3: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, & Wisconsin

U.S. Fish & Wildlife Service
Office of Law Enforcement
10600 Higgins Road, Suite 200
Rosemont, IL 60018
Phone: (847) 298-3250 Ext. 110
Fax: (847) 298-7669

Region 4: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Puerto Rico, & Tennessee

Atlanta

U.S. Fish & Wildlife Service
Office of Law Enforcement
4341 International Parkway, Suite #104
Atlanta, GA 30354
Phone: (404) 763-7959
Fax: (404) 366-7031

New Orleans

Mail:

U.S. Fish & Wildlife Service
Office of Law Enforcement
61387 Hwy 434
Lacombe, LA 70445

Physical:

U.S. Fish & Wildlife Service
Office of Law Enforcement
2424 Edenborn, Room 100
Metairie, LA 70001
Phone: (504) 219-8870
Fax: (504) 219-8868

Miami

Mail:

U.S. Fish & Wildlife Service
Office of Law Enforcement
10426 NW 31 Terrace
Miami, FL 33172

Physical:

U.S. Fish & Wildlife Service
Office of Law Enforcement
6105 NW 18th St., Room 405
Miami, FL 33122
Phone: (305) 526-2994 or 2620
Fax: (305) 526-7480

Region 5: Connecticut, District of Columbia, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, & West Virginia

U.S. Fish & Wildlife Service
Office of Law Enforcement
70 E. Sunrise Hwy, Suite 419
Valley Stream, NY 11580
Phone: (516) 825-3950
Fax: (516) 825-3597

Region 6: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, & Wyoming

U.S. Fish & Wildlife Service
Office of Law Enforcement
Denver International Airport
P.O. Box 492098
Denver, CO 80249
Phone: (303) 342-7430
Fax: (303) 342-7433

Region 7: Alaska

Anchorage

U.S. Fish & Wildlife Service
Office of Law Enforcement
P.O. Box 190045
4600 Postmark Drive, Suite NB207
Anchorage, AK 99519
Phone: (907) 271-6198
Fax: (907) 271-6199

Fairbanks

U.S. Fish & Wildlife Service
Office of Law Enforcement
1412 Airport Way
Fairbanks, AK 99701
Phone: (907) 456-2335
Fax: (907) 456-2356

Region 8: California and Nevada

Los Angeles

U.S. Fish & Wildlife Service
Office of Law Enforcement
370 Amapola Ave., #114
Torrance, CA 90501
Phone: (310) 328-6307
Fax: (310) 328-6399

San Francisco

1633 Old Bayshore Highway, Suite 248
Burlingame, CA 94010
Phone: (650) 876-9078
Fax: (650) 876-9701

d) Fees. (1) Unless otherwise exempted under this paragraph (d), you must pay the required permit processing fee at the time that you apply for issuance or amendment of a permit. You must pay in U.S. dollars. If you submit a check or money order, it must be made payable to the “U.S. Fish and Wildlife Service.” The Service will not refund any application fee under any circumstances if we have processed the application. However, we may return the application fee if you withdraw the application before we have significantly processed it.

(2) If regulations in this subchapter require more than one type of permit for an activity and the permits are issued by the same office, the issuing office may issue one consolidated permit authorizing the activity in accordance with §13.1. You may submit a single application in such cases, provided that the single application contains all the information required by the separate applications for each permitted activity. Where more than one permitted activity is consolidated into one permit, the issuing office will charge the highest single fee for the activity permitted.

(3) Circumstances under which we will not charge a permit application fee are as follows:

(i) We will not charge a permit application fee to any Federal, Tribal, State, or local government agency or to any individual or institution acting on behalf of such agency. Except as otherwise authorized or waived, if you fail to submit evidence of such status with your application, we will require the submission of all processing fees prior to the acceptance of the application for processing.

(ii) As noted in paragraph (d)(4) of this section.

(iii) We may waive the fee on a case-by-case basis for extraordinary extenuating circumstances provided that the issuing permit office and a Regional or Assistant Director approves the waiver.

(4) User fees. The following table identifies specific fees for each permit application or amendment to a current permit. If no fee is identified under the Amendment Fee column, this particular permit either cannot be amended and a new application, and application fee, would need to be submitted or no fee will be charged for amending the permit (please contact the issuing office for further information).

| Type of permit | Renewal/Reissuance Fee | Amendment fee |
|--|------------------------|---------------|
| Eagle Transport—Scientific or Exhibition | 75 | |
| Eagle Transport—Native American Religious Purposes | No fee | No fee |
| ESA Interstate Commerce | 100 | 50 |
| ESA and CITES Import/Export and Foreign Commerce | 100 | 50 |
| ESA and CITES Museum Exchange | 100 | 50 |
| ESA Captive-bred Wildlife Registration | 200 | 100 |
| —Renewal of Captive-bred wildlife registration | 100 | |
| CITES Import (including trophies under ESA and MMPA) | 100 | 50 |
| CITES Export | 100 | 50 |
| CITES Pre-Convention | 75 | 40 |
| CITES Certificate of Origin | 75 | 40 |
| CITES Re-Export | 75 | 40 |
| CITES Personal Effects and Pet Export/Re-Export | 50 | |
| CITES Appendix II Export (native furbearers and alligators—excluding live animals) | 100 | 50 |
| CITES Master File (includes files for artificial propagation, biomedical, etc. and covers import, export, and re-export documents) | 200 | 100 |
| —Renewal of CITES Master File | 100 | |
| —Single-use permits issued on Master File | 5 each | |
| CITES Annual Program File | 50 | |
| —Single-use permits issued under Annual Program | 5 each | |
| CITES replacement documents (lost, stolen, or damaged documents) | 50 | 50 |
| CITES Passport for Traveling Exhibitions and Pets | 75 per animal | |
| CITES/ESA Passport for Traveling Exhibitions | 100 per animal | |
| CITES Introduction from the Sea | 100 | 50 |

| | | |
|---|-----------------|--------|
| CITES Participation in the Plant Rescue Center Program | No fee | No fee |
| CITES Registration of Commercial Breeding Operations for Appendix-I wildlife | 100 | |
| CITES Request for Approval of an Export Program for a State or Tribe (American Ginseng, Certain Furbearers, and American Alligator) | No fee | No fee |
| Import/Export License | 100 | 50 |
| Designated Port Exception | 100 | 50 |
| Injurious Wildlife Permit | 100 | 50 |
| —Transport Authorization for Injurious Wildlife | 25 | |
| Personal Pet Import | 50 | |
| WBCA Scientific Research, Zoological Breeding or Display, Cooperative Breeding | 100 | 50 |
| WBCA Approval of Cooperative Breeding Programs | 200 | 100 |
| —Renewal of a WBCA Cooperative Breeding Program | 50 | |
| WBCA Approval of a Foreign Breeding Facility | 250 per species | |

(5) We will charge a fee for substantive amendments made to permits within the time period that the permit is still valid. The fee is generally half the original fee assessed at the time that the permit is processed; see paragraph (d)(4) of this section for the exact amount. Substantive amendments are those that pertain to the purpose and conditions of the permit and are not purely administrative. Administrative changes, such as updating name and address information, are required under 13.23(c), and we will not charge a fee for such amendments.

(6) Except as specifically noted in paragraph (d)(4) of this section, a permit renewal is an issuance of a new permit, and applicants for permit renewal must pay the appropriate fee listed in paragraph (d)(4) of this section.

(e) Abandoned or incomplete applications. If we receive an incomplete or improperly executed application, or if you do not submit the proper fees, the issuing office will notify you of the deficiency. If you fail to supply the correct information to complete the application or to pay the required fees within 45 calendar days of the date of notification, we will consider the application abandoned. We will not refund any fees for an abandoned application.

[70 FR 18317, Apr. 11, 2005, as amended at 72 FR 48445, Aug. 23, 2007; 73 FR 29083, May 20, 2008; 73 FR 42281, July 21, 2008; 74 FR 46875, Sept. 11, 2009]

APPLICATION FORM INSTRUCTIONS

The following instructions pertain to an U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in [50 CFR 13](#) address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all relevant questions in Sections A or B, C, D, and E.
- **An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.**
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in **blue** ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the applications or, if applicable, on the attached address list.
- **Keep a copy of your completed application.**
- **Please plan ahead. Allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11).**
- Applications are processed in the order they are received.

SECTION A OR SECTION B:

Section A. **Complete if applying as an individual [do not complete this section if applying for Import/Export License (3-200-3)]:**

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. **Fax and e-mail, while helpful in processing, are not required.**
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing **power of attorney** must be included with the application.
- **Affiliation/ Doing business as (dba):** business, agency, organizational, Tribe, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity). The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals (complete Section B)..

Section B. **Complete if applying as a business, corporation, public agency, Tribe, or institution:**

- Enter the complete name of the business, agency, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA) and Office of Law Enforcement (OLE), a physical U.S. address is **required**. Province and Country blocks are provided for those USFWS programs that use foreign addresses and are not required by DMA.
- **Mailing address** is the address to which communications from USFWS should be mailed if different from applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 **Application processing fee:**

- An application processing fee is required at the time of application, unless exempted under 50 CFR13. The application processing fee is assessed to partially cover the cost of processing a request. **The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun.**
- **Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies.** Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 **Federal Fish and Wildlife permits:**

- List the number(s) of your most recent issued USFWS permit. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

Section D.3 **CERTIFICATION:**

- **The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink.** This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT
Paperwork Reduction Act, Privacy Act, and Freedom of Information Act – Notices

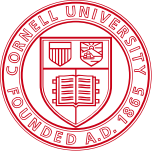
In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

1. The gathering of information on fish and wildlife is authorized by:
(Authorizing statutes can be found at: <http://www.gpoaccess.gov/cfr/index.html> and <http://www.fws.gov/permits/ltr/ltr.html>.)
 - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
 - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
 - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
 - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, *et. seq.*), 50 CFR 18;
 - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
 - f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
 - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), <http://www.cites.org/>, 50 CFR 23;
 - h. General Provisions, 50 CFR 10;
 - i. General Permit Procedures, 50 CFR 13; and
 - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid OMB control number.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - a. Routine disclosure to subject matter experts, and Federal, Tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. Routine disclosure to Federal, Tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. Routine disclosure to Federal, Tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - g. Routine disclosure to the appropriate Federal, Tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - i. Routine disclosure to the Government Accountability Office or Congress when the information is required for the evaluation of the permit programs.
 - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for a Reissuance or Renewal of a Permit application is 15 minutes. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 2042-PDM, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

DO NOT RETURN THIS PAGE WITH THE APPLICATION



Cornell University
Animal Health Diagnostic Center

PO Box 5786
Ithaca, NY 14852-5786
t. 607 253-3900
f. 607 253-3943

November 29, 2016

Dr. Deborah McCauley
Executive Director, VIEW
Veterinary Initiative for Endangered Wildlife
1627 W. Main Street, Ste 445
Bozeman, MT 59715

Dear Dr. McCauley,

This is exciting news that you have been able to navigate the CITES process for importing the tiger sera. As we had discussed, the Animal Health Diagnostic Center will do the testing of the samples for antibodies to viruses that may cause infections in the tigers. We have done this type of testing on wildlife samples for many years and have all necessary SOPs in place for the handling and testing of the samples. I often joke that I have tested every lion in Africa! Verification of our capabilities was established by receiving full accreditation by the American Association for Veterinary Laboratory Diagnosticians.

Since these are felid samples, there are no permits needed from the USDA as these samples pose no risk for the food animal production system.

If there are any issues in which we can be of assistance, feel free to contact me at your convenience.

Yours truly,

Edward J. Dubovi
Professor of Virology
Director – Virology Section
Animal Health Diagnostic Center



Government of Nepal
Ministry of Forest and Soil Conservation
Department of National Park and Wildlife Conservation
CHITWAN NATIONAL PARK OFFICE



Ref. No.: 271-2070-71

Kasara, Chitwan

Phone: 056-411025

Fax: 056-5411024

Date: 29-Jan-15

Subject: Permission acquired for sending wildlife serum samples to Coronell University

Dr Deborah McCauly
Director of Wildlife Health
VIEW, USA

Dear Deborah,

Chitwan National Park has obtained necessary permission to export wildlife serum samples attached with this letter from the Ministry of Forests and Soil Conservation of Nepal. A translated copy of the permission letter was forwarded to you earlier this month.

Kindly proceed for the import letter from US CITES and other relevant paper work from your institution. We will request the Department of National Parks and Wildlife Conservation, Nepal to issue CITES permits after the import permits are obtained from the US Government.

Dr Kamal P. Gairhe
Senior Veterinary Officer

CC:
Department of National Parks and Wildlife Conservation
GPO Box 860, Babarmahal, Kathmandu
Mr. Gopal Prakash Bhattarai, Ecologist: snpwh@yahoo.com
Mr Rishi Ranabhat, Asst. Ecologist : ranabhatrishi@gmail.com

Chitwan National Park Office, Kasara, Chitwan
Mr. Kamal Jung Kunwar, Chief Warden
kamalkunwar@hotmail.com
kalpa_2005@yahoo.com



Date: 2014-09-09

Subject: Regarding sending serum samples for disease testing to foreign laboratory

Sri Chitwan National Park Office
Kasara, Chitwan

Referring to the letter of Ministry of Forest and Soil Conservation No. 83 (Environment 2071/72 dated 2071.5.23 B.S.) the Department of National Parks and Wildlife Conservation have granted permission through letter No 663 (2071/72 Eco dated 2071.6.23 B.S.) to send the serum samples of various wildlife that were collected by Chitwan National Park during various activities requiring animal tranquilization such as radio- collaring, capturing man eating tigers and so on, to send to Coronell University, USA for disease testing. The decision on this matter was taken by Nepal Government (Secretary Level) on 2071.5.15 B.S. (2014-31-08) on the recommendation of Technical Committee, Natural History Museum and the Department of National Parks and Wildlife Conservation itself. The list of serum samples approved to send abroad for laboratory analysis is attached here with.

Signed.....
Rishi Rana Bhat
Assistant Ecologist

Terms and Conditions

1. Research studies should be carried out under the National parks and Wildlife Conservation Act, 2029 BS (1972AD) and regulations under it and other prevailing law.
2. One copy of study proposal should be submitted to the office of the related park and conservation area.
3. It is compulsory to submit a copy of Report to the Department of NP and WLC and related park or conservation area office after the completion of the study.
4. Research study should be carried out under the supervision of representative appointed by the office.
5. Collected samples cannot be taken to any foreign country without prior permission from the Government of Nepal.

CC:

Kamal Prasad Gairhe
Senior Veterinary Officer, Chitwan National Park - to carry out research study in collaboration with the related conservation area office.

List of Wildlife serum samples approved for sending abroad

| S.N. | Animal Species | Sex | Location | Date of Sampling | Quality of Serum | Remarks |
|------|----------------|-----|-------------------|------------------|------------------|------------------------|
| 1 | Tiger | M | Kasara Captive | 21-jan-11 | H+ | |
| 2 | Tiger | M | Kasara Captive | 1-Nov-11 | C | |
| 3 | Tiger | M | Liglige | 2-Feb-12 | | Grey color, post death |
| 4 | Tiger | M | Meghauli | 25-Apr-12 | C | |
| 5 | Tiger | F | Devnagar | 9-May-13 | C | |
| 6 | Leopard | M | Shankarnagar Zoo | 15-May-13 | H+ | |
| 7 | Rhino | | Icharni | 9-Jan-10 | C | |
| 8 | Rhino | | Bhimpur | 3-Apr-10 | H+++ | |
| 9 | Rhino | | Icharni | 5-Apr-10 | C | |
| 10 | Rhino | M | Icharni | 23-Dec-10 | H++ | |
| 11 | Rhino | M | Jayamangala | 11-Jan-11 | H+ | |
| 12 | Rhino | F | Jayamangala | 19-Jan-11 | H+ | |
| 13 | Rhino | M | Bhimle | 13-Feb-11 | C | |
| 14 | Rhino | F | ??? | 5-Mar-11 | C | |
| 15 | Rhino | F | Icharni | 9-Feb-12 | C | |
| 16 | Rhino | F | Icharni | 23-Mar-13 | C | |
| 17 | Rhino | M | Padampur | 5-Apr-13 | C | |
| 18 | Sambar Deer | F | Kumroj | 5-Aug-10 | H+ | |
| 19 | Sambar Deer | M | Sauraha | 12-Oct-12 | C | |
| 20 | Grey Lag Goose | F | Sauraha | 25-Nov-11 | | |
| 21 | Wild elephant | F | Ghagar | 25-Feb-12 | C | |
| 22 | Wild elephant | M | Bankatta (Dhurbe) | 21-Nov-12 | C | |



Veterinary Initiative for Endangered Wildlife
1627 West Main St. Suite 445
Bozeman, MT 59715
USA

February 23, 2016
Anna Berry
Senior Biologist
USFWS Division of Management Authority

Dear Ms. Barry,

Thank you for feedback on our CITES application PRT# US75654B/9. I am happy to provide additional information as follows:

1. Although the application is for Veterinary Initiative for Endangered Wildlife, you note the recipient as Cornell University, please explain.

Cornell University is listed for the initial sample analysis as the most appropriate laboratory to process samples from tiger and leopard. VIEW will be acting as the supervising organization and will take responsibility for the sample shipping, will cover the cost of analysis, and will handle reporting of results to the Government of Nepal. With the multi-use permit, other samples will be analyzed and other laboratories may be involved, not only Cornell University, again under the supervision of VIEW. This is explained in the attached letter from Dr. Gairhe, the Senior Veterinarian for the Department of National Parks and Wildlife Conservation, Government of Nepal.

2. The list of serum contains samples from leopards and elephant, should they be included too?

The initial shipment to Cornell University will include only tiger and leopard samples. The Wildlife Health Program in Nepal, overseen by VIEW veterinarians in collaboration with the National Trust for Nature Conservation and the Department of National Parks and Wildlife Conservation, is collecting and storing samples from a variety of endangered species and other wildlife species experiencing disease outbreaks. With a multi-use permit we will need to send additional samples potentially from CITES listed species such as Asian Elephant, Sloth Bear, Spotted Linsang, Red Panda, etc. Samples will be considered for importation to US laboratories ONLY if appropriate diagnostic testing is NOT available in Nepal for the specific disease investigation.

3. How will the samples be packaged (vials, slides) and what is their total quantity?

The initial shipment will include samples from 5 tigers and 1 leopard, one vial from each animal containing 1 ml of serum for a total of 6 ml. Each sample will be frozen in a cryovial, sealed with parafilm and packaged on ice.

4. The packaged will contain how much of the serum?

There will be a total of 6 ml of serum in 6 separate vials, one ml from each animal.

Enclosed is a signed letter from our partner Dr. Kamal Gairhe, Senior Veterinary for the Department of National Parks and Wildlife Conservation indicating his understanding of the changes since his earlier letter. Please let us know if you have any further questions. We appreciate your consideration for this permit request and hope that you will support our efforts to improve wildlife health in Nepal.

Sincerely,

A handwritten signature in black ink, appearing to read 'Deborah McCauley', written over a horizontal line.

Dr. Deborah McCauley, BVetMed



Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative for Endangered Wildlife PRT #75654B

1 message

Barry, Anna <anna_barry@fws.gov>
To: FWHQ DSA Findings <dsafindings@fws.gov>

Mon, May 1, 2017 at 5:07 PM

The attached DSA referral form is for the import of biological samples of various CITES Appendix I and Appendix 1/ESA species from Nepal. The application can be found in SPITS.

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



 **DSA Referral Template (1).pdf**
230K



Barry, Anna <anna_barry@fws.gov>

Veterinary Initiative For Endangered Wildlife PRT #75654B

1 message

Barry, Anna <anna_barry@fws.gov>

Tue, May 9, 2017 at 5:00 PM

To: Deborah McCauley [REDACTED]

[REDACTED] McCauley,

Friday will be a good time to discuss the file. I am usually here from 9:00 - 5:30 pm.

Please be aware that the Asian brown bear (*Ursus arctos*) is only listed under CITES as an Appendix II species and the Indian chevrotain (*Moschiola indica*) is not listed under CITES or the ESA, therefore neither species will be listed on the permit. Also, the Ganges river dolphin (*Platanista gangetica*) is also listed under the Marine Mammal Protection Act, please contact the National Marine Fisheries Service to obtain their requirement for importing samples from that species, see <http://www.nmfs.noaa.gov/pr/contact.htm>

Sincerely,

Anna Barry
Senior Biologist
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104, ext. 1976 or 703-358-1976
Fax: 703-358-2281
E-mail: Anna_Barry@fws.gov

Please be aware that we process application on a first come first serve basis and due to the number of applications we receive some delays are unavoidable.

*Application Forms 3-200 (<http://www.fws.gov/forms/display.cfm?number1=200>) were revised and available May 2014. Please ensure the application that you complete expires 05/31/2017 (found on upper right hand corner of page 1) to avoid the application being returned. **We do not accept applications via fax or e-mail.***

Sign up for our e-newsletter to learn how we're working around the globe to protect species and their habitats!



On Thu, May 4, 2017 at 8:05 AM, Deborah McCauley <docdmccauley@gmail.com> wrote:

Hello Anna,

I hope you have received the CITES documents I sent to you last week. Could we please schedule a time later next week to discuss the CITES documents I sent you.

Best Regards,
Deborah

Dr. Deborah McCauley
Founder and Executive Director
Veterinary Initiative for Endangered Wildlife